Anterior thalamic stimulation improves working memory precision judgments

Jiali Liu, Tao Yu, Jinfeng Wu, Yali Pan, Zheng Tan, Ruobing Liu, Xueyuan Wang, Liankun Ren, Liang Wang

PII: S1935-861X(21)00144-3

DOI: https://doi.org/10.1016/j.brs.2021.07.006

Reference: BRS 2004

To appear in: Brain Stimulation

Received Date: 10 February 2021

Revised Date: 25 June 2021

Accepted Date: 15 July 2021

Please cite this article as: Liu J, Yu T, Wu J, Pan Y, Tan Z, Liu R, Wang X, Ren L, Wang L, Anterior thalamic stimulation improves working memory precision judgments, *Brain Stimulation* (2021), doi: https://doi.org/10.1016/j.brs.2021.07.006.

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### **Credit Author Statement**

Jiali Liu: Data collection, Data curation, Formal analysis, Writing - original draft, Revising the manuscript critically for important intellectual content, Approval of the version of the manuscript critically for important intellectual content, Approval of the version of the manuscript critically for important intellectual content, approval of the version of the manuscript to be published. Jingfeng Wu: Data collection, Approval of the version of the manuscript to be published. Yali Pan: Task design, Data collection, Approval of the version of the manuscript to be published. Zheng Tan: Data curation, Formal analysis, Approval of the version of the manuscript to be published. Ruobing Liu: Data collection, Revising the manuscript critically for important intellectual content, Approval of the version of the version, Data curation, Approval of the version of the manuscript to be published. Ruobing Liu: Data collection, Revising the manuscript critically for important intellectual content, Approval of the version of the version, Data curation, Approval of the version of the manuscript to be published. Ruobing Liu: Data collection, Revising the manuscript critically for important intellectual content, Approval of the version of the version of the version, Approval of the version of the manuscript to be published. Xueyuan Wang: Data collection, Writing - original draft, Approval of the version of the manuscript to be published. Liang Wang: Conceptualization, Funding acquisition, Data curation, Formal analysis, Writing – original draft, revising the manuscript critically for important intellectual content, Approval of the version of the manuscript to be published. Liang Wang: Conceptualization, Funding acquisition, Data curation, Formal analysis, Writing – original draft, revising the manuscript critically for important intellectual content, Approval of the version of the manuscript to be published.

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- 1 Anterior thalamic stimulation improves working memory precision judgments
- <sup>2</sup> Jiali Liu<sup>1,2#</sup>, Tao Yu<sup>3,4#</sup>, Jinfeng Wu<sup>1,2</sup>, Yali Pan<sup>1</sup>, Zheng Tan<sup>1,2</sup>, Ruobing Liu<sup>1,2</sup>,
- 3 Xueyuan Wang<sup>3,4</sup>, Liankun Ren<sup>3,4</sup>, Liang Wang<sup>1,2\*</sup>
- <sup>4</sup> <sup>1</sup>CAS Key Laboratory of Mental Health, Institute of Psychology, Beijing, China.
- <sup>5</sup> <sup>2</sup>Department of Psychology, University of Chinese Academy of Sciences, Beijing,
   <sup>6</sup> China.
- <sup>3</sup>Beijing Institute of Functional Neurosurgery, Xuanwu Hospital, Capital Medical
   <sup>9</sup>University, Beijing, China
- 9 <sup>4</sup>Comprehensive Epilepsy Center of Beijing, The Beijing Key Laboratory of
- 10 Neuromodulation, Department of Neurology, Xuanwu Hospital, Capital Medical
- 11 University, Beijing, China
- 12 <sup>#</sup>these authors have equal contribution
- 13 \*Correspondence: Liang Wang, CAS Key Lab of Mental Health, Institute of
- 14 Psychology, 16 Lincui Rd., Chaoyang District, Beijing 100101, China. Tel: +86-10-
- 15 64888632, Fax: +86-10-64872070, Email: <u>lwang@psych.ac.cn</u>
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- 17

# 18 Abstract:

19	Background: The anterior nucleus of thalamus (ANT) has been suggested as an
20	extended hippocampal system. The circuit of ANT and hippocampus has been widely
21	demonstrated to be associated with memory function. Both lesions to each region and
22	disrupting inter-regional information flow can induce working memory impairment.
23	However, the role of this circuit in working memory precision remains unknown.
24	<b>Objective:</b> To test the role of the hippocampal-anterior thalamic pathway in working
25	memory precision, we delivered intracranially electrical stimulation to the ANT. We
26	hypothesize that ANT stimulation can improve working memory precision.
27	Methods: Presurgical epilepsy patients with depth electrodes in ANT and
28	hippocampus were recruited to perform a color-recall working memory task.
29	Participants were instructed to point out the color they were supposed to recall by
30	clicking a point on the color wheel, while the intracranial EEG data were
31	synchronously recorded when participants performed the task. For randomly selected
32	half trials, a bipolar electrical stimulation was delivered to the ANT electrodes.
33	Results: We found that compared to non-stimulation trials, working memory
34	precision judgements were significantly improved for stimulation trials. ANT
35	electrical stimulation significantly increased spectral power of gamma (30-100Hz)
36	oscillations and decreased interictal epileptiform discharges (IED) in the
37	hippocampus. Moreover, the increased gamma power during the pre-stimulus and
38	retrieval period predicted the improvement of working memory precision judgements.
39	Conclusion: ANT electrical stimulation can improve working memory precision

- 40 judgements and modulate hippocampal gamma activity, providing direct evidence on
- the role of the human hippocampal-anterior thalamic axis in working memory 41
- 42 precision.
- Keywords: anterior nucleus of thalamus, electrical stimulation, hippocampus, 43

44 neural oscillations, working memory precision

45

ournal proposition

#### **Highlight:** 46

- 47 Electrical stimulation to ANT induced the improvement of working memory
- 48 precision.

53

- Electrical stimulation to ANT increased gamma activity and decreased IED in the 49 50 hippocampus.
- 51 Increased post-stimulation gamma predicted the improvement of working memory precision. 52

# 54 Introduction

55	Working memory is a critical cognitive function[1] in supporting a lot of daily
56	behavior including learning[2], decision making[3], and language comprehension[4].
57	Recent studies suggest that the hippocampus was involved in working memory[5-
58	8].According to the multiplexing buffer model, working memory contents are
59	represented by neural assemblies synchronized in gamma oscillations locked to
60	specific phases of low frequency oscillations[9-11]. Consistent with this, several
61	studies have found increased hippocampal gamma activity with working memory load
62	[6, 7] and prominent theta-gamma coupling in the hippocampus when multiple items
63	are retained[5]. A rodent study reported that successful working memory was linked
64	to synchronized gamma oscillations within hippocampal formation[8]. Furthermore,
65	human intracranial EEG study revealed that hippocampal gamma power during
66	memory retrieval was predictive of memory precision[12].
67	With dense and reciprocal connections with the hippocampus[13-15], and its
68	involvement in cognitive function[15-17], the anterior nucleus of thalamus (ANT) has
69	been suggested as an extended hippocampal system[18-20]. A rodent study found that
70	disrupting information flow between the hippocampal and ANT impaired spatial
71	working memory performance[21]. Electrical stimulation directly targeted to the
72	hippocampus can disrupt memory performance[22, 23]. Given the evidence that
73	stimulating a critical node within a functional network may modulate the network
74	function[24], targeting hippocampal afferent projections may be a more efficient
75	strategy to drive the downstream structure in order to modulate memory

performance[25]. Thus, it is possible to modulate working memory performance by
 electrically stimulating the ANT.

78 The current study was to examine whether directly electrical stimulation of ANT can modulate working memory precision. A classic color recall paradigm[26, 27] was 79 80 performed by drug-resistant epileptic patients with implanted depth electrodes, while 81 a bipolar electrical stimulation was delivered to the ANT electrodes for a half of trials. 82 Given the evidence that extensive functional and anatomy connections between the hippocampus and ANT and the hippocampus also plays a role in working memory, we 83 84 hypothesized that ANT electrical stimulation may improve working memory precision judgements. 85

## 86 Materials and Methods

## 87 **Participants**

88 Eight patients with medically refractory temporal lobe epilepsy who were

89 stereotactically implanted the stereo-electroencephalography (SEEG) depth electrodes

90 to identify epileptogenic zones at Xuanwu hospital were recruited in the current study.

91 Demographic information for each patient was shown in Table 1. All patients reported

normal or corrected to normal acuity and normal color vision. Informed consent was

93 obtained from all subjects and study procedures were approved by the ethical

94 committee of Xuanwu Hospital, Capital Medical University.

## 95 Electrode Localization

96 Postoperative CT was co-registered to the preoperative MRI using FreeSurfer

97 Software Suite[28] (<u>http://surfer.nmr.mgh.harvard.edu</u>) and FMRIB Software

98	Library( <u>https://fsl.fmrib.ox.ac.uk)</u> [29]. The implanted electrodes were reconstructed
99	using the stereotactic localization software[30]. Then all electrodes were mapped onto
100	a standard MNI space. All hippocampal contacts (56 contacts from 8 patients, red
101	circles) in the MNI space were visualized using BrainNet viewer[31].
102	Electrophysiological recordings and data preprocessing
103	Intracranial EEG data were recorded by Blackrock Neuroport recording system during
104	the experiment, with a sample rate at 2000 Hz. Artifact periods were identified by
105	visual inspection and rejected from the raw signals. Bipolar re-reference was
106	employed to reduce volume conduction as well as confounding interactions between
107	neighboring contacts. The resultant data were broken into event-related epochs for
108	further analysis.

## **109** Electrical stimulation

110 Electrical stimulation was delivered extra operatively by an external stimulator

111 (CereStim R96, Blackrock Microsystems) while the participants performed the task.

112 The stimulation was entered to the paired neighbor contacts in the ANT using

113 biphasic rectangular pulses with a width of 300  $\mu$ s and an amplitude of 0.2mA at the

114 frequency of 50Hz (Fig. 1B-C). Pat2 receives 50Hz stimulation at the amplitude of

115 0.5mA (Table 1).

## 116 **Experimental paradigm**

117 The working memory task was presented on a 14-in laptop monitor at a viewing

distance of about 60 cm with a grey background. A trial started with the display of a

white fixation cross  $(0.3^{\circ})$  on the center of the screen, lasting for 4.2 - 5.2 s (Fig. 1A).

120	Two colored squares $(1.5^{\circ} \times 1.5^{\circ})$ were displayed centrally and sequentially, each of
121	which lasted for 0.3 s and was followed by an interval of 0.4-0.5 s in which only the
122	fixation cross was showed centrally. The two colors were chosen at random from nine
123	pre-selected colors, each of which was spaced at least 40 degrees on a color wheel
124	comprising a circularly gradient subset of colors. After that, a cued Arabic numeral (1
125	or 2) was evenly shown for 0.55 s, indicating which color to be recalled afterwards.
126	The cue was followed by another interval of 2.5-3 s only with the fixation cross. Then
127	a color wheel was displayed and consisted of continuous colors (a thick of 1.5° and a
128	radius of 9.5°). Participants were instructed to point out the color they were supposed
129	to recall by using a mouse to click a point on the color wheel. To eliminate the
130	contribution of spatial memory, the color wheel rotated randomly across trials. One
131	second electrical stimulation were implemented in randomly selected trials across all
132	10 blocks (90 trials for stimulation trials, 90 trials for non-stimulation trials). Each
133	block was composed of 18 trials and the participants can rest during the interval of
134	blocks. The first and last 4 trials (8trials) from the whole 180 trials that did not
135	contain stimulation. Pre-stimulus statement is important for the subsequent task, as
136	recent studies suggested[32-34]. Previous studies applying stimulation during task
137	generally disrupt memory[22-24]. Exogenous stimulation might disrupt the ongoing
138	organized cognitive activities inside the brain. Multiple studies have shown that pre-
139	stimulus neural activity is important for the subsequent task[32-36]. Therefore,
140	electrical stimulation was applied beginning 2.2-2.7 s before the first color square
141	onset trying to modulate the mental state in the pre-stimulus, as referred to the recent

study[25]. The subjects were not informed which trials were sham and which

- 143 contained stimulation and they also can't report the occurrence of stimulation. Before
- 144 the formal experiment, we initially tested the used parameter of electrical stimulation.
- 145 We asked the patients whether they felt any differences when electrical stimulation
- 146 was silently delivered or not. None of the patients reported they feel anything
- 147 different when electrical stimulation was delivered.

# 148 **Data processing and statistical analysis**

149 Working memory precision

150 We estimated the precision of working memory based on the Swap model in which three responses were measured: target response when participants correctly reported 151 152the color of the probed item with some variability, non-target response when subjects mistakenly reported the color that was not supposed to recall (e.g., though the second 153color was cued, the first color was recalled) and guessing response for the probe item 154 155completely not in memory[37]. Both target responses and non-target responses fit to a Von Mises distribution centered on the color value of probed and misreported item, 156 157 respectively, with the same standard deviation since target and non-target colors will on average be stored with the same precision[37]. The guessing response was 158 assumed to be a uniform distribution because subjects may response randomly when 159no color information was memorized (Fig. 1D). The model can be described in the 160 161 equation:

162 
$$p(\hat{\theta}) = (1 - \gamma - \beta)\phi_{\sigma}(\hat{\theta} - \theta) + \gamma \frac{1}{2\pi} + \beta \frac{1}{m} \sum_{i}^{m} \phi_{\sigma}(\hat{\theta} - \theta_{i})$$

where  $\hat{\theta}$  is the reported color value (in radians),  $\theta$  is the target color value, and  $\theta_i$ 163 164 is the non-target color value.  $\gamma$  is the proportion of trials on which the subject responds at random, and  $\beta$  is the probability of misremembering the target location. 165  $\phi_{\sigma}$  denotes the Von Mises distribution with mean of zero and standard deviation 166 (SD). The SD of the Von Mises distribution reflects the overall precision of 167 responses, which we referred as Recall SD in the following. For each patient, we first 168 used the Bays' lab toolbox to obtain an estimation of concentration parameter 169 (K)[37]. K was further converted to the circular standard deviation of the Von Mises 170 171 distribution with the function k2sd. (https://bayslab.com/code.php).

## 172 **Oscillatory power**

We calculate the power prior stimulus onset as follows. We created the sham 173 174stimulation time point (2.2-2.7 s before the first color square) for trials without stimulation according to the electrical stimulation onset in trials with stimulation. The 175sham stimulation had the same time window as the true stimulation. We measured 176 177oscillatory power in the LFP signals with Morlet wavelets (wave number = 5) at 40 logspaced frequencies between 1 and 200 Hz using Fieldtrip toolbox [38]. The power (P) 178 per frequency at each time point was log transformed and corrected to the averaged 179 180 baseline power (P0) across all trials (-1.5 to -0.5 s prior to electrical stimulation onset or sham electrical stimulation onset) and time 10 to obtain the normalized power (dB) 181 182 (i.e., 10×log10(P/P0)). Gamma power (30-100Hz) was measured in 0.8 s time window (i.e., 0.2 s to 1 s after stimulation offset for trials with stimulation, 0.2 s to 1 s after sham
stimulation offset for trials without stimulation).

185 We further examined the hippocampus activity during the first encoding, the second encoding, retrieval. The preprocessed hippocampal data were grouped into 186 187 event-related epochs. We then did time-frequency decomposition and baseline 188 correction exactly the same way as above. The baseline correction time window was -1.5 to -0.5 s prior to electrical stimulation onset for trials with stimulation, -1.5 to -0.5 189 s prior to sham electrical stimulation onset for trials without stimulation. Gamma 190 191 power (30-100Hz) was extracted for the first encoding (i.e., 0.25 to 0.7 s after first color onset), the second encoding (i.e., 0.25 to 0.7 s after second color onset), retrieval 192 193 (i.e., 0.5 to 1.5 s after the cue onset). The start of the encoding window was based on 194 the finding that stimulus-evoked activity was shown to emerge in the hippocampus 0.25 s after external stimulus onset [12, 39, 40]. We chose the time window of retrieval 195 according to a recent study indicating that memory retrieval happened between 0.5 s 196 197 and 1.5 s after the cue presentation[41].

## 198 Interictal epileptiform discharges analysis

199 Abnormal electrical activity during interictal intervals, i.e., interictal epileptiform

discharges (IED), was found in the hippocampus for patients with temporal lobe

- 201 epilepsy[42] which can impair memory function[43, 44]. In the current study, an
- automated detection algorithm developed in a previous study[45] was used to identify
- 203 IEDs for all patients. In brief, the preprocessed data were downsampled to 200 Hz,
- then band-pass filtered from10 Hz to 60 Hz. Instantaneous envelope of each channel

205	was obtained by calculating the absolute value of the Hilbert transform of the filtered
206	data. The signal envelope was segmented using sliding windows with a width of 5 s
207	and an overlap of 80%. The time varying threshold of $k \times (Mode + Median)$ was used
208	to detect IED, where $k$ was 5 in this study and Mode and Median was obtained
209	through a maximal likelihood estimation of a log-normal statistical distribution of the
210	signal envelope in each segment. Each channel had its own envelope and its own
211	threshold curve now. Local maxima at intersections between envelope and threshold
212	curves were marked as detected IEDs. Originally, the performance of the automated
213	detector performance was evaluated by experienced neurophysiologists which showed
214	high detective sensitivity and low false positive rate[45]. To eschew the possibility
215	that the stimulation artifacts (in stim trials) affected the variability of LFP signals,
216	which may consequently reduce the sensitivity of IED detection. We removed the
217	instantaneous envelope when electrical stimulation was implemented. The removed
218	time window was from 0.2 s before stimulation onset to 0.2 s after stimulation offset.
219	In the current study, to examine the effect of ANT stimulation to the occurrence rate
220	of hippocampal IEDs during the working memory task, we detected IED during the
221	entire recording. After that, the number of IEDs detected from the first color square
222	onset until the response action were summed up and then divided by the total time
223	length to calculate the IED rate (count/sec). Afterwards, the IED rate was compared
224	between trials with and without stimulation.

# 225 Statistical analysis

226	Statistical analysis was processed using custom scripts combined with open source
227	toolboxes developed in MATLAB (MathWorks, Natick, MA, USA). Wilcoxon
228	signed-rank test was performed to measure the effect of stimulation and difference in
229	memory precision of the probed color. The comparison of gamma power and IED rate
230	of the hippocampus between electrical stimulation and non-stimulation were carried
231	out using linear mixed-effect (LME) model. The LME model is a sort of regression
232	model in which the variation of a dependent variable is modeled as a function of both
233	fixed and random effects[46]. LME model is very suitable for our dataset because it
234	can be used at a group level, while accounting for repeated measurements from one
235	sample, which occurred as we tested the stimulation effects at the same contact.
236	Furthermore, the LME model can account for the uneven sampling across conditions
237	and groups. This characteristic is important for our analysis because participants had
238	different number of contacts in the hippocampus. The LME model was defined as
239	follows:

## 240 Y~ condition+(1|subject) +(1|subject: electrode)

The condition was fixed effect variable, while subject and electrode were random effect variable. We compared gamma power (Y) between trials with and without electrical stimulation during the pre-stimulus fixation, the first encoding, the second encoding, retrieval and the trial offset period separately. The condition referred to the trial type (trials with stimulation and trials without stimulation). To examine the change of IED rate, the condition was trials with and without stimulation, while Y

247	was IED rate under the corresponding condition. The LME model was implemented
248	using the <i>fitlme</i> function in MATLAB. The correlation between the changed
249	electrophysiological activity (i.e., gamma power/IED with electrical stimulation -
250	gamma power/IED without electrical stimulation) and precision change (i.e., SD with
251	electrical stimulation - SD without electrical stimulation) was measured by Spearman
252	correlation.

253 **Results** 

# Electrical stimulation enhanced working memory precision judgements

256 For each subject, the recall SD calculated by fitting the error distributions using the Swap model, in which smaller recall SD indicated better memory precision[37] (Fig. 257 1D). To determine the behavioral effects of stimulation, we compared recall SD 258 between trials in which subjects did and did not receive stimulation using Wilcoxon 259 signed-rank test. We found that electrical stimulation significantly improved memory 260 261 performance (z = 2.240, p = 0.025) (Fig. 2A). Furthermore, the working memory precision improvements were highly consistent across subjects (7/8) (Fig. 2B). These 262 263 results indicated that stimulation delivered to the ANT during the pre-encoding 264 fixation can improve working memory precision judgement.

## **Increased hippocampus gamma activity**

Given that the hippocampus has been proposed involving in processing memory

267	precision[12], we analyzed the neural changes of 56 hippocampal contacts (Fig. 3A)
268	related to ANT stimulation. Recent studies found that electrical stimulation enabled to
269	induce high-frequency activity and that the induced gamma activity was associated
270	with memory performance[47]. In the current study, pre-stimulus gamma power (30-
271	100Hz) in stimulation trials were compared with that in the non-stimulation trials
272	using the LME model. The analysis showed an increased gamma power in the
273	hippocampus after ANT stimulation during pre-stimulus fixation ( $\beta = -0.155$ , $t(110) =$
274	-3.447 , $p < 0.001$ ) (Fig. 3B-C). We also found hippocampus gamma power was
275	higher during the first encoding ( $\beta$ = -0.101, $t(110)$ = -2.990, $p$ = 0.003,
276	supplementary Fig. 1B), the second encoding ( $\beta = -0.101$ , $t(110) = -3.526$ , $p < 0.001$ ,
277	supplementary Fig. 1D) and retrieval ( $\beta = -0.104$ , $t(110) = -3.471$ , $p < 0.001$ ) in the
278	trial with stimulation (Fig. 3F). However, the theta, alpha and beta power were not
279	significant between trials with and without stimulation during different stages (all $p$
280	values > 0.05, supplementary Fig. 1A-D).

# **Decreased IED rate in the hippocampus**

282 Considering the subjects recruited in the current study was patients with temporal lobe

- 283 epilepsy, we further examined whether electrical stimulation improved memory
- 284 precision by reducing epilepsy discharges. IED was recognized as the biomarker of
- epileptogenic tissue and hippocampal IED can cause cognitive impairments[42, 43].
- 286 We computed IED incidence for each hippocampal contact between trials with and
- 287 without stimulation. Using the LME model, we found statistically reliable reduction

288 in IED rate as a result of electrical stimulation ( $\beta = 0.010, t(110) = 2.782, p = 0.006$ ) 289 (Fig. 4).

## 290 Correlation between electrophysiological activity and

## 291 behavioral performance

292 Next, we performed the correlation analysis between electrophysiological activity

- 293 (gamma power and IED) and behavioral performance. We did not find that
- <sup>294</sup> hippocampal IED rate change was related to working memory precision change (IED:
- r = -0.262, p = 0.536). However, the correlation between pre-stimulus hippocampal
- 296 gamma power change and working memory precision change was significant (rho = -
- $297 \quad 0.738, p = 0.046$ ) (Fig. 3D). Further, the increased gamma power during the retrieval
- 298 period was also correlated with working memory improvement (rho = -0.881, p =
- 299 0.007 Fig. 3E). The increased gamma power during the first encoding and second

encoding was not correlated with working memory improvement (all p > 0.05).

## 301 **Discussion**

The current study revealed that electrical stimulation targeted to ANT was effective in improving working memory precision. Indeed, we found that ANT stimulation can increase hippocampal gamma power, and decrease IED occurrence rate in the hippocampus. Increased hippocampal gamma power significantly correlated with the working memory precision judgements, which provided the causal role of the hippocampal-anterior thalamic axis in working memory precision.

308 A large number of studies attempted to improve memory via electrical

309	stimulation delivered to hippocampus related structures and yielded inconsistent
310	results[22, 23, 25, 48]. The current study applied electrical stimulation to ANT, one of
311	the primary components in the circuit of Papez. The Papez circuit was proposed as the
312	anatomical basis of memory[49]. Researchers has proposed that modulating the neural
313	activity in the Papez circuit can affect hippocampal activity and thus change memory
314	performance. Consistent with this, we found electrical stimulation improved working
315	memory precision, which can be predicted by the increased hippocampal gamma
316	power. Our results supported the growing consensus that direct electrical stimulation
317	can enable to modulate the activity of a distributed network connected to the
318	stimulation site[24, 50]. This inference was line with the perspective from
319	noninvasive stimulation used to investigate global brain network dynamics and
320	organization[51].
320 321	organization[51]. We delivered electrical stimulation to ANT, and found stimulation improve
320 321 322	organization[51]. We delivered electrical stimulation to ANT, and found stimulation improve working memory precision. Given this result indicates hippocampal-anterior thalamic
320 321 322 323	organization[51]. We delivered electrical stimulation to ANT, and found stimulation improve working memory precision. Given this result indicates hippocampal-anterior thalamic axis is causally involved, there are two possibilities accounting for working memory
<ul><li>320</li><li>321</li><li>322</li><li>323</li><li>324</li></ul>	organization[51]. We delivered electrical stimulation to ANT, and found stimulation improve working memory precision. Given this result indicates hippocampal-anterior thalamic axis is causally involved, there are two possibilities accounting for working memory precision improvement. First, ANT stimulation itself may improve memory directly as
<ul> <li>320</li> <li>321</li> <li>322</li> <li>323</li> <li>324</li> <li>325</li> </ul>	organization[51]. We delivered electrical stimulation to ANT, and found stimulation improve working memory precision. Given this result indicates hippocampal-anterior thalamic axis is causally involved, there are two possibilities accounting for working memory precision improvement. First, ANT stimulation itself may improve memory directly as it is a critical component of the extended hippocampal system supporting memory[19,
<ul> <li>320</li> <li>321</li> <li>322</li> <li>323</li> <li>324</li> <li>325</li> <li>326</li> </ul>	organization[51]. We delivered electrical stimulation to ANT, and found stimulation improve working memory precision. Given this result indicates hippocampal-anterior thalamic axis is causally involved, there are two possibilities accounting for working memory precision improvement. First, ANT stimulation itself may improve memory directly as it is a critical component of the extended hippocampal system supporting memory[19, 20]. Furthermore, ANT was reported to play a vital role in memory-guided
<ul> <li>320</li> <li>321</li> <li>322</li> <li>323</li> <li>324</li> <li>325</li> <li>326</li> <li>327</li> </ul>	organization[51]. We delivered electrical stimulation to ANT, and found stimulation improve working memory precision. Given this result indicates hippocampal-anterior thalamic axis is causally involved, there are two possibilities accounting for working memory precision improvement. First, ANT stimulation itself may improve memory directly as it is a critical component of the extended hippocampal system supporting memory[19, 20]. Furthermore, ANT was reported to play a vital role in memory-guided attention[16, 17, 52]. Moreover, a recent study revealed shared neural mechanisms
<ul> <li>320</li> <li>321</li> <li>322</li> <li>323</li> <li>324</li> <li>325</li> <li>326</li> <li>327</li> <li>328</li> </ul>	organization[51]. We delivered electrical stimulation to ANT, and found stimulation improve working memory precision. Given this result indicates hippocampal-anterior thalamic axis is causally involved, there are two possibilities accounting for working memory precision improvement. First, ANT stimulation itself may improve memory directly as it is a critical component of the extended hippocampal system supporting memory[19, 20]. Furthermore, ANT was reported to play a vital role in memory-guided attention[16, 17, 52]. Moreover, a recent study revealed shared neural mechanisms between attention and working memory[53]. Second, working memory precision was
<ul> <li>320</li> <li>321</li> <li>322</li> <li>323</li> <li>324</li> <li>325</li> <li>326</li> <li>327</li> <li>328</li> <li>329</li> </ul>	organization[51]. We delivered electrical stimulation to ANT, and found stimulation improve working memory precision. Given this result indicates hippocampal-anterior thalamic axis is causally involved, there are two possibilities accounting for working memory precision improvement. First, ANT stimulation itself may improve memory directly as it is a critical component of the extended hippocampal system supporting memory[19, 20]. Furthermore, ANT was reported to play a vital role in memory-guided attention[16, 17, 52]. Moreover, a recent study revealed shared neural mechanisms between attention and working memory[53]. Second, working memory precision was improved by the modulated activity in the hippocampus. ANT is densely connected to

331	cognitive function by stimulating one of connected nodes comprising a functional
332	network[24]. Indeed, we found that ANT stimulation can increase hippocampal
333	gamma power and the increased gamma power was predictive of the improved
334	working memory precision. The hippocampus has been suggested to be involved in
335	working memory processing either when multiple items were maintained or when
336	objects were presented sequentially[5, 54]. Both human and animal studies have
337	shown that working memory is linked to gamma activity in the hippocampus[5, 6, 8].
338	Thus, the modulated activity in the hippocampus may contribute to working memory
339	improvement.
340	It was possible that electrical stimulation delivered to ANT may change the
341	patient's mental state by increasing alertness or attention prior to the stimulus input.
342	Multiple studies have shown that pre-stimulus neural activity can explain the trial-by-
343	trial variability in perceptual and cognitive performance[32-35]. Consistent with this,
344	the increased pre-stimulus hippocampal gamma power was correlated with memory
345	improvement. In addition, gamma power during the encoding and retrieval period
346	were also enhanced by electrical stimulation. The increased gamma power during
347	retrieval was also predictive of memory improvement. Our results were consistent
348	with previous study that hippocampus gamma power was necessary for working
349	memory execution[8]. Taken together, it is possible that electrical stimulation
350	delivered to ANT may increase patient's alertness or attention during the pre-stimulus
351	stage and further affect subsequent memory encoding and retrieval.
352	We also observed that ANT stimulation may suppress hippocampal pathological,

353	consistent with our previous clinical study[14]. However, the decrease of IED can't
354	predict working memory improvement, although multiple studies have demonstrated
355	that hippocampal IED was associated with memory performance[43, 44, 55]. It is
356	possible that IED may primarily affect long-term memory, while our task is working
357	memory.

358 There are three limitations in this study. First, the sample size is relatively small. Confirmation of the stimulation effect observed required further investigation by 359 recruiting a large number of participants. Also, this small sample size limited us to 360 361 further investigate the laterality effect of ANT stimulation. Second, all of the 362 individuals in the present study were patients with drug-resistant epilepsy. Hence 363 generalizing the current results to other groups should be treated with caution. On the other hand, a lot of patients with epilepsy have reported memory deficits and 364 improving memory for them is essentially a therapeutic goal. Third, this study found 365 that ANT stimulation can improve working memory precision in humans. However, it 366 367 is unknow whether other stimulation parameters such as intensity, duration and 368 stimulation period may also modulate working memory performance. Fourth, it is 369 possible that electrical stimulation delivered to ANT may increase patient's alertness or attention during the pre-stimulus stage and further affect subsequent memory 370 encoding and retrieval. However, in this study we can't determine which stage is more 371 important for the working memory improvement. Future studies may directly test this 372 373 by delivering electrical stimulation during different stages separately.

374 Conclusion

375	This study showed that intracranial electrical stimulation to the anterior nuclear of
376	thalamus can improve working memory precision and increase hippocampal gamma
377	activity. The increased hippocampal gamma activity during pre-stimulus and retrieval
378	was predicted by the subsequent improvement of working memory precision. These
379	results suggest the critical roles of the hippocampal-anterior thalamic axis in working
380	memory precision.
381	
382	Competing interests: The authors report no competing interests.

- 383 Acknowledgements: The authors thank Dr. Weiwei Zhang for advice on color
- rendering and task design. This study was supported by the National Natural Science
- Foundation of China (31771255, 81771395, 32020103009), the Strategic Priority
- 386 Research Program of Chinese Academy of Science (XDB32010300) and CAS
- 387 Interdisciplinary Innovation Team (JCTD-2018-07).

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ID	Age/ Gender	Supposed seizure	Stimula tion site	Electrodes/ Total	Stimulation current
		zone		contacts	(mA)
Pat1	23/M	Bi-MTL	L-ANT	4/40	0.2
Pat2	18/F	R-MTL	R-ANT	8/124	0.5
Pat3	26/F	R-TL	R-ANT	6/92	0.2
Pat4	29/F	R-MTL	R-ANT	7/123	0.2
Pat5	26/M	Bi-MTL	L-ANT	5/84	0.2
Pat6	35/F	R-MTL	R-ANT	7/99	0.2
Pat7	17/F	R-aTL,	R-ANT	8/124	0.2
		Hipp, pTL			
Pat8	27/M	L-TL	L-ANT	7/112	0.2

578 **Table 1:** Demographic information and electrical stimulation parameters for each patient.

579 Pat: patient; F: female; M: male; L: left hemisphere; R: right hemisphere; ANT: anterior nucleus

580 of thalamus; Bi: bilateral hemisphere; aTL: anterior temporal lobe; MTL: medial temporal lobe;

581 TL: temporal lobe; FL: frontal lobe; Hipp: hippocampus; pTL = posterior temporal lobe;

582

## 583 Figure legends

584	Figure 1. Task design. (A) Trials started with a white fixation cross on the center of the
585	screen. Two colored squares were displayed sequentially. Then a cued Arabic numeral (1 or
586	2) was evenly and randomly showed, indicating which color to be recalled afterwards. After
587	an interval, participants were instructed to point out the color they were supposed to recall by
588	using a mouse to click a point on the color wheel. Electrical stimulation was applied
589	beginning 2.2–2.7 s before the first color square onset (red bar). (B) An example electrode
590	(black filled circles) is display for Pat 3. The most medial contact is located in the ANT
591	(black arrow). (C) Stimulation patterns. Stimulation was implemented using of biphasic
592	rectangular pulses with a width of 300 $\mu s$ and an amplitude of 0.2mA (inter-pulse interval of
593	60 $\mu$ s) at the frequency of 50Hz. (D) Swap model fitting the behavior responses. An
594	exemplary response (black bar) was represented on the color wheel (left). A probabilistic
595	model was used to fit the performance in which there are three possible sources: Von Mises
596	variability centered at target color, another von Mises distribution with the same
597	concentration but centered at nontarget color and a fixed probability of simply guessing at
598	random (right).

- 599 **Figure 2.** ANT stimulation enhances working memory precision. (A) Working memory
- 600 precision (Recall SD) of trials with (Stim) and without stimulation (Nonstim) (z = 2.240, p =
- 601 0.025). (B) Percentage change in working memory precision for each participant.

Figure 3. ANT stimulation increases hippocampal gamma activity. (A) All hippocampal
contacts (56 contacts from 8 patients) are delineated in the MNI space. (B) Pre-stimulus gamma

604	power (trials with stimulation vs trials without stimulation). The blackout (0-1 s) is the electrical
605	stimulation duration. (C) Gamma power (30-100Hz) was significant higher for trials with
606	stimulation than trials during pre-stimulus fixation based on the LME model ( $\beta = -0.155$ , $t(110)$
607	= -3.447 , $p < 0.001$ ). (D) Correlation between gamma power change (i.e., gamma power with
608	electrical stimulation - gamma power without electrical stimulation) during pre-stimulus
609	fixation and memory recall SD change ( $rho = -0.738$ , $p = 0.046$ ). (E) Significant correlation
610	between gamma power change during retrieval (i.e., gamma power with electrical stimulation
611	- gamma power without electrical stimulation) and memory recall SD change (i.e., SD with
612	electrical stimulation - SD without electrical stimulation) ( $rho = -0.881$ , $p = 0.007$ ). Each
613	colored circle denotes one patient. (F) Gamma power was significant increased during retrieval
614	in trials with stimulation based on the LME model ( $\beta = -0.104$ , $t(110) = -3.471$ , $p < 0.001$ ).
615	*** <i>p</i> <0.001

Figure 4. ANT stimulation decreases hippocampal IED rate. (A) Averaged IED rate from the first color square onset to response action is significantly decreased for trials with electrical stimulation compared with trials without electrical stimulation ( $\beta = 0.010$ , t(110) = 2.782, p =0.006). (B) Two example IEDs (marked in red circles) using an automatic detection method.

620 (C) Raster plot of detected IEDs across trials without stimulation (upper) and with stimulation

621 (lower) for patient 2. Each vertical red bar denotes an IED detected at a specific time.

622 623









## **Highlight:**

Electrical stimulation to ANT induced the improvement of working memory precision.

Electrical stimulation to ANT increased gamma activity and decreased IED in the hippocampus.

Increased post-stimulation gamma predicted the improvement of working memory precision.

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Competing interests: The authors report no competing interests.

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