## Accepted Manuscript

The Mediodorsal Thalamus: An Essential Partner of Prefrontal Cortex for Cognition

Sebastien Parnaudeau, Scott S. Bolkan, Christoph Kellendonk

PII: S0006-3223(17)32193-5

DOI: 10.1016/j.biopsych.2017.11.008

Reference: BPS 13382

To appear in: Biological Psychiatry

Received Date: 23 May 2017

Revised Date: 6 November 2017

Accepted Date: 6 November 2017

Please cite this article as: Parnaudeau S., Bolkan S.S. & Kellendonk C., The Mediodorsal Thalamus: An Essential Partner of Prefrontal Cortex for Cognition, *Biological Psychiatry* (2017), doi: 10.1016/j.biopsych.2017.11.008.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.



# **Review**

# Title: The Mediodorsal Thalamus: An Essential Partner of Prefrontal Cortex for Cognition

Short title: The Role of Mediodorsal Thalamus in Cognition

Sebastien Parnaudeau<sup>1</sup>, Scott S. Bolkan<sup>2</sup>, Christoph Kellendonk<sup>3,\*</sup>

#### Affiliations:

<sup>1</sup>Sorbonne Universités, UPMC Paris 06, Institut de Biologie Paris Seine, UM119, Neuroscience Paris Seine, CNRS UMR8246, INSERM U1130, Paris, France.
<sup>2</sup>Graduate Program in Neurobiology and Behavior, Columbia University, College of Physicians and Surgeons, New York, NY 10032, USA
<sup>3</sup>Department of Pharmacology and Psychiatry, Columbia University, College of Physicians and Surgeons, New York, NY 10032, USA; Division of Molecular Therapeutics, New York State Psychiatric Institute, New York, NY 10032, USA.

#### \* Correspondance to:

Christoph Kellendonk Department of Psychiatry, Columbia University, New York, NY 10032, USA Phone: (646)-774-8602 E-mail: ck491@cumc.columbia.edu

Number of words: - in abstract 177 - in text 3968 Number of tables: 0 Number of figures: 2 Number of supplementary material: 0

**Keywords:** Mediodorsal Thalamus, Prefrontal Cortex, Thalamo-Cortical Connectivity, Working Memory, Behavioral Flexibility, Schizophrenia

#### ABSTRACT

Deficits in cognition are a core feature of many psychiatric conditions, including schizophrenia, where the severity of such deficits is a strong predictor of longterm outcome. Impairment in cognitive domains, such as working memory and behavioral flexibility, have classically been associated with prefrontal cortex (PFC) dysfunction. However, there is increasing evidence that the PFC cannot be dissociated from its main thalamic counterpart, the mediodorsal thalamus (MD). Since the causal relationships between MD-PFC abnormalities and cognitive impairment, as well as the neuronal mechanisms underlying them, are difficult to address in humans, animal models have been employed for mechanistic insight. In this review, we discuss anatomical, behavioral, and electrophysiological findings from animal studies that provide a new understanding on how MD-PFC circuits support higher-order cognitive function. We argue that the MD may be required for amplifying and sustaining cortical representations under different behavioral conditions. These findings advance a new framework for the broader involvement of distributed thalamo-frontal circuits in cognition and point to the MD as a potential therapeutic target for improving cognitive deficits in schizophrenia and other disorders.

#### **INTRODUCTION**

The thalamus is a heterogeneous structure located deep in the brain, which has been traditionally viewed as a simple gateway for relaying information from the sensory periphery to the cortical end-station(1,2). This concept has roots in the 19<sup>th</sup> century when neurologists used clinical or experimental brain lesions to map cortical areas onto sensory and motor abilities. Subsequently, histology and lesion-induced retrograde degeneration of cortical targets were employed to parcel the thalamus into subnuclei with distinct projection patterns to circumscribed cortical areas. Placed just several synapses from the sensory and motor periphery, and exhibiting a relatively homogenous cellular structure in comparison to cortex, the computational power of the thalamus was considered limited(1,2).

While the effects of sensory and motor cortex lesions or stimulations were relatively easy to interpret, the consequences of frontal lobe ablations were more complicated to describe. Decades of work were needed to establish what would eventually be termed the prefrontal cortex (PFC) as an important center for personality, emotion and cognitive function(1). This classical work paved the way for the first reports showing striking resemblance between the cognitive deficits observed in patients with frontal lesions and schizophrenia(3,4). In the last 30 years, modern brain imaging techniques confirmed the association between altered prefrontal function and cognitive deficits leading to the influential hypothesis that cognitive symptoms, especially in the executive function domain, arise from a dysregulation of PFC activity(5-7).

Yet, just as with sensory and motor cortical areas, the PFC receives dense innervation from anatomically prescribed thalamic counterparts, most prominently from the mediodorsal thalamus (MD)(8). However, unlike sensory and motor thalamic nuclei, the MD exhibits minimal connectivity with either sensory or motor pathways and instead receives its driving input directly from various PFC areas. Moreover, lesions of the MD typically induce cognitive dysfunctions that are reminiscent of those observed following prefrontal lesions(9,10). These observations indicate that PFC function cannot be divorced from that of its interconnected thalamo-frontal circuitry. While it has been proposed on anatomical grounds that the MD serves as a relay station between distinct prefrontal areas(2,11), the unique contributions of the MD towards PFCdependent cognition remains largely enigmatic.

An understanding of how MD-PFC circuitry contributes to cognition is of growing clinical interest. Recently, studies have reported MD dysfunction along with abnormal thalamo-frontal connectivity in several mental disorders including schizophrenia (12,13). Thus, a clearer anatomical and functional understanding of thalamo-frontal circuitry appears essential in order to elucidate how their alteration may contribute to cognitive dysfunction in psychiatric conditions. Here, we provide an overview of recent behavioral and electrophysiological findings in primates and rodents, giving new insights on how MD-PFC circuits interact in order to support higher-order cognitive function. We then review the

evidence for altered thalamo-frontal circuitry in mental disorders and discuss how this may contribute to cognitive deficits.

#### **ANATOMY OF MD-PFC CIRCUITS**

Based on anatomical and functional data, dorsal thalamic nuclei have been categorized into two types(14). First order thalamic nuclei are characterized by their functional response patterns to sensory stimuli or motor activity, consistent with their close connectivity with the sensory periphery and primary motor pathways. In contrast, higher-order thalamic nuclei receive few or no sensory inputs from the periphery but can be anatomically defined by its driving afferents from the cortex(14). These thalamic structures are thereby linked to the higher-order processing that has classically been attributed to cortex alone. Higher-order thalamic nuclei include the MD, the pulvinar, and the posterior, the intralaminar and the midline nuclei (but see Rovo et al.(15)). In this review, we will focus on the MD that displays a unique set of topographically organized interconnections with the PFC. Since excellent and detailed reviews of MD-PFC anatomy exist(2,16,17), we just depict here the main components of these circuits in **Figure 1**.

## THALAMO-FRONTAL CIRCUITS AND WORKING MEMORY: BEHAVIORAL AND ELECTROPHYSIOLOGICAL STUDIES

Patients with thalamic lesions often exhibit amnesic syndromes similar to those observed in patients with hippocampal lesions, likely due to damage to the mammillothalamic tract or anterior thalamic nucleus(18,19). However, more circumscribed lesions to the MD have been associated with deficits in executive functions similar to those observed in patients with frontal lobe dysfunction(19-21). Unfortunately, patients often exhibit damage to several thalamic areas, thus limiting inferences about the precise role of the MD. Therefore, research has turned to animal models in which MD function can be directly manipulated. Those studies implicate a role for the MD in working memory, behavioral flexibility, and goal-directed behavior(10).

Behavioral evidence for a role of MD-PFC circuits in working memory

Working memory is defined as a transient holding, processing, and use of information on the scale of seconds. Based primarily on work in humans, Baddeley and Hitch proposed an influential model of working memory defined by two independent subsystems – a visual-spatial sketch pad and a phonological loop – that are coordinated by a central executive controlling the flow of information between them(22). For obvious reasons, it is challenging to apply this model across species. In animal research, working memory can be defined as a delay-dependent short-term memory of an object, a stimulus or a location that is used within a testing trial, but not between trials, as opposed to reference memory that is typically acquired with repeated training and persists for days(23).

Classical studies in primates have shown that MD lesions diminish performance in delayed response tasks, a standard assay for working memory(24,25). Although not always consistent (26-32), rodent literature also supports a role for the MD in working memory. Rodent studies have typically employed spatially guided delayed response tasks, in which the animal is required to retain a memory trace of a recently sampled maze location during a delay period and then prompted to select the opposite location in order to receive a reward (delayed non-matching-to-sample (DNMS)). Many studies have reported deficits after lesions or inhibition of the MD using variants of the DNMS task(33-41). Although in some of these studies lesions may have extended to adjacent regions, including the anterior thalamus(33-35), the MD, unlike the anterior thalamus, does not seem to play a role in spatial reference memory(42). Moreover, deficits in DNMS working memory tasks following MD lesions have also been observed in operant settings, where spatial requirements are more limited(37,40,43-45). Several studies have also found that DNMS deficits were dependent on the length of the delay(37,41,44,45), suggesting the MD may be particularly involved in the maintenance of representations critical for task performance as opposed to general task learning.

Spatial working memory in rodents is known to depend on medial PFC (mPFC) function(46-48). Analogous deficits observed following MD lesions could therefore be due to a disconnection of MD-mPFC circuitry(39), thus raising

questions regarding the unique contributions of each structure to working memory processes. Using optogenetic tools, a recent study examined the involvement of MD-to-mPFC and reciprocal mPFC-to-MD pathways in a DNMS Tmaze task(49). Inhibition of either pathway led to a decrease in performance in a delay-dependent manner, while inhibition of MD-to-lateral orbitofrontal cortex (OFC) projections had no impact on behavior. The temporal resolution of optogenetic inhibition further allowed assessing the significance of reciprocal MD-mPFC circuits during discrete phases of the DNMS spatial working memory task. While initial spatial sampling did not require MD-mPFC activity in either direction, spatial choice specifically required the mPFC-to-MD pathway but not the MD-to-mPFC pathway. In contrast, the delay period relied on reciprocal interactions across both structures(49). This observation is strikingly circuitspecific as inhibition of ventral hippocampal (vHip) inputs to the mPFC during phase, but not the delay phase, robustly impaired the sample performance(49,50). Together, these data suggest that while the direct vHip-tomPFC pathway is involved in the encoding of the spatial location during the initial sample phase, reciprocal activity between MD and mPFC supports shortterm maintenance of working memory during the delay. Moreover, top-down inputs from the mPFC-to-MD guide successful memory retrieval and/or choice selection (Figure 2A).

#### Thalamo-frontal synchrony during working memory

The above data point to functional interactions between the MD and the PFC in working memory. But how do both structures interact at the physiological level? In the DNMS T-maze working memory task, MD-mPFC synchronous local field potential activity in the theta (4-12Hz) and beta (13-20Hz) frequency ranges increases hand in hand with task learning(41). Moreover, in trained mice, the spiking of individual MD neurons have been shown to synchronize with mPFC local field potentials in the beta range during the choice phase of the task when working memory demand is highest(41).

Two findings support the functional relevance of MD-mPFC beta-synchrony in working memory processes. First, decreasing MD activity delays both task acquisition as well as the increase of MD-mPFC synchrony. Second, decreasing

MD activity disrupts the choice phase-specific enhancement of MD phase-locking to mPFC beta oscillations(41). Interestingly, a more refined task phase-specific analysis of MD-PFC beta synchrony suggests bi-directional information flow going from MD to mPFC during the delay and from mPFC to the MD during the choice phase(49). This dynamic shift in directionality of MD-PFC synchrony suggests that choice phase beta synchrony may serve the retrieval or selection of motor-related working memory information via mPFC to MD connections, consistent with the behavioral impact of inhibiting this projection.

Modulation of thalamo-frontal synchrony has also been observed in other cognitive tasks(51,52). In a two-alternative discrimination task in which rats must discriminate between two odors and use this information to guide subsequent decision-making, synchronous activity between the MD and piriform cortex (PCX) and MD-OFC circuits dynamically shifts according to task demands. During initial odor sampling, MD neurons exhibited enhanced phase-locking to both PCX and OFC theta oscillations, followed by a strikingly specific increase in phase-locking to OFC beta oscillations immediately preceding the subsequent choice(53). These findings suggest that the MD, as has been proposed before, may be a critical subcortical node for linking cortical areas involved in processing cognitive information(11). The choice-specific modulation of MD-OFC beta synchrony is also reminiscent of the above described MD-mPFC beta synchrony during working memory guided spatial selection, potentially indicating that thalamo-frontal beta synchrony is a general circuit mechanism supporting working memory guided action selection.

#### The MD sustains delay-elevated activity in the mPFC

The fact that inhibiting MD inputs to the mPFC during the delay phase impairs later choice performance in the DNMS task, suggests that mPFC activity during the delay carries information critical for short-term memory maintenance in an MD-dependant manner. Almost 50 years ago Joaquin Fuster proposed a potential neural correlate for short-term memory maintenance when he recorded neurons in the dorsolateral PFC (dlPFC) of monkeys whose activity remained elevated across the entire delay period of a delayed response task(54). In a subsequent study, Alexander and Fuster revealed the same neural signature in MD neurons.

Employing PFC cooling, they further showed that delay activity in MD neurons, along with behavioral performance, depended on PFC activity. This pioneering paper provided the first evidence of functional interactions between both structures and led to the hypothesis that the maintenance of PFC activity during working memory requires reverberatory activity within the MD-PFC circuit(55).

Two recent rodent studies examined the impact of MD inhibition on PFC delay period activity in a two-alternative forced choice (2AFC) task and the abovedescribed DNMS T-maze task. Both studies uncovered populations of mPFC neurons with elevated spiking during the delay. Rather than being active during the entire delay, individual neurons exhibited brief bouts of elevated activity much shorter than the total delay length. As each neuron displayed elevated activity at distinct temporal offsets from the delay onset, ordering of neurons according to peak time of firing within the delay revealed a sequential activation across the population that spanned the entire delay duration(49,56). This population-distributed delay activity has been observed in several previous studies using both monkey and rodent models(49,56-61) and is interpreted to reflect the encoding of memory in synaptically connected populations of neurons(59).

In both studies, elevated mPFC activity indicated correct performance during the subsequent choice phase, and was critically dependent on MD inputs for its sustained maintenance across the delay(49,56). Strikingly, the impact of MD inhibition on elevated mPFC delay activity was temporally specific in both studies. While mPFC neurons with elevated spiking during the early delay period were not impacted by manipulations of MD activity, mPFC neurons with peaks later in the delay were highly dependent on MD inputs(49,56). This suggests that delay period activity is unlikely to derive from the MD. Instead, the MD may serve as a substrate for the amplification and maintenance of delay representations first generated in PFC.

Findings from Schmitt et al. further support this model. First, temporally restricted inhibition of PFC activity at distinct delay time points equivalently disrupted behavioral performance, while inhibition of MD activity had diminished impact on behavior at early time points. In addition, similar to the

PFC, MD neurons also displayed elevated delay activity. However, unlike the PFC, MD delay activity was critically dependent on PFC activity even at early delay time points(56). Altogether, these findings suggest that the MD, and perhaps other higher-order thalamic nuclei(62), may be recruited by the PFC in order to amplify or sustain cortical representations as memory decays across time, or in particularly demanding cognitive tasks (**Figure 2B**). Indeed, both global MD inhibition and pathway-specific MD-to-mPFC inhibition only impaired performance in the DNMS T-maze at longer delays, while leaving behavior intact at shorter delays(41,49). Further supporting this hypothesis, broadly enhancing MD excitability not only improved performance in both the DNMS T-maze and the 2AFC tasks(49,56), it also enhanced the connectivity within local PFC circuits, and increased PFC delay period information in the 2AFC tasks(56).

Although the above-discussed studies are broadly in agreement regarding this proposed model of thalamo-frontal interactions during working memory, there are still inconsistencies. For example, previous primate studies(63-66) observed explicit stimulus or spatial representations in thalamus delay period activity, while Schmitt et al. provide compelling evidence for MD representations that lack information content(56). The reasons for these differences are sure to be manifold, ranging from species, sub-circuit and task design differences. More studies including MD single-unit along with cortical electrophysiological recordings during working memory tasks, will be required to clarify the role of thalamo-prefrontal interactions in working memory.

## THE ROLE OF MD IN GOAL-DIRECTED AND FLEXIBLE BAHAVIORS

#### The role of MD in behavioral flexibility

Behavioral flexibility reflects the ability of an individual to respond and adjust to changes in the environment. It can be tested using reversal learning or set shifting tasks. Both behaviors require adaptation by switching stimulus-outcome and/or response-outcome associations, yet have been shown to depend on distinct prefrontal areas. Reversal learning has been linked to lateral OFC function. OFC lesions in primates and rodents(67-71) generally induce

perseveration in reversal learning tasks (though see(72)), meaning that lesioned animals tend to stick to a previously learned rule or strategy that is no longer relevant. In contrast, set-shifting tasks requiring multiple associations within different sensory sets, instead rely on mPFC in rodents and on dlPFC in primates(73).

Although the literature concerning the role of MD in behavioral flexibility is conflicting(24,26,74,75), one repeatedly reported finding is an increase in perseverative behavior following lesions or manipulations of MD activity similar to that observed following OFC lesion. Perseveration has been observed in many task contexts, including water maze learning(76), strategy reversal(28,77), and operant reversal learning tasks(41). MD and OFC may therefore work in concert to act on or update old strategies during reversal learning.

Of note, some studies reporting impairments in reversal learning did not attribute the deficit to perseverative behavior(78,79). In a probabilistic rewardguided task involving three different stimuli, monkeys with lesions of the magnocellular portion of MD exhibited a maladaptive switching strategy upon reversal in reward contingency. That is, monkeys did not perseverate in responding to the previously rewarded stimulus, but instead shifted their selections across all stimuli and were unable to persist in selecting the bestrewarding option, unless having an extended choice history on that option(79). These findings suggest that the magnocellular potion of MD may support the representation of recent stimulus choices and thus facilitate rapid stimulusoutcome contingent learning.

In tasks involving multiple stimuli and outcomes, the ability to keep track of recent choices and their associated outcomes is crucial, especially during reversal when a rapid update of stimulus-outcome is needed. In monkeys, some neurons in the magnocellular and parvocellular MD have been shown to increase firing when the animal was making cue-guided actions and when receiving feedback post-response(65). Thus, in behavioral flexibility tasks, the MD may stabilize an online representation of stimuli-outcome associations within the cortex, possibly OFC, similar to the findings described above involving MD-mPFC circuits in working memory. Future neurophysiological studies monitoring both

MD and OFC activity during reversal learning tasks combined with temporallyprecise optogenetic manipulations could directly test whether amplifying and sustaining cortical representations is a general principle by which the MD supports cognition.

#### The role of MD in goal-directed behavior

Behavioral flexibility is not a unitary process and involves several potentially dissociable cognitive components. For example, flexible behavior often requires an animal to integrate the relationship or contingency between actions and their outcomes, which additionally entails an accurate representation of the outcome value. The sensitivity to changes in action-outcome contingencies can be tested in contingency degradation tasks during which the outcome is presented independent of the action. The representation of the outcome value on the other hand can be tested in outcome devaluation tasks, in which action-outcome associations remain intact while only the value of the outcome is diminished(80-83). In rodents there is strong evidence for deficits in contingency degradation tasks following MD manipulations, suggesting that the MD is important for the representation of action-outcome associations and/or the updating of such representations following changes in the environment(84-86).

Whether the MD also supports an accurate representation of the outcome value is still unsettled. Some studies in rat and in monkey reported deficits in outcome devaluation tasks when the MD was lesioned before learning the action-outcome contingency but not when it was ablated just before devaluation of the outcome(84,87,88). However, several studies failed to find any deficit following MD lesion or inhibition(86,89). These discrepancies are likely due to the different task designs and MD manipulations methods. Further work will therefore be needed to determine whether the MD and its related networks support outcome value representation.

Associative learning and flexible adaptation frequently also involves environmental stimuli that need to be associated with the outcome. The ability of environmental stimuli to influence action can be tested in a Pavlovian-toinstrumental transfer (PIT) paradigm. PIT includes three phases: 1) Pavlovian training where stimuli are associated with specific outcomes, 2) Instrumental

training where the same outcomes are associated with specific responses, and 3) a Pavlovian-to-instrumental transfer (PIT) in which the conditioned stimulus is tested for its ability to trigger the action that shares the same outcome. Pharmacogenetic inhibition of the MD in mice restricted to the PIT testing phase did not impair instrumental transfer(86), suggesting that the MD is not involved in retrieval of stimulus-outcome or action-outcome associations (but see:(87)). Strikingly, inhibition of MD restricted to the Pavlovian training phase did not affect learning of the association between the stimuli and the outcomes, yet it later impaired instrumental transfer(86). MD activity during Pavlovian training may therefore be important for assigning incentive properties to the conditioned stimulus, which is later required to bridge the learned stimulus-outcome association across contexts. Such a role has been hypothesized for the basolateral amygdala (BLA) which shares, as the MD, reciprocal projections with the PFC(90-92).

## DISTINCT MD-PFC CIRCUITS FOR DISTINCT COGNITIVE FUNCTIONS

Overall, work over the past 15 years demonstrates a role for the MD in distinct cognitive behaviors that rely on different prefrontal regions. As such, we described above that in rodents, MD inhibition alter both working memory and reversal learning two functions that are supported by the mPFC and the OFC respectively. Based on the predominately parallel nature of thalamo-frontal circuits, it may be inferred that OFC function is tightly linked to central MD (magnocellular MD in monkey), dorsal mPFC function is tightly linked to lateral MD, and ventral mPFC tightly linked to medial MD (parvocellular MD in monkey) (**Figure 1A**). Different thalamo-cortical circuits may therefore regulate different behaviors.

Nevertheless, a key question to resolve is the extent to which these parallel thalamic circuits support an overarching, common function, such as sustaining cortical representations for instance, or whether their processing is more singular to the cognitive processing carried out by their cortical partners. Future studies with refined targeting of individual MD subregions will be needed to address this question. Moreover, it is still unclear which cortical layers and

cortico-thalamic projections are critical for these different behaviors. Is a closeloop deep layer-MD circuitry sufficient for amplifying and sustaining cortical representations or is there a requirement of additional processing through superficial layers? Layer specific targeting of inhibitory opsins using transgenic Cre mouse lines in combination with layer specific imaging or in vivo physiology will be able to address such questions.

#### **RELEVENCE FOR CLINICAL RESEARCH**

Numerous studies have found anatomical and/or functional abnormalities in either the thalamus or thalamocortical circuits of patients with psychiatric disorders including major depression(93,94), obsessive-compulsive disorder(95), eating disorders(96), post-traumatic stress disorder(97), bipolar disorders and schizophrenia(13,98). Cognitive dysfunction is a common feature of most if not all psychiatric diseases(99).

In schizophrenia, cognitive symptoms are considered core to the disease and have been linked to the functional outcome of patients(100). While in healthy subjects, the MD is activated during cognitive testing in tasks that involve working memory and attention(101,102) this activation has been shown to be decreased in patients with schizophrenia(103-106). However, localizing thalamic dysfunction to thalamic nuclei such as the MD using imaging methodologies is challenging due to lack of contrast and resolution.

More recent evidence also suggests abnormal functional connectivity between the MD and its prefrontal counterparts in patients with schizophrenia. Decreased correlation in MD and dlPFC activity has been measured under resting conditions, an observation also made in individuals at risk for psychosis(98,107-109). Strikingly, the decrease in functional connectivity was most prominent in those subjects that later converted to full-blown illness, suggesting a role in the pathogenesis of the disease(108,110). Of note, decreased functional connectivity may have a structural basis (110-112) however, the exact relationship between the alterations in functional and anatomical connectivity still needs to be clarified.

Decreased functional MD-PFC connectivity has also been measured in patients during cognitive testing(106,113,114). In this context Marenco et al. recently

described that thalamo-frontal white-matter connectivity was reduced in patients and this reduction correlated with the level of dlPFC functional activation and performance in a working memory task(111) (see also Giraldo-Chica et al.(115)). This finding may so far be the strongest evidence for an involvement of decreased anatomical connectivity in cognitive deficits.

It is important to note that thalamo-cortical disturbances in schizophrenia likely extend beyond a simple MD-PFC dysconnectivity. Indeed, reduced thalamoprefrontal connectivity has been associated with thalamic hyperconnectivity to sensory and motor cortices, raising the possibility of a general dysfunction of thalamo-cortical circuits(98,108). In addition, the thalamic reticular nucleus, which is a key inhibitory node for the entire thalamo-cortical system has also been implicated in schizophrenia(116-118). Since imaging studies are largely correlative, it is difficult to determine the origin of these circuit abnormalities. Future longitudinal clinical studies tracking functional and structural connectivity in high-risk subjects will provide insight into the primary structure(s) involved in the pathogenesis of thalamo-cortical abnormalities. Furthermore, animal studies will be critical for establishing causality and could address questions such as whether decreased MD-mPFC connectivity induced during development triggers hyperconnectivity to sensory cortices.

Regardless of the proximal causes of thalamo-frontal dysconnectivity, the animal studies described here suggest its possible involvement in cognitive deficits. Enhancing MD function may stabilize cortical representations critical for working memory and other cognitive functions and thus be a promising therapeutic approach for improving cognition in mental disorders. New technologies aimed at localized or circuit specific interventions such as focused ultrasound induced blood-brain barrier opening(119) and non-invasive deep brain stimulation(120) could offer an opportunity to achieve this goal in humans.

#### ACKNOWLEDGMENTS AND DISCLOSURES

Sebastien Parnaudeau is supported by the Centre National de Recherche Scientifique (CNRS), Institut National de la Santé et de la Recherche Médicale (INSERM), the University Pierre et Marie Curie (UPMC) and the Agence Nationale pour la Recherche (ANR, PsyCoStress). Scott Bolkan was funded by the National Institute of Mental Health (NIMH F31 MH102041) and Christoph Kellendonk by the Irma T. Hirschl Foundation and (DA044329). The authors report no biomedical financial interests or conflicts of interest.

#### REFERENCES

- 1. Levin HS, Eisenberg HM, Benton AL (1991): *Frontal Lobe Function and Dysfunction*: Oxford University Press.
- 2. Jones EG (2007): *The thalamus* edn 2nd edition. New York, N.Y.,: Cambridge University Press.
- 3. Kraeplin E (1919): Dementia Praecox and Paraphrenia. Edinburgh Livingstone.
- 4. Kolb B, Whishaw IQ (1983): Performance of schizophrenic patients on tests sensitive to left or right frontal, temporal, or parietal function in neurological patients. *J Nerv Ment Dis* **171**:435-443.
- 5. Ingvar DH, Franzen G (1974): Abnormalities of cerebral blood flow distribution in patients with chronic schizophrenia. *Acta Psychiatr Scand* **50**:425-462.
- 6. Weinberger DR, Berman KF (1996): Prefrontal function in schizophrenia: confounds and controversies. *Philos Trans R Soc Lond B Biol Sci* **351**:1495-1503.
- Karlsgodt KH, Sanz J, van Erp TG, Bearden CE, Nuechterlein KH, Cannon TD (2009): Re-evaluating dorsolateral prefrontal cortex activation during working memory in schizophrenia. *Schizophr Res* 108:143-150.
- 8. Rose JE, Woolsey CN (1948): The orbitofrontal cortex and its connections with the mediodorsal nucleus in rabbit, sheep and cat. *Res Publ Assoc Res Nerv Ment Dis* **27 (1 vol.)**:210-232.
- 9. Bradfield LA, Hart G, Balleine BW (2013): The role of the anterior, mediodorsal, and parafascicular thalamus in instrumental conditioning. *Front Syst Neurosci* **7**:51.
- 10. Mitchell AS, Chakraborty S (2013): What does the mediodorsal thalamus do? *Front Syst Neurosci* **7**:37.
- 11. Saalmann YB (2014): Intralaminar and medial thalamic influence on cortical synchrony, information transmission and cognition. *Front Syst Neurosci* **8:83**.
- 12. Peters SK, Dunlop K, Downar J (2016): Cortico-striatal-thalamic loop circuits of the salience network: a central pathway in psychiatric disease and treatment. *Front Syst Neurosci* **10:104**.
- 13. Giraldo-Chica M, Woodward ND (2017): Review of thalamocortical restingstate fMRI studies in schizophrenia. *Schizophr Res* **180**:58-63.
- 14. Sherman SM, Guillery RW (2011): Distinct functions for direct and transthalamic corticocortical connections. *J Neurophysiol* **106**:1068-1077.
- 15. Rovo Z, Ulbert I, Acsady L (2012): Drivers of the primate thalamus. *J Neurosci* **32**:17894-17908.
- 16. Mitchell AS (2015): The mediodorsal thalamus as a higher order thalamic nucleus important for learning and decision-making. *Neurosci Biobehav Rev* **54**:76-88.

- 17. Vertes RP, Linley SB, Hoover WB (2015): Limbic circuitry of the midline thalamus. *Neurosci Biobehav Rev* **54**:89-107.
- 18. Von Cramon DY, Hebel N, Schuri U (1985): A contribution to the anatomical basis of thalamic amnesia. *Brain* **108**:993-1008.
- 19. Carlesimo GA, Lombardi MG, Caltagirone C (2011): Vascular thalamic amnesia: a reappraisal. *Neuropsychologia* **49**:777-789.
- 20. Van der Werf YD, Scheltens P, Lindeboom J, Witter MP, Uylings HB, Jolles J (2003): Deficits of memory, executive functioning and attention following infarction in the thalamus; a study of 22 cases with localised lesions. *Neuropsychologia* **41**:1330-1344.
- 21. Danet L, Barbeau EJ, Eustache P, Planton M, Raposo N, Sibon C, *et al.* (2015): Thalamic amnesia after infarct: The role of the mammilothalamic tract and mediodorsal thalamus. *Neurology* **85**:2107-2115.
- 22. Baddeley AD, JHitch G (1974): Working memory. In *The psychology of learning and motivation: advances in research and theory*. Edited by (Ed.) GHB: Academy press; **vol 8.**47-89.
- 23. Dudchenko PA (2004): An overview of the tasks used to test working memory in rodents. *Neurosci Biobehav Rev* **28**:699-709.
- 24. Isseroff A, Rosvold HE, Galkin TW, Goldman-Rakic PS (1982): Spatial memory impairment following damage to the mediodorsal nucleus of the thalamus in rhesus monkey. *Brain Res* **232**:97-113.
- 25. Zola-Morgan S, Squire LR (1985): Amnesia in monkeys after lesions of the mediodorsal nucleus of the thalamus. *Ann Neurol* **17**:558-564.
- 26. Neave N, Sahgal A, Aggleton JP (1993): Lack of effect of dorsomedial thalamic lesions on automated tests of spatial memory in the rat. *Behav Brain Res* **55**:39-49.
- 27. Burk JA, Mair RG (1998): Thalamic amnesia reconsidered: excitotoxic lesions of the intralaminar nuclei, but not the mediodorsal nucleus, disrupt place delayed matching-to-sample performance in rats (*Rattus Norvegicus*). *Behav Neurosci* **112**:54-67.
- 28. Hunt PR, Aggleton JP (1998): Neurotoxic lesions of the dorsomedial thalamus impair the acquisition but not the performance of delayed matching to place by rats: a deficit in shifting response rules. *J Neurosci* **18**:10045-10052.
- 29. Hunt PR, Aggleton JP (1998): An examination of the spatial working memory deficit following neurotoxic medial dorsal thalamic lesions in rats. *Behav Brain Res* **97**:129-141.
- 30. Zhang Y, Burk JA, Glode BM, Mair RG (1998): Effects of thalamic and olfactory cortical lesions on continuous olfactory delayed nonmatching-to-sample and olfactory discrimination in rats (Rattus norvegicus). *Behav Neurosci* 112:39-53.
- 31. Alexinsky T (2001): Differential effect of thalamic and cortical lesions, on memory systems in the rat. *Behav Brain Res* **122**:175-191.
- 32. Mitchell AS, Dalrymple-Alford JC (2005): Dissociable memory effects after medial thalamus lesions in the rat. *Eur J Neurosci* **22**:973-985.
- 33. Stokes KA, Best PJ (1990a): Mediodorsal thalamic lesions impair "reference" and "working" memory in rats. *Physiol Behav* **47**:471-476.

- 34. Stokes KA, Best PJ (1990b): Response Biases do not underlie the radial maze deficit in rats with mediodorsal thalamus lesions. *Behav Neural Biol* 53:334-345.
- 35. M'Harzi M, Jarrard LE, Willig F, Palacios A, Delacour J (1991): Selective fimbria and thalamic lesions differentially impair forms of working memory. *Behav Neural Biol* **56**:221-239.
- 36. Hunt PR, Aggleton JP (1991): Medial dorsal thalamic lesions and working memory in the rat. *Behav Neural Biol* **55**:227-246.
- 37. Young HL, Stevens AA, Converse E, Mair RG (1996): A comparison of temporal decay in place memory tasks in rats (Rattus norvegicus) with lesions affecting thalamus, frontal cortex, or the hippocampal system. *Behav Neurosci* **110**:1244-1260.
- 38. Mumby DG, Pinel JP, Dastur FN (1993): Mediodorsal thalamic lesions impair object recognition in rats. *Psychobiology* **21**:27-36.
- 39. Floresco SB, Braaksma DN, Phillips AG (1999): Thalamic-cortical-striatal circuitry subserves working memory during delayed responding on a radial arm maze. *J Neurosci* **19**:11061-11071.
- 40. Chauveau F, Célérier A, Ognard R, Pierard C, Beracochea D (2005): Effect of ibotenic acid lesions of the mediodorsal thalamus on memory: relationship with emotional processes in mice. *Behav Brain Res* **156**:215-223.
- 41. Parnaudeau S, O'Neill PK, Bolkan SS, Ward RD, Abbas AI, Roth BL, *et al.* (2013): Inhibition of mediodorsal thalamus disrupts thalamofrontal connectivity and cognition. *Neuron* **77**:1151-1162.
- 42. Wolff M, Alcaraz F, Marchand AR, Coutureau E (2015): Functional heterogeneity of the limbic thalamus: From hippocampal to cortical functions. *Neurosci Biobehav Rev* **54**:120-130.
- 43. Peinado-Manzano MA, Pozo-Garcia R (1991): The role of different nuclei of the thalamus in processing episodic information. *Behav Brain Res* **45**:17-27.
- 44. Peinado-Manzano MA, Pozo-Garcia R (1996): Retrograde amnesia in rats with dorsomedial thalamic damage. *Behav Brain Res* **45**:17-27.
- 45. Bailey KR, Mair RG (2005): Lesions of specific and nonspecific thalamic nuclei affect prefrontal cortex-dependent aspects of spatial working memory. *Behav Neurosci* **119**:410-419.
- 46. Dias R, Aggleton JP (2000): Effects of selective excitotoxic prefrontal lesions on acquisition of nonmatching- and matching-to-place in the T-maze in the rat: differential involvement of the prelimbic-infralimbic and anterior cingulate cortices in providing behavioural flexibility. *Eur J Neurosci* **12**:4457-4466.
- 47. Kellendonk C, Simpson EH, Polan HJ, Malleret G, Vronskaya S, Winiger V, *et al.* (2006): Transient and selective overexpression of dopamine D2 receptors in the striatum causes persistent abnormalities in prefrontal cortex functioning. *Neuron* **49**:603-615.
- 48. Yoon T, Okada J, Jung MW, Kim JJ (2008): Prefrontal cortex and hippocampus subserve different components of working memory in rats. *Learn Mem* **15**:97-105.

- 49. Bolkan SS, Stujenske JM, Parnaudeau S, Spellman TJ, Rauffenbart C, Abbas AI, *et al.* (2017): Thalamic projections sustain prefrontal activity during working memory maintenance. *Nat Neurosci* **20**:987-996.
- 50. Spellman T, Rigotti M, Ahmari SE, Fusi S, Gogos JA, Gordon JA (2015): Hippocampal-prefrontal input supports spatial encoding in working memory. *Nature* **522**:309-314.
- 51. Courtiol E, Wilson DA: Rhalamic olfaction (2014): characterizing odor processing in the mediodorsal thalamus of the rat. *J Neurophysiol* **111**:1274-1285.
- 52. Courtiol E, Wilson DA (2015): The olfactory thalamus: unanswered questions about the role of mediodorsal thalamic nucleus in olfaction. *Front Neural Circuits* **9:49**.
- 53. Courtiol E, Wilson DA (2016): Neural representation of odor-guided behavior in the rat olfactory thalamus. *J Neurosci* **36**:5946-5960.
- 54. Fuster JM, Alexander GE (1971): Neuron activity related to short-term memory. *Science***173**:652-654.
- 55. Alexander GE, Fuster JM (1973): Effects of cooling prefrontal cortex on cell firing in the nucleus medialis dorsalis. *Brain Res* **61**:93-105.
- 56. Schmitt IL, Wimmer RD, Nakajima M, Happ M, Mofakham S, Halassa MM (2017): Thalamic amplification of cortical connectivity sustains attentional control. *Nature* **545**:219-223
- 57. Baeg EH, Kim YB, Huh K, I. M-J, Kim Ht, Jung MW (2003): Dynamics of population code for working memory in the prefrontal cortex. *Neuron* **40**:177-188.
- 58. Fujisawa S, Amarasingham A, Harrison MT, Buzsaki G (2008): Behaviordependant short-term assembly dynamics in the medial prefrontal cortex. *Nat Neurosci* **11**:823-833.
- 59. Harvey CD, Coen P, Tank DW (2012): Choice-specific sequences in parietal cortex during a virtual-navigation decision task. *Nature* **484**:62-68.
- 60. Lundqvist M, Rose J, Herman P, Brincat SL, Buschman TJ, Miller EK (2016): Gamma and beta bursts underlie working memory. *Neuron* **90**:152-164.
- 61. Akhlaghpour H, Wiskerke J, Choi JY, Taliaferro JP, Au J, Witten IB (2016): Dissociated sequential activity and stimulus encoding in the dorsomedial striatum during spatial working memory. *Elife* **5. p11: e19507**.
- 62. Guo ZV, Inagaki HK, Daie K, Druckmann S, Gerfen CR, Svoboda K (2017): Maintenance of persistent activity in a frontal thalamocortical loop. *Nature* **545**:181-186.
- 63. Tanibuchi I, Goldman-Rakic PS (2003): Dissociation of spatial-, object-, and sound coding neurons in the mediodorsal nucleus of the primate thalamus. *J Neurophysiol* **89**:1067-1077.
- 64. Watanabe Y, Funahashi S (2004): Neuronal activity throughout the primate mediodorsal nucleus of the thalamus during oculomotor delayed-responses. II. Activity encoding visual versus motor signal. *J Neurophysiol* **92**:1756-1769.
- Watanabe Y, Funahashi S (2004): Neuronal activity throughout the primate mediodorsal nucleus of the thalamus during oculomotor delayedresponses. I. Cue-, delay-, and response-period activity. *J Neurophysiol* 92:1738-1755.

- 66. Watanabe Y, Funahashi S (2012): Thalamic mediodorsal nucleus and working memory. *Neurosci Biobehav Rev* **36**:134-142.
- 67. Rolls ET, Hornak J, Wade D, McGrath J (1994): Emotion-related learning in patients with social and emotional changes associated with frontal lobe damage. *J Neurol Neurosurg Psychiatry* **57**:1518-1524.
- 68. Dias R, Robbins TW, Roberts AC (1996): Dissociation in prefrontal cortex of affective and attentional shifts. *Nature* **380**:69-72.
- 69. Schoenbaum G, Nugent SL, Saddoris MP, Setlow B (2002): Orbitofrontal lesions in rats impair reversal but not acquisition of go, no-go odor discriminations. *Neuroreport* **13**:885-890.
- 70. Boulougouris V, Dalley JW, Robbins TW (2007): Effects of orbitofrontal, infralimbic and prelimbic cortical lesions on serial spatial reversal learning in the rat. *Behav Brain Res* **179**:219-228.
- 71. Clarke HF, Robbins TW, Roberts AC (2008): Lesions of the medial striatum in monkeys produce perseverative impairments during reversal learning similar to those produced by lesions of the orbitofrontal cortex. *J Neurosci* 28:10972-10982.
- 72. Rudebeck PH, Saunders RC, Prescott AT, Chau LS, Murray EA (2013): Prefrontal mechanisms of behavioral flexibility, emotion regulation and value updating. *Nat Neurosci* **16**:1140-1150.
- 73. Floresco SB, Zhang Y, Enomoto T (2009): Neural circuits subserving behavioral flexibility and their relevance to schizophrenia. *Behav Brain Res* **204**:396-409.
- 74. Beracochea DJ, Jaffard R, Jarrard LE (1989): Effects of anterior or dorsomedial thalamic ibotenic lesions on learning and memory in rats. *Behav Neural Biol* **51**:364-376.
- 75. Parker A, Eacott MJ, Gaffan D (1997): The recognition memory deficit caused by mediodorsal thalamic lesion in non-human primates: a comparison with rhinal cortex lesion. *Eur J Neurosci* **10**:3044-3057.
- 76. Dolleman-van der Weel MJ, Morris RG, Witter MP (2009): Neurotoxic lesions of the thalamic reuniens or mediodorsal nucleus in rats affect nonmnemonic aspect of watermaze learning. *Brain Struct Funct* **213**:329-342.
- 77. Block AE, Dhanji H, Thompson-Tardif SF, Floresco SB (2007): Thalamicprefrontal cortical-ventral striatal circuitry mediates dissociable components of strategy set shifting. *Cereb Cortex* **17**:1625-1636.
- 78. Chudasama Y, Bussey TJ, Muir JL (2001): Effects of selective thalamic and prelimbic cortex lesions on two types of visual discrimination and reversal learning. *Eur J Neurosci* **14**:1009-1020.
- 79. Chakraborty S, Kolling N, Walton ME, Mitchell AS (2016): Critical role for the mediodorsal thalamus in permitting rapid reward-guided updating in stochastic reward environments. *Elife* **5. pii: e13588**.
- 80. Adams CD, Disckinson A (1981): Instrumental responding following reinforcer devaluation. *Q J Exp Psychol* **33B**:109-121.
- 81. Colwill RM, Rescorla RA (1985): Postconditioning devaluation of a reinforcer affects instrumental responding. *J Exp Psychol: Anim Behav Process* 120-132.
- 82. Colwill RM, Rescorla RA (1986): Associative structures in instrumental learning. *Psychol Learn Motiv* 55-104.

- 83. Balleine BW, Dickinson A (1998,): Goal-directed instrumental action: contingency and incentive learning and their cortical substrates. *Neuropharmacology* **37**:407-419.
- 84. Corbit LH, Muir JL, Balleine BW (2003): Lesions of mediodorsal thalamus and anterior thalamic nuclei produce dissociable effects on instrumental conditioning in rats. *Eur J Neurosci* **18**:1286-1294.
- 85. Ostlund SB, Balleine BW (2007): Orbitofrontal cortex mediates outcome encoding in Pavlovian but not instrumental conditioning. *J Neurosci* **27**:4819-4825.
- 86. Parnaudeau S, Taylor K, Bolkan SS, Ward RD, Balsam PD, Kellendonk C (2015): Mediodorsal thalamus hypofunction impairs flexible goaldirected behavior. *Biol Psychiatry* **77**:445-454.
- 87. Ostlund SB, Balleine BW (2008): Differential involvement of the basolateral amygdala and mediodorsal thalamus in instrumental action selection. *J Neurosci***28**:4398-4405.
- 88. Mitchell AS, Browning PG, Baxter MG (2007): Neurotoxic lesions of the medial mediodorsal nucleus of the thalamus disrupt reinforcer devaluation effects in rhesus monkeys. *J Neurosci* **27**:11289-11295.
- 89. Pickens CL (2008): A limited role for mediodorsal thalamus in devaluation tasks. *Behav Neurosci.* **122**:659-676.
- 90. McDonald AJ (1991): Organization of amygdaloid projections to the prefrontal cortex and associated striatum in the rat. *Neuroscience* **44**:1-14.
- 91. McDonald AJ, Mascagni F, Guo L (1996): Projections of the medial and lateral prefrontal cortices to the amygdala: a Phaseolus vulgaris leucoagglutinin study in the rat. *Neuroscience* **71**:55-75.
- 92. Corbit LH, Balleine BW (2005): Double dissociation of basolateral and central amygdala lesions on the general and outcome-specific forms of pavlovian-instrumental transfer. *J Neurosci* **25**:962-970.
- 93. Osoba A, Hänggi J, Li M, Horn DI, Metzger C, Eckert U, *et al.* (2013): Disease severity is correlated to tract specific changes of fractional anisotropy in MD and CM thalamus: a DTI study in major depressive disorder. *J Affect Disord* **149**:116-128.
- 94. Brown EC, Clark DL, Hassel S, MacQueen G, Ramasubbu R (2017): Thalamocortical connectivity in major depressive disorder. *J Affect Disord* **217**:125-131.
- 95. Rotge JY, Guehl D, Dilharreguy B, Tignol J, Bioulac B, Allard M, Burbaud P, Aouizerate B (2009): Meta-analysis of brain volume changes in obsessive-compulsive disorder. *Biol Psychiatry* **65**:75-83.
- 96. Biezonski D, Cha J, Steinglass J, Posner J (2016): Evidence for thalamocortical circuit abnormalities and associated cognitive dysfunctions in underweight individuals with anorexia nervosa. *Neuropsychopharmacology* **41**:1560-1568.
- 9/. Mueller SG, Ng P, Neylan T, Mackin S, Wolkowitz O, Mellon S, *et al.* (2015): Evidence for disrupted gray matter structural connectivity in posttraumatic stress disorder. *Psychiatry Res* **234**:194-201.
- 98. Anticevic A, Cole MW, Repovs G, Murray JD, Brumbaugh MS, Winkler AM, et. al. (2014): Characterizing Thalamo-Cortical Disturbances in Schizophrenia and Bipolar Illness. Cereb Cortex 24:3116-3130

- 99. Millan MJ, Agid Y, Brune M, Bullmore ET, Carter CS, Clayton NS, *et al.* (2012): Cognitive dysfunction in psychiatric disorders: characteristics, causes and the quest for improved therapy. *Nat Rev Drug Discov* **11**:141-168.
- 100. Green MF, Kern RS, Braff DL, Mintz J (2000): Neurocognitive deficits and functional outcome in schizophrenia: are we measuring the "right stuff"? *Schizophr Bull* **26**:119-136.
- 101. Burgess PW, Scott SK, Frith CD (2003): The role of the rostral frontal cortex (area 10) in prospective memory: a lateral versus medial dissociation. *Neuropsychologia* **41**:906-918.
- 102. Krasnow B, Tamm L, Greicius MD, Yang TT, Glover GH, Reiss AL, Menon V (2003): Comparison of fMRI activation at 3 and 1.5 T during perceptual, cognitive, and affective processing. *Neuroimage* **18**:813-826.
- 103. Hazlett EA, Buchsbaum MS, Byne W, Wei TC, Spiegel-Cohen J, Geneve C, *et al.* (1999): Three-dimensional analysis with MRI and PET of the size, shape, and function of the thalamus in the schizophrenia spectrum. *Am J Psychiatry* **156**:1190-1199.
- 104. Hazlett EA, Buchsbaum MS, Kemether E, Bloom R, Platholi J, Brickman AM, *et al.* (2004): Abnormal glucose metabolism in the mediodorsal nucleus of the thalamus in schizophrenia. *Am J Psychiatry* **161**:305-314.
- 105. Andrews J, Wang L, Csernansky JG, Gado MH, Barch DM (2006): Abnormalities of thalamic activation and cognition in schizophrenia. *Am J Psychiatry* **163**:463-469.
- 106. Minzenberg MJ, Laird AR, Thelen S, Carter CS, Glahn DC (2009): Metaanalysis of 41 functional neuroimaging studies of executive function in schizophrenia. *Arch Gen Psychiatry* **66**:811-822.
- 107. Woodward ND, Karbasforoushan H, Heckers S (2012): Thalamocortical dysconnectivity in schizophrenia. *Am J Psychiatry* **169**:1092-1099.
- 108. Anticevic A, Haut K, Murray JD, Repovs G, Yang GJ, Diehl C, *et al.* (2015): Association of thalamic dysconnectivity and conversion to psychosis in youth and young adults at elevated clinical risk. *JAMA Psychiatry* **72**:882-891.
- 109. Woodward ND, Heckers S (2016): Mapping thalamocortical functional connectivity in chronic and early stages of psychotic disorders. *Biol Psychiatry* **79**:1016-1025.
- 110. Cho KI, Shenton ME, Kubicki M, Jung WH, Lee TY, Yun JY, *et al.* (2016): Altered thalamo-cortical white matter connectivity: probabilistic tractography study in clinical-high risk for psychosis and first-episode psychosis. *Schizophr Bull* **42**:723-731.
- 111. Marenco S, Stein JL, Savostyanova AA, Sambataro F, Tan HY, Goldman AL, *et al.* (2012): Investigation of anatomical thalamo-cortical connectivity and FMRI activation in schizophrenia. *Neuropsychopharmacology* **37**:499-507.
- 112. Kubota M, Miyata J, Sasamoto A, Sugihara G, Yoshida H, Kawada R, *et al.* (2013): Thalamocortical disconnection in the orbitofrontal region associated with cortical thinning in schizophrenia. *JAMA Psychiatry* **70**:12-21.
- 113. Katz M, Buchsbaum MS, Siegel BV, Jr., Wu J, Haier RJ, Bunney WE, Jr. (1996): Correlational patterns of cerebral glucose metabolism in never-medicated schizophrenics. *Neuropsychobiology* **33**:1-11.

- 114. Mitelman SA, Byne W, Kemether EM, Hazlett EA, Buchsbaum MS (2005): Metabolic disconnection between the mediodorsal nucleus of the thalamus and cortical Brodmann's areas of the left hemisphere in schizophrenia. *Am J Psychiatry* **162**:1733-1735.
- 115. Giraldo-Chica M, Rogers BP, Damon SM, Landman BA, Woodward ND (in press): Prefrontal-thalamic anatomical connectivity and executive cognitive function in schizophrenia. *Biol Psychiatry*
- 116. Pinault D, Deschênes M (1998): Projection and innervation patterns of individual thalamic reticular axons in the thalamus of the adult rat: a three-dimensional, graphic, and morphometric anlysis. *J Comp Neurol* **391**:180-203.
- 117. Ferrarelli F, Tononi G (2011): The thalamic reticular nucleus and schizophrenia. *Schizophr Bull* **37**:306-315.
- 118. Halassa MM, Chen Z, Wimmer RD, Brunetti PM, Zhao S, Zikopoulos B, *et al.* (2014): State-dependant architecture of thalamic reticular subnetworks. *Cell* **158**:808-821.
- 119. Downs ME, Buch A, Sierra C, Karakatsani ME, Teichert T, Chen S, *et al.* (2015): Long-term safety of repeated blood-brain barrier opening via focused ultrasound with microbubles in non-human primates performing a cognitive task. *PLoS One* **10**:e0125911.
- 120. Grossman N, Bono D, Dedic N, Kodandaramaiah SB, Rudenko A, Suk HJ, *et al.* (2016): Noninvasive deep brain stimulation via temporally interfering electric fields. *Cell* **169**:1029-1041.
- 121. Kuramoto E, Pan S, Furuta T, Tanaka YR, Iwai H, Yamanaka A, *et al.* (2016): Individual Mediodorsal Thalamic Neurons Project to Multiple Areas of the Rat Prefrontal Cortex: A Single Neuron-Tracing Study Using Virus Vectors. *J Comp Neurol* **525**:166-185.
- 122. Kuroda M, Yokofujita J, Murakami K (1998): An ultrastructural study of the neural circuit between the prefrontal cortex and the mediodorsal nucleus of the thalamus. *Prog Neurobiol* **54**:417-458.
- 123. Rotaru DC, Barrionuevo G, Sesack SR (2005): Mediodorsal thalamic afferents to layer III of the rat prefrontal cortex: synaptic relationships to subclasses of interneurons. *J Comp Neurol* **490**:220-238.
- 124. Delevich K, Tucciarone J, Huang ZJ, Li B (2012): **T**he mediodorsal thalamus drives feedforward inhibition in the anterior cingulate cortex via parvalbumin interneurons. *J Neurosci* **35**:5743-5753.
- 125. Cruikshank SJ, Ahmed OJ, Stevens TR, Patrick SL, Gonzalez AN, Elmaleh M, Connors BW (2012): Thalamic control of layer 1 circuits in prefrontal cortex. *J Neurosci* **32**:17813-17823.
- 126. Zikopoulos B, Barbas H (2006): Prefrontal projections to the reticular nucleus form a unique circuit for attentional mechansims. *J Neurosci* **26**:7348-7361.
- 127. Xiao D, Zikopoulos B, Barbas H (2009): Laminar and modular organization of prefrontal projections to multiple thalamic nuclei. *Neuroscience* **161**:1067-1081.
- 128. McFarland NR, Haber SN (2002): Thalamic relay nuclei of the basal ganglia form both reciprocal and nonreciprocal cortical connections, linking multiple frontal cortical areas. *J Neurosci* **22**:8117-8132.

#### **Figure legends**

#### Figure1: Thalamo-prefrontal circuitry non-human primates and mice

**A)** Schema of MD-PFC circuits topographic organization in the monkey (left panel) and in the mouse (right panel) (based on Jones EG, the thalamus(2). In non-human primates, the medial magnocellular region is interconnected to the orbital cortex (OFC), the central parvocellular region with the dorsolateral PFC, and the lateral multiform part with the premotor cortical area. In rodents, the medial segment of MD shares connections with the ventral-medial PFC (prelimbic and infralimbic cortices, medial OFC). The central part of the MD is interconnected with the lateral OFC, and the lateral MD with the dorsal-medial PFC (anterior cingulate and accessory motor cortices).

AI: agranular insular; cen: central MD; Cg1: cingulate cortex 1; CL: centrolateral thalamic nucleus; CM: Centromedian thalamic nucleus; DL: dorsolateral PFC; DM/Cg: dorsomedial/cingulate cortex; lat: lateral MD; LO: lateral orbitofrontal cortex; M1 and M2: primary and secondary motor cortex; mc: magnocellular MD; med: medial MD; mf: multiform MD; MO: medial orbitofrontal cortex; pc: parvocellular MD; OFC: orbitofrontal cortex; PC: paracentral thalamic nucleus PF: parfascicular nucleus; PrL: prelimbic cortex; PV: paraventricular thalamic nucleus; TRN: talamic reticular nucleus; VO: ventral orbitofrontal cortex.

**B)** Schema of the ultrastructural organization if MD-PFC circuits. MD relay cells send widespread projection to cortical layer I and topographic projections to layers II/III/V and possibly VI (although see Kuramoto et al.(121)). MD terminals make contacts with pyramidal projection neurons (Pyr) as well as inhibitory interneurons including parvalbumin-expressing basket cells (Bas)(122-125). In primates, most cortical input to the MD stems from layer VI pyramidal cells that send projections to topographically interconnected MD regions(126,127). In contrast, layer V pyramidal neurons, so-termed driving inputs, appear to innervate the MD in a non-reciprocal manner, with one prefrontal area innervating several MD subregions(128). Overall, this organization suggests that MD-PFC circuitry functions in intimately interconnected open loops rather than strictly parallel and independent units.

#### Figure 2: Thalamo-Prefrontal interactions during working memory

**A)** Schematic depictions of thalamo-prefrontal interactions during a T-maze DNMS working memory task in the mouse. (Left) During the sample phase, spatial encoding is supported by inputs from ventral hippocampus (vHip) to mPFC (based on Spellman et *al.*<sup>50</sup>). (Middle) Upon its recruitment by the mPFC, the MD is critical for amplifying and sustaining cortical activity during the delay, which is critical for task performance (based on Bolkan et *al.*<sup>49</sup>). (Right) mPFC to MD projections participate in memory retrieval or choice selection (based on Bolkan et *al.*<sup>49</sup> and Schmitt et al.<sup>56</sup>) and may serve as a relay station to areas involved in motor function such as the primary motor cortex (M1).

**B)** Sustained cortical activity during the working memory delay relies on a crosstalk between the MD and mPFC. (Left) Schematic depiction of six mPFC neurons exhibiting sequential increased activity across the delay phase (0-60 sec). Elevated activity is dependent on local cortical connectivity as well as on thalamo-cortical input (Right). Inhibiting MD to mPFC projections reveals that local cortical circuits may not be sufficient to maintain mPFC neuronal activity across the entire delay period. This sustained activity of mPFC neuron across delay requires MD inputs (right panel).

## Figure 1



## Figure 2

