



23 **Abstract**

24 Neuromodulators play an important role in how the nervous system organizes activity that  
25 results in behavior. Disruption of the normal patterns of neuromodulatory release or  
26 production are known to be related to the onset of severe pathologies such as Parkinson's  
27 Disease, Rett syndrome, Alzheimer's Disease and affective disorders. Some of these pathologies  
28 involve neuronal structures that are called central pattern generators, which are involved in the  
29 production of rhythmic activities throughout the nervous system. Here I discuss the interplay  
30 between CPGs and neuromodulatory activity, with particular emphasis on the potential role of  
31 neuromodulators on the recovery of disrupted neuronal activity. I refer to invertebrate and  
32 vertebrate model systems and some of the lessons we have learned from research on these  
33 systems and propose a few avenues for future research. I make one suggestion that may guide  
34 future research in the field: neuromodulators restrict the parameter landscape in which CPG  
35 components operate, and the removal of neuromodulators may enable a perturbed CPG in  
36 finding a new set of parameter values that can allow it to regain normal function.

37

38 Neuromodulators are substances that regulate neuronal activity by acting on a variety of  
39 targets, primarily by modifying second messenger pathways that act on ion channels as well as  
40 other neuromodulatory paths. Neuromodulators play important roles in how the nervous  
41 system generates and orchestrates the activity that drives behaviors, in particular behaviors  
42 involving rhythmic patterns. Disruption of the normal patterns of neuromodulatory release or  
43 production are known to be related to the onset of severe pathologies such as Parkinson's  
44 Disease (Viemari et al. 2005), Alzheimer's Disease (Severini et al. 2016), Rett syndrome (Dunn  
45 and MacLeod 2001) and affective disorders (Gu et al. 2016). In spite of the apparent  
46 importance of the roles that neuromodulators have in these pathologies, limited attention has  
47 been paid to their potential role in reconfiguring damaged neuronal networks leading towards  
48 compensatory recovery of function.

49 Central pattern generators (CPGs) are defined as central nervous system networks that  
50 generate periodic activity in the absence of periodic sensory input. Some form of input is often  
51 required to trigger or sustain the activity of a CPG, but that input activity does not need to be  
52 rhythmic. Transient or tonic inputs that enable or gate a CPG are common and examples  
53 include mechanical stimulation (Korta et al. 2007), chemical (e.g. O<sub>2</sub> deprivation in respiratory  
54 networks) (Lieske et al. 2000), and neuromodulatory input (Dickinson 2006; Kyriakatos et al.  
55 2011). Tonic stimulation to enable CPG activity often comes in the form of tonic  
56 neuromodulatory input (Marder et al. 2014). **In this review, one of the focal points that I shall  
57 discuss is the role of neuromodulators on CPG activity, with particular emphasis on their  
58 effects on recovery from impaired rhythmic activity.**

59 Historically, the concept of central pattern generation was associated with the production of  
60 rhythmic motor activity. This is the case of systems such as the locust flight CPG (Wilson 1961),  
61 the crustacean stomatogastric ganglion (STG) pyloric and gastric mill network activity (Heinzel  
62 et al. 1993; Marder et al. 2005), the leech swimming and heart beat networks (Mullins et al.  
63 2011; Norris et al. 2011), the gastropod feeding networks (Elliott and Susswein 2002) and the  
64 mammalian locomotion and respiration networks (Grillner and Manira 2015; Ramirez et al.  
65 2012; Ramirez et al. 2004) (Fig. 1). More recently, that concept has been expanded to patterned  
66 cortical activities (Yuste et al. 2005).

67 The concept of CPG originated as a response to the claim by C. S. Sherrington that rhythmic  
68 patterns of activity could be generated solely on the basis of chains of reflexes (Sherrington  
69 1910). The new paradigm was based on findings that deafferented networks could generate  
70 patterns of activity that produce behaviors resembling those observed in the intact animal (*i.e.*  
71 fictive behaviors). The first to suggest that a central mechanism could drive rhythmic motor  
72 activity was T. G. Brown working on decerebrated cats, who concluded that *These experiments  
73 show that the phasing of the acts of progression is determined neither by the peripheral skin  
74 stimuli nor by the self-generated proprioceptive stimuli of the muscles which take part in them*  
75 (Brown 1911). He further proposed that the central mechanism likely involved reciprocally  
76 inhibitory structures ("half-centers") whose inhibition can fatigue, allowing the partner center

77 to escape inhibition thanks to rebound properties previously shown to exist by Sherrington  
78 himself (Sherrington 1909). It was not until the early 1960s that the concept received  
79 unambiguously experimental evidence with the work of D. Wilson on the locust flight system  
80 (Wilson 1961). Additional, much less well studied, CPG networks have been identified in a  
81 number of vertebrate and invertebrate species (e.g. ventilation system in crustaceans (Dicaprio  
82 et al. 1997), micturition, ejaculation, defecation (see in (Guertin 2014)), mastication (Dellow  
83 and Lund 1971), and whisker movements in mammals (Gao et al. 2001), and vocalizations in  
84 frogs (Zornik and Yamaguchi 2012).

85 The concept of CPG, as it relates to the generation of rhythmic motor activity strictly generated  
86 by a central neuronal structure, has also been studied using a more integrative approach  
87 (Bässler 1986; Smith et al. 1991). In this view, rhythmic activity incorporates not only the CPG  
88 network but the key stabilizing and integrating inputs that the CPG receives from central as well  
89 as peripheral structures. This view is receiving a renewed attention and includes the role of  
90 motor neurons (Diekman et al. 2017; Falgairolle et al. 2017; Rotstein et al. 2017; Song et al.  
91 2016) and sensory feedback (Bässler 1986; Li et al. 2017; Puhl et al. 2018). Consistent with this  
92 more expansive view of CPGs, recent attempts to design locomotion robots have expanded the  
93 use of concepts derived from the original CPG literature, to include either multiple coupled  
94 CPGs (Kiehn 2016; Ramirez and Baertsch 2018a) or layered CPGs (Grillner 2006a; Grillner and  
95 Manira 2015) integrated with sophisticated peripheral sensors and actuators that control stable  
96 and maneuverable robots.

97 While these ideas are of great interest, here I will focus on a number of relatively recent reports  
98 that center around the role of neuromodulation in the regulation of intrinsic and synaptic  
99 neuronal properties, which give rise to and regulate the generation and recovery of lost or  
100 disrupted rhythmic activity by CPGs.

101 Numerous reviews on the topic of central pattern generators have been published over the  
102 recent past that touch upon topics not discussed, or merely glanced upon here, which the  
103 reader may want to refer to, such as evolution of CPGs (Katz 2016), general principles of CPG  
104 function (Bucher et al. 2015; Marder and Calabrese 1996), the mammalian cortex as a putative  
105 CPG or ensemble of CPGs (Yuste et al. 2005), and sleep spindles as CPGs and their role in  
106 epilepsy (Beenhakker and Huguenard 2009).

107

108 Role of neuromodulators in the generation and regulation of CPG activity

109

110 Two basic types of CPG mechanisms have been described in most known systems: endogenous  
111 pacemakers (often active conditionally upon the effect of neuromodulators), which rely on  
112 intrinsic ionic currents to generate oscillatory activity by a given neuron, and network-based  
113 oscillators, which rely on synaptically connected sets of neurons (Fig. 1). A large number of ionic

114 currents have been found to be required to generate pacemaker activity in different systems  
115 (Amarillo et al. 2018; Bose et al. 2014; de Oliveira et al. 2010; Levitan et al. 1987; Mangoni et al.  
116 2006; Mellon 2016; Zaza et al. 1997; Zhu et al. 2009), and still others to generate network-  
117 based oscillatory CPG activity (Daun et al. 2009; Sharp et al. 1996). Many of these currents are  
118 under neuromodulatory control. Pacemakers very often generate their activity through the  
119 activation of persistent inward currents, whether voltage-gated themselves or linear, but  
120 activated by another voltage-gated current. For example, in a population of inspiratory neurons  
121 of the pre-Bötzinger complex (preBötC), a mammalian breathing center found in the medulla  
122 (Fig. 1), a riluzole-sensitive persistent inward  $\text{Na}^+$  current ( $I_{\text{NaP}}$ ) is the dominant current for  
123 pacemaker activity generation, while in a different population of inspiratory neurons a non-  
124 inactivating (i.e. persistent) linear current (the calcium-activated nonspecific cation current,  
125  $I_{\text{CAN}}$ ), activated by  $\text{Ca}^{++}$  influx through synaptically-driven  $\text{Ca}^{++}$  channels, is the dominant one  
126 (Pena et al. 2004). An additional current, the non-selective, non-voltage gated, sodium-leak-  
127 channel ( $\text{NaLCN}$ ), a member of the extended 4-domain  $\text{NaV}/\text{CaV}$  gene family, has more recently  
128 been added to the mix of currents involved in generating inspiratory pacemaker activity  
129 (Ramirez et al. 2012). These three currents are all expressed, in different combinations, and  
130 generating different levels of rhythmic activity among the various populations of inspiratory  
131 neurons in the preBötC that contribute to varying degrees to the generation of CPG activity in  
132 each (Carroll and Ramirez 2013; Ramirez and Baertsch 2018b) (Fig. 1). In the stomatogastric  
133 ganglion's (STG) pyloric network of crustaceans (Fig. 1), the pacemaker current is a persistent  
134 voltage-gated inward current carried mostly by  $\text{Na}^+$  and activated by a variety of modulatory  
135 neuropeptides, the modulator-activated inward current,  $I_{\text{MI}}$  (Bose et al. 2014; Golowasch and  
136 Marder 1992). In both of these systems, large numbers of peptides, amines and other  
137 substances, acting upon a bewildering variety of receptors, target these pacemaker and other  
138 currents (Marder 2012; Ramirez et al. 2012), sometimes with each substance having different,  
139 even opposing, effects on currents from different target neurons or groups. This is the case in  
140 the preBötC, the post-inhibitory complex (PiCo) and the associated retrotrapezoid  
141 nucleus/parafacial respiratory group (RTN/pFRG), where modulators may have effects only on  
142 the activity of one of the nuclei, or have opposite effects on the same activity in each of them  
143 (Anderson et al. 2016; Doi and Ramirez 2008; Mellen et al. 2003). In the STG pyloric network,  
144 aminergic modulators show a similarly wide range of effects on different target currents  
145 depending on cell type. For example, dopamine (DA) in lobster STG enhances  $\text{Ca}^{++}$  currents in  
146 neurons PY, IC and LP, while it inhibits  $\text{Ca}^{++}$  currents in neurons PD, AB and VD (Harris-Warrick  
147 2011), and depresses one inward current ( $I_{\text{Ca}}$ ) while enhancing others ( $I_{\text{NaP}}$ ,  $I_{\text{h}}$ ) in the same  
148 neuron (Harris-Warrick 2011).

149 In gastropod mollusks, such as the sea hare *Aplysia*, the pond snail *Lymnaea* and others, the  
150 CPG networks that generate the feeding patterns have been extensively studied. While there  
151 are significant differences between these species in the behaviors and the underlying networks  
152 that generate these behaviors, the CPGs in both have a distributed organization, in which  
153 reciprocally connected neurons rather than truly endogenous oscillatory neurons generate the

154 rhythmic activity (Fig. 1) (Cropper et al. 2017; Elliott and Susswein 2002). This distributed  
155 character is something that they have in common with the rhythm generating networks in the  
156 mammalian respiratory network (Ramirez and Baertsch 2018b), but not the crustacean pyloric  
157 network (Fig. 1). In both gastropod species, the feeding CPG that controls the radula protraction  
158 and retraction can be activated by cerebral-buccal interneurons (CBIs), while other neurons  
159 form the core of the oscillator, such as the N1M, N2v and N3t interneurons in *Lymnaea*  
160 (Benjamin 2012), and the B63/B31/B32 and B64 in *Aplysia* (Cropper et al. 2017) (Fig. 1).  
161 Electrical coupling and, especially, chemical reciprocal synaptic inhibition are, like in many other  
162 CPGs, common (Sasaki et al. 2013), but some synapses generate feedforward excitation (e.g.  
163 excitation from N1 to N2 interneuron) that plays a role in the transitions to later phases of the  
164 behavior (Elliott and Susswein 2002) (in the mammalian respiratory network, excitatory  
165 connections appear to play a key synchronizing function (Carroll and Ramirez 2013)).  
166 Additionally, there are multiple neurons that are both members of the pattern generating  
167 networks as well as proprioceptors and/or exteroceptors (Elliott and Susswein 2002). In both  
168 species, the ability to generate rhythmic activity depends on intrinsic and synaptic properties  
169 that are regulated by neuromodulatory substances. Some well characterized modulators can be  
170 classified as intrinsic modulators, meaning that they are released by neurons that form the  
171 networks themselves, including motoneurons, while others are released by neurons outside the  
172 CPGs, and are thus regarded as extrinsic modulators (Benjamin 2012; Cropper et al. 2017; Elliott  
173 and Susswein 2002). In *Aplysia*, peptides released by inputs to the CPG are thought to regulate  
174 different properties of the various motor patterns of feeding behavior (Cropper et al. 2017).  
175 The modulators released by cerebro-buccal interneuron 2 (CBI-2), for instance, are known to  
176 reconfigure network activity to generate ingestive behavior (Dacks et al. 2012; Friedman and  
177 Weiss 2010; Koh and Weiss 2005; Morgan et al. 2000; Perkins et al. 2018; Proekt et al. 2004). As  
178 a consequence, and presumably by modifying the excitability and rhythm-generating properties  
179 of the ingestive CPG, a progressively stronger and more regular pattern typical of the repeating  
180 ingestive behavior is produced (Cropper et al. 2017). On the other hand, neurons and processes  
181 contained in the esophageal nerve (EN) are thought to reconfigure network activity to produce  
182 egestive behavior (Wu et al. 2010). In the *Lymnaea* feeding system there are complex  
183 interactions between extrinsic and intrinsic neuromodulation (Benjamin 2012; Elliott and  
184 Susswein 2002). Cerebral Giant Cells (CGC) and the slow oscillator interneuron (SO), for  
185 example, are not part of the feeding CPG and release 5-HT (Benjamin 2012) and ACh (Yeoman  
186 et al. 1993), respectively. Both also release the neuropeptide myomodulin (Santama et al.  
187 1994). These neuromodulators regulate the intrinsic properties of core CPG interneurons (e.g.  
188 N1M and N2v neurons) to both excite them and activate plateau properties necessary for CPG  
189 activity. In addition, N2-type CPG interneurons (as well as several other buccal ganglion  
190 neurons) also express neuromodulatory peptides (myomodulin and small cardioactive peptide,  
191 SCP), and N1-type neurons express the neuromodulator buccalin (Santama et al. 1994), which  
192 function as intrinsic neuromodulators. However, what role these peptides play as intrinsic  
193 modulators released by these individual neurons is unclear.

194 Vertebrate locomotor systems, which are thought to be highly modular and based primarily on  
195 network driven CPGs typically requiring reciprocally inhibitory elements (Fig. 1), also receive  
196 substantial neuromodulatory input, both intrinsic and extrinsic, including peptides and other  
197 metabotropic receptor-activating substances that regulate frequency, regularity, etc. (Grillner  
198 2006a; Grillner and Manira 2015; Sharples et al. 2014). In the crab STG, the gastric mill rhythm  
199 is also primarily driven by a network CPG (rather than by a pacemaker), which is heavily  
200 modulated and includes a modulatory neuron (the axon of the MCN1 projection neuron) as an  
201 integral part of the CPG itself (Fig. 1) (Coleman et al. 1995). Thus, as in the gastric network,  
202 neuromodulation by several amines of mammalian locomotor networks produce a broad range  
203 of (sometimes opposing) effects (Sharples et al. 2014).

204 The examples mentioned thus far indicate that a highly orchestrated and finely regulated  
205 organization of these neuromodulatory inputs and their effects must be at work so that  
206 functional CPG activity can be produced (*cf.* (Doi and Ramirez 2008)). One example of the  
207 orchestration that needs to take place at the cellular level is that one ionic current cannot be  
208 the sole current responsible for pacemaker activity because it needs to be balanced with  
209 appropriate counteracting currents to guarantee its oscillatory nature. Although this may  
210 appear obvious, few studies have addressed the balance between currents required to  
211 generate a stable and robust pattern of oscillatory activity. In the pyloric network of  
212 crustaceans, for example, a clear requirement for a balance between the levels of the  
213 abovementioned current  $I_{MI}$  and outward currents has been documented (Fig. 2) (Golowasch et  
214 al. 2017). Interestingly, only the pacemaker cells of the pyloric network express the appropriate  
215 balance between the  $I_{MI}$  and  $K^+$  currents required to generate oscillatory activity (Fig. 2A, B),  
216 even though non-pacemaker (follower) cells in the same network also express  $I_{MI}$  (Swensen and  
217 Marder 2001; 2000). Follower cells overexpress a subset of high threshold  $K^+$  currents to a  
218 degree that precludes the generation of pacemaker activity (Fig. 2C-D) (Golowasch et al. 2017).  
219 A further balancing act takes place in these cells: many pairs, and even larger subsets of ionic  
220 currents, appear to be “balanced”, which has been shown to reveal itself as correlations of  
221 current or conductance amplitudes between these different current types in populations of  
222 identical neurons (Khorkova and Golowasch 2007; Temporal et al. 2012; Tran et al. 2019).  
223 Surprisingly, this is not restricted to “naturally” complementary currents such as the  $Na^+$  and  $K^+$   
224 current that generate an action potential, or the abovementioned pacemaker  $I_{MI}$  and high  
225 threshold  $K^+$  currents. It is also observed between various current pairs that are not naturally  
226 complementary in STG pyloric pacemaker cells, such as the inward current pair  $I_{Na}$  and  $I_h$  (Schulz  
227 et al. 2007), or the outward current pair  $I_A$  and  $I_{HTK}$  (Khorkova and Golowasch 2007; Temporal et  
228 al. 2012), or in mouse hippocampus granule cells, between the  $K^+$  currents  $I_{Kd}$  and  $I_{Kir}$  (Tran et al.  
229 2019). That this is not an artifact of electrophysiological recordings is confirmed by the fact that  
230 the same (plus additional) correlations are observed when measuring copy numbers of mRNA  
231 coding for these channels (Goaillard et al. 2009; Schulz et al. 2007; Temporal et al. 2012). This  
232 balancing of different currents likely serves a homeostatic or compensatory role in that it allows  
233 for individual currents to be slowly regulated to match others that may be acutely up- or down-

234 regulated by, for example, synaptic or sensory input (Fig. 3). In this manner, acute ionic current  
235 regulation is allowed to serve some immediate need. If some of these changes become long-  
236 lasting or permanent, the other conductances in a cell can slowly adjust their amplitudes or  
237 specific parameter values in order to ensure some basic overall stability of activity. This form of  
238 regulation has been shown theoretically to be useful to stabilize activity, at least within  
239 restricted parameter regions (Burdakov 2005; Franci et al. 2018; Hudson and Prinz 2010; Lamb  
240 and Calabrese 2013; Olypher and Calabrese 2007; Soofi et al. 2012; Taylor et al. 2009). Evidence  
241 also indicates that such a process of ionic current co-regulation likely involves the activation of  
242 a slow metabolic machinery (Ransdell et al. 2012). A consequence of such a co-regulation  
243 mechanism is the development of highly variable levels of the affected current's parameters as  
244 currents are slowly up- or down-regulated to more or less permanently compensate for  
245 changes in other currents. This has been shown to extend to all kinds of cell types, not only  
246 pacemaker neurons. Indeed, cells of a given (uniquely identified) type have been reported to  
247 express ionic currents parameters (maximum conductance, voltage-dependence parameters, as  
248 well as kinetic parameters) and the mRNA levels that code for these channels over a several-  
249 fold range of values (Amendola et al. 2012; Goldman et al. 2001; Golowasch 2014; Golowasch  
250 et al. 2002; Khorkova and Golowasch 2007; Li and Baccei 2011; Liu et al. 1998; McAnelly and  
251 Zakon 2000; Ransdell et al. 2013; Roffman et al. 2012; Schulz et al. 2006; Schulz et al. 2007;  
252 Swensen and Bean 2005; Tobin et al. 2009; Tran et al. 2019).

253 Interestingly, neuromodulators seem to be in part responsible for maintaining these  
254 correlations. When neuromodulators are removed in crab pyloric neurons, some of the  
255 maximum conductance correlations are lost, and this happens in a cell-type specific manner  
256 (Khorkova and Golowasch 2007; Temporal et al. 2012). However, the restitution of a single  
257 neuromodulatory peptide (proctolin) is sufficient to restore the lost correlations between three  
258 ionic currents in PD neurons of the pyloric network (Khorkova and Golowasch 2007),  
259 demonstrating that neuromodulators play an essential role in maintaining some of these  
260 correlations (a mechanism is suggested in Fig. 3). A similar role has been reported recently for  
261 nanomolar (tonic) concentrations of DA and serotonin (5-HT) in lobster neurons (Krenz et al.  
262 2015).

263 Another well documented example of the balance required of pairs of currents to generate  
264 oscillatory activity is the generation of the electric organ discharge (EOD) of weakly electric fish  
265 electrocytes (McAnelly and Zakon 2000). Electrocytes express  $\text{Na}^+$  and  $\text{K}^+$  currents that generate  
266 action potentials responsible for the production of EODs and their characteristic frequency. The  
267 kinetics of these currents determine the duration of the action potentials, which in turn  
268 determines the frequency of the EOD. The EOD, which plays a crucial role in social  
269 communication, and its frequency can be regulated over a four-fold range thanks to large  
270 variations in the voltage-dependent activation and inactivation time constants of their  $\text{Na}^+$  and  
271  $\text{K}^+$  currents across animals (McAnelly and Zakon 2000). Importantly, the time constants of  
272 activation of the two currents are coupled (or balanced), which allows the effective generation  
273 of action potentials of varying durations. Changes in these time constants modify EOD

274 frequencies, which can happen in real time, such as those that take place during social  
275 encounters. These are mediated by glutamate and GABA via ionotropic receptors. Over long  
276 times scales, regulation is dependent on the animals' age, the circadian period, as well as  
277 gender, and is mediated by a number of hormones including steroid and sex hormones,  
278 melatonin and prolactin (Zakon et al. 1999).

279 What mechanisms may ensure the balance of ionic current? As described above,  
280 neuromodulatory input appears to play a significant role in maintaining this balance (Khorkova  
281 and Golowasch 2007) (see Fig. 3). This can presumably happen via second messenger regulation  
282 of either transcription, translation and/or post-translational modifications, including channel  
283 insertion into the plasma membrane. Recently, Baro and collaborators showed that tonic low 5-  
284 HT concentrations enable the co-regulation of  $I_h$  and  $I_A$  levels in lobster pacemaker PD but not  
285 follower LP neurons, and low levels of DA do the same in LP but not PD neurons. As mentioned  
286 earlier, this leads to constant ratios of maximal conductances of these two currents  
287 (correlations) in populations of identical cells (Krenz et al. 2015). Krenz et al showed that this is  
288 mediated by an RNA interference silencing complex (RISC)-dependent process that is presumed  
289 to regulate microRNA effects on either 1) transcription of the channels, 2) transcription of  
290 regulators of channel transcription, or 3) translation of regulators of promoters of the Kv4 and  
291 HCN genes (which code for the A and h channels, respectively) (Krenz et al. 2015). Interestingly,  
292 an older study has shown that injection of Shal (Kv4) mRNA into the PD neurons led to the  
293 expected increase of  $I_A$  but also to an unexpected increase of  $I_h$  that resulted in a fixed  
294 conductance ratio of the two currents, and a conservation of the action potential latency of PD  
295 neurons on rebound from inhibition (MacLean et al. 2003). This co-regulation may be explained  
296 by regulation of the translation of the mRNA injected cells by residual 5-HT in the STG,  
297 consistent with the observations of Krenz et al (2015).

298 Neuronal activity is another factor that regulates ionic current levels, as seen in many different  
299 cell types and organisms, including pacemaker neurons (Campanac and Debanne 2007;  
300 Debanne et al. 1996; Golowasch et al. 1999; Turrigiano et al. 1994). Removing neuromodulatory  
301 inputs from a circuit disrupts the resulting intracellular signaling effects, which can also change  
302 the neural circuit activity by disrupting the effects of the neuromodulators on essential ionic  
303 channels. In the crustacean pyloric CPG, for example, removing all modulatory inputs often  
304 disrupts activity or makes it slow and irregular, because a number of neuromodulators activate  
305 the persistent inward current  $I_{MI}$ , believed to be the network's pacemaker current (Bose et al.  
306 2014; Golowasch et al. 2017). Because neural activity could regulate ionic current expression  
307 levels, it is possible that changes in activity, rather than direct influence of neuromodulators,  
308 would have caused the decentralization-elicited disruption of correlations observed by  
309 Khorkova and Golowasch (2007). In that study, however, activity was ruled out as contributing  
310 to the generation of the correlated relationships between ionic currents by separating the  
311 effects of neuromodulators on activity from those on intracellular signaling. Tetrodotoxin (TTX),  
312 blocks both network activity and the endogenous release of neuromodulators in this system.  
313 Under those conditions, correlations are lost. However, the intracellular signaling effects of the

314 neuromodulators can be restored by applying one of the peptides exogenously. Indeed, when  
315 the neuromodulatory peptide proctolin was bath applied in the presence of TTX, correlations  
316 were restored (Khorkova and Golowasch 2007) showing that neuromodulators alone can form  
317 ionic current correlations in some cells.

318 On the other hand, in a different study on the same system it was observed that pilocarpine, an  
319 acetylcholine muscarinic agonist that also activates  $I_{MI}$ , but that may act through a different  
320 intracellular signaling cascade, restored correlations via its effect on activity and not via its  
321 paracrine metabotropic effects (Temporal et al. 2014). Thus, it appears that both  
322 neuromodulation and neuronal activity can regulate long-term ionic current changes that can  
323 lead to correlations of ionic conductances in pacemaker neurons (see Fig. 3). Indeed, a  
324 modeling study showed that a number of experimental observations of STG pyloric activity  
325 could be well reproduced only if *both* neuromodulation and activity-dependent mechanisms  
326 are taken into account (Zhang et al. 2008).

327 Recently, O'Leary and collaborators reported a simple and elegant mechanism that can  
328 generate correlations of maximal conductances of virtually any pair of currents, as well as  
329 stable activity, using only an activity-dependent rule that regulates transcription or translation  
330 (O'Leary et al. 2013; O'Leary et al. 2014). In summary, while this model captures the existence  
331 of ionic current correlations, clearly other rules and mechanisms, such as direct metabotropic  
332 effects by neuromodulators, in addition to activity, must be included to account for the  
333 observations of the effects of proctolin on PD neuron conductance correlations (Khorkova and  
334 Golowasch 2007).

335 Another important aspect of neuromodulator actions on CPGs is that modulatory neurons can  
336 be active members of the network due to feedback from CPG network neurons. (cf. (Blitz 2017;  
337 Coleman et al. 1995; Dubuc and Grillner 1989; Frost and Katz 1996)). Thus, the modulatory  
338 actions of such neurons can be considered intrinsic neuromodulation (Katz and Frost 1996). For  
339 example, Nusbaum and collaborators demonstrated the role of a feedback circuit from a  
340 member of the crab STG gastric mill network onto a projection neuron (MCN1) (Fig. 1)  
341 (Coleman et al. 1995). While the neuromodulator released by MCN1 is essential to elicit and  
342 sustain the rhythmic activity of the gastric mill network, inhibitory feedback onto presynaptic  
343 terminals of MCN1 from one of the two rhythm-generating half-center pairs of neurons is key  
344 to producing the pattern of activity that characterizes the MCN1-evoked gastric mill rhythmic  
345 pattern (Bartos and Nusbaum 1997; Coleman et al. 1995). More recently, Blitz showed that a  
346 different feedback from the gastric mill CPG onto another modulatory projection neuron  
347 (CPN2) regulates the firing properties of CPN2, and does so in a manner that in turn depends on  
348 other modulatory and sensory inputs to the network (Blitz 2017). Blitz concluded that this  
349 modulation of CPN2 further affects the output properties of the target CPG, which indicates  
350 that the complexity of neuromodulatory regulation of CPGs is considerably higher than  
351 previously thought.

352

353 Role of neuromodulators in the recovery of CPG activity

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355 Some level of recovery of function after injury occurs throughout the central nervous system in  
356 likely all animals (Herman et al. 2018; Luther et al. 2003; Martinez et al. 2011; Molinari 2009;  
357 Puhl et al. 2018; Sakurai and Katz 2009; Telgkamp et al. 2002). Considering the grave  
358 consequences that the loss of neural activity due to injury or disease has on the behavior and  
359 quality of life in humans, a large amount of research is devoted to it. Loss of activity is  
360 particularly serious if it involves CPG networks because nearly all rhythmic activities involve  
361 vital functions: heartbeat, respiration, locomotion, swallowing, mastication, gastric motility,  
362 childbirth, etc. Here I will concentrate only on recovery of activity of oscillatory systems that are  
363 likely to involve CPGs, focusing on a few (see Fig. 1) for which some solid experimental evidence  
364 exists. To aid in the recovery of function various approaches are employed, including surgery,  
365 electrical stimulation, pharmacological and behavioral treatments. Neuromodulators have the  
366 potential to play very important roles in the recovery of CPG activity but their role in  
367 vertebrates, and mammals in particular, has largely been underestimated, or at least not  
368 received much attention.

369 Several questions need to ultimately be addressed if rhythmic patterns of activity resembling  
370 normal patterns (sufficient to sustain a minimum level of normal function and behavior) are to  
371 be recovered after an initial insult that disrupts rhythmic activity: 1) Are the mechanisms of  
372 recovery dependent on the loss or modification of the neuromodulatory environment? 2) Are  
373 they dependent on the disruption of normal electrical activity? 3) Are they dependent on the  
374 loss of peripheral, sensory, or motor input? Intertwined with these issues are the exact cellular  
375 and molecular mechanisms that lead to the recovery of function in any of these cases. Work on  
376 invertebrates suggests that all these factors play an important role, which I will review, with  
377 particular emphasis on the role of neuromodulators.

378

379 *Crustacean stomatogastric system*

380 The decapod crustacean stomatogastric nervous system offers a revealing picture of what role  
381 neuromodulators may be playing in the maintenance and recovery of CPG function. Most of the  
382 studies so far have concentrated on the pyloric network of crabs and lobsters where it has been  
383 shown that most features of pyloric CPG activity recover after the network has been deprived  
384 of its neuromodulatory input for an extended period of time (Luther et al. 2003; Thoby-Brisson  
385 and Simmers 1998). Although some of these experiments have been recently repeated under  
386 somewhat different conditions and with partially different results (Hamood et al. 2015), this  
387 activity recovery suggests that neuromodulators are involved in sculpting and regulating  
388 rhythm generating capabilities that this (and perhaps other) CPGs naturally tend to express.  
389 This possible role of neuromodulators, in turn, suggests that manipulating the  
390 neuromodulatory environment of CPGs in general could be used to enhance or re-express  
391 rhythmic activity when it is lost.

392 Almost all neuromodulatory input to the STG arrives via neuromodulator-containing axons  
393 running along a single nerve. Conveniently, the study of the function of neuromodulators is  
394 facilitated by the fact that neuromodulator release can be stopped by blocking action potentials  
395 in these axons by simply cutting the nerve or otherwise blocking action potential conduction  
396 along it, which is referred to as 'decentralization'. When decentralized, pyloric CPG activity  
397 either slows down or ceases completely (Hamood et al. 2015; Luther et al. 2003; Nusbaum and  
398 Marder 1989; Thoby-Brisson and Simmers 1998). Hours later the pyloric CPG often recovers in  
399 frequency, typically to somewhat lower than, but sometimes to full, pre-decentralization levels  
400 (Luther et al. 2003; Thoby-Brisson and Simmers 1998). The timing of neuronal bursting of the  
401 different cells in the network relative to the onset and ending of a cycle of pyloric activity, is  
402 referred to as the phase or phase relationships of activity. The recovery of pyloric activity most  
403 clearly involves changes in the phase relationships of the different component neurons. These  
404 phase relationships before, immediately after decentralization, and during the early stages of  
405 recovery are very different from control, but they recover to values indistinguishable from  
406 those observed in intact preparations (Luther et al. 2003). These recovery experiments suggest  
407 that an internal rearrangement of cellular and molecular properties can take place during a  
408 critical period after neuromodulators have been removed. Remarkably, the reorganization of  
409 the pyloric network may include the replacement of the pacemaker neuron: recovery of full-  
410 blown pyloric CPG activity occurs even if the pacemaker neuron is ablated by photoinactivation  
411 (Luther et al. 2003; Thoby-Brisson and Simmers 1998).

412 It may be argued that recovery of activity simply involves the restoration of some level of  
413 neuromodulatory release from cut axon terminals of the neurons containing them. That this is  
414 unlikely was demonstrated by showing that photoinactivating these terminals cannot prevent  
415 the recovery of rhythmic activity (Luther et al. 2003; Thoby-Brisson and Simmers 1998). Thus, a  
416 profound reconfiguration of the network and its components must take place when  
417 neuromodulators are removed, but the mechanisms are not known. During the ensuing period,  
418 either neurons that only exhibit pacemaker activity in the presence of neuromodulators  
419 (conditional pacemakers) may turn into endogenous pacemakers of the network as suggested  
420 by Thoby-Brisson and Simmers (2002) or, alternatively, the system may develop network-based  
421 rhythmic activity (e.g. become members of a half-center oscillator). These observations suggest  
422 that the pyloric network has a broad repertoire of rhythm-generation mechanisms that could  
423 be tapped when the CPG loses activity due to injury to one or more of its components.

424 One important lesson from these experiments seems to be that one of the main roles of  
425 neuromodulators in pyloric neurons of the crustacean STG, but perhaps in other systems also, is  
426 to restrain most neurons of the network from developing certain properties, such as oscillatory  
427 capabilities, while allowing one or a restricted subset of neurons (the pacemaker or pacemaker  
428 kernel) to develop and maintain them. This restraint can then be released in their absence. That  
429 this may be part of the mechanism involved is supported by experiments with cultured neurons  
430 from the STG, both in lobsters and in crabs, where all neuromodulatory inputs were removed  
431 by the dissociation procedure. Newly dissociated cells lost their ability to generate both action

432 potentials and oscillatory activity. Nevertheless, while we know that the STG only has one  
433 pacemaker neuron (the pyloric network pacemaker AB neuron, (Hooper and Marder 1987))  
434 over a few days in minimal culture conditions the vast majority of the cells developed  
435 oscillatory activity, with frequencies close to those observed in the pyloric network (Haedo and  
436 Golowasch 2006; Turrigiano et al. 1994), while retaining their ability to respond to acute  
437 application of neuromodulators (Golowasch et al. 1990; Turrigiano and Marder 1993). It is not  
438 known at this point if neuromodulator absence, by lifting a restraining effect on the  
439 development of oscillatory properties, is the sole driving force behind the recovery of  
440 oscillatory activity in these neurons. The change in activity of dissociated neurons (i.e. they all  
441 initially lose their ability to burst and most their ability to spike) may be part of the mechanism  
442 driving the recovery of oscillatory activity. This is suggested by the fact that rhythmic  
443 stimulation can revert bursting to tonic firing (Haedo and Golowasch 2006; Turrigiano et al.  
444 1994), or sometimes accelerate the acquisition of bursting properties (Haedo and Golowasch  
445 2006).

446 What are the molecular and cellular changes leading to the recovery of activity? One of them is  
447 the enhancement of neuromodulator sensitivity (a form of “denervation sensitization”), which  
448 can be attributed to the dramatic reduction of agonist concentration (Lett et al. 2017). Lett and  
449 collaborators tested the responsiveness of a pyloric (lateral pyloric, LP) neuron to crustacean  
450 cardioactive peptide (CCAP) after decentralization and found it to be enhanced when CCAP  
451 alone was removed, but further enhanced when additional neuromodulators were removed.  
452 The effects were observed at the level of the responsiveness to exogenous CCAP applications  
453 (it increases), the number of CCAP receptor RNA copy numbers (it increases), as well as RNA  
454 copy number changes of at least two of the voltage-gated channels expressed by LP neurons  
455 (Lett et al. 2017). These results again reflect a large reconfiguration of a number of molecular  
456 components in the continuous absence of the neuromodulators that normally bathe the pyloric  
457 neurons. It seems clear that neuromodulators control the expression levels of their own  
458 receptors but, importantly, also those of other receptors, as well as a diversity of ionic channels  
459 (Khorkova and Golowasch 2007; Lett et al. 2017; Mizrahi et al. 2001; Thoby-Brisson and  
460 Simmers 2002; 2000). Furthermore, this reconfiguration affects not only the protein expression  
461 levels (whether of receptors or ion channels), but also their distribution within the different  
462 neuronal compartments (Berger et al. 2001; Mizrahi et al. 2001).

463 Thus, recovery experiments suggest that neuromodulators play a crucial role in the generation  
464 and maintenance of pyloric CPG activity under normal (non-decentralized) conditions when  
465 they are continuously present, but can become unnecessary after a prolonged period of their  
466 absence. These observations suggest that the pyloric system, and perhaps other systems too,  
467 can configure, and reconfigure, itself to generate the same CPG activity in multiple different  
468 ways. Although the experiments described above and a number of others suggest that that  
469 may be the case, there are other possibilities that must be considered: 1) an increased  
470 sensitivity to circulating hormonally or locally released substances (Lett et al. 2017), 2) a  
471 renewed release of neuromodulators localized in surviving terminals within the ganglion,

472 perhaps aided by newly developing glia-neuron interactions (Parnas et al. 1998) (although  
473 recovery still occurs if all terminals are ablated as indicated before), 3) the expression of new or  
474 enhanced expression of existing neuromodulators (Fukamauchi and Kusakabe 1997), and/or 4)  
475 the constitutive activation of existing receptors or signaling pathways (Murray et al. 2010).

476 I suggest a fifth alternative: in the absence of neuromodulators the system is released from  
477 particular restraints, which lead to rapid changes of specific molecular components, allowing  
478 the system to wander in parameter space towards a new set of parameter values that permits  
479 it to generate CPG activity independent of the participation of neuromodulators (Fig. 4). As  
480 described before, neuromodulators are known to constrain the maximal conductances of  
481 various ionic currents (and of the mRNA levels that code for the channels that carry these  
482 currents) in populations of identified neurons to strict relationships (i.e. linear correlations)  
483 between different current types (Golowasch 2014; Khorkova and Golowasch 2007; Schulz et al.  
484 2007). This has the consequence of reducing the global variability of ionic current levels  
485 mentioned before in that the variance of each ionic current is enslaved to the variance of other  
486 currents. The likely functional consequence of this is a reduction of physiological output  
487 variability (CPG frequency, phase relationships, etc.) as the relative conductance levels are kept  
488 constant (Golowasch 2014; Hudson and Prinz 2010; Prinz et al. 2004). In fact, the variability of  
489 the output of the pyloric network greatly increases in decentralized (but still rhythmic)  
490 preparations (Hamood et al. 2015). When neuromodulators are removed, some of these  
491 correlations are lost in a cell-type specific manner (Khorkova and Golowasch 2007; Temporal et  
492 al. 2012), and this may allow the system to find different regions in parameter space (and  
493 different mechanisms) that provide the same solution, i.e. the generation of pyloric activity (see  
494 Fig. 4) (Prinz et al. 2004). Thus, although theoretical (Hudson and Prinz 2010) and experimental  
495 work (Ransdell et al. 2012) indicates that the co-regulation and balance of conductances is  
496 important for the production of stable oscillatory activity in pacemaker cells and CPG networks,  
497 it is also possible that conductance correlations change (Temporal et al. 2012) or new ones are  
498 created during the process of recovery of activity. Furthermore, it is possible that, in the  
499 absence of neuromodulators, other mechanisms yet to be uncovered, which do not necessarily  
500 result in conductance correlations, can stabilize activity.

501 Another sign of deep restructuring of the pyloric network and its physiology following  
502 decentralization is the fact that after prolonged removal of neuromodulatory input, the  
503 network does not easily recover to its pre-decentralization responsiveness to neuromodulation  
504 (Nahar et al. 2012). This was tested thanks to the fact that decentralization can be performed  
505 reversibly. The authors conclude that either it is the reconfiguration of the pyloric network, or  
506 the networks of neuromodulator-containing neurons, which receive input from the target  
507 pyloric network itself (Blitz 2017; Wood et al. 2004), which may be more or less permanently  
508 modified (Nahar et al. 2012).

509 Finally, the fact that neuromodulatory input also regulates the levels and patterns of activity  
510 (Marder and Weimann 1992), requires that the effects of activity deprivation and

511 neuromodulator deprivation are carefully separated. In the lobster pyloric system this has been  
512 examined, and recovery, in fact, also occurs if oscillatory activity is kept high with high external  
513  $K^+$  concentration (Thoby-Brisson and Simmers 1998) suggesting that the absence of activity is  
514 not the main driving force behind this recovery but that what is key is the absence of  
515 neuromodulation.

516

#### 517 *Tritonia swimming*

518 In the mollusk *Tritonia diomedea* a CPG that controls swimming crucially depends on a pedal  
519 ganglion interneuron (C2) synaptically exciting another interneuron (VSI) located on the  
520 contralateral pedal ganglion via axons running along pedal nerve 6 (PdN6) (Fig. 1). Fictive  
521 swimming can be elicited by exciting C2 (by stimulation of pedal nerve 3 (PdN3)) and it depends  
522 on the integrity of the axons connecting both sides that run along nerve PdN6 (Sakurai and Katz  
523 2009). Thus, when PdN6 is cut or action potential transmission is blocked, swimming and also  
524 excitation of the contralateral VSI is disrupted because the swimming CPG now fails to become  
525 activated by PdN3 stimulation (Sakurai and Katz 2009). However, only a few hours later, both  
526 CPG and fictive swimming can be activated by brief stimulation of PdN3. How is this possible?  
527 C2 and VSI neurons make compound synaptic connections on both ipsi- and contra-lateral  
528 pedal ganglia, but the synapse in the ipsilateral ganglion is dominated by a primarily inhibitory  
529 component while that on the contralateral ganglion is dominated by an excitatory component.  
530 After separation of the two ganglia by transection of the PdN6 nerve, a fast reduction of the  
531 inhibitory synaptic component on the ipsilateral ganglion ensues, making the ipsilateral  
532 connection predominantly excitatory and capable of activating the swimming CPG (Sakurai and  
533 Katz 2009).

534 Although the authors of this study do not provide evidence for the molecular triggers that lead  
535 to these changes, they argue that changes in either activity or neuromodulation may be the  
536 leading factors (Sakurai and Katz 2009). As a model of the contribution(s) of these two factors  
537 to the full recovery of rhythmic activity it deserves to be carefully examined. This study also  
538 illustrates a distinct mechanism from that described for the pyloric network in that it is the  
539 change of synaptic properties and apparently not intrinsic properties in this case that leads to  
540 the restoration of oscillatory activity and swimming behavior. It is worth noting that in the  
541 pyloric network changes in synaptic strength as a consequence of neuromodulator removal  
542 have also been reported (Thoby-Brisson and Simmers 2002).

543

#### 544 *Gastropod feeding networks*

545 Thus far, activity recovery observations in gastropods have focused on axonal regeneration. For  
546 instance, Sanchez et al (2000) have found that feeding activity in *Aplysia* recovers after the  
547 cerebral to buccal commissural nerves are crushed, which removes the modulatory (gating or

548 command) input from CBI-2 interneurons onto the feeding buccal ganglion network (see  
549 previous section and Fig. 1). However, it would be interesting to consider the effect of  
550 permanently eliminating some of these neuromodulatory neurons and ask if rhythmic activity  
551 can be recovered by some alternative compensatory mechanism. Another interesting cell in this  
552 regard is neuron B48 in *Aplysia*. This neuron is not an integral member of the core CPG.  
553 However, it contains two leukokinin peptides, which have a strong effect on one of the core  
554 neurons of the feeding CPG, neuron B64 (Fig. 1), enhancing its activity, and thus accelerating  
555 the termination of the protraction phase (Zhang et al. 2017), even though it is not known yet if  
556 these are direct effects of peptides released by the B48 neuron. On the other hand, the SPTR-  
557 Gene Family-Derived Peptides also have a similar accelerating effect in terminating protraction,  
558 but the sources of the modulatory peptides have been identified to be from CBI-12 interneuron  
559 (Zhang et al. 2018), and examining the role of eliminating this source should be interesting. In  
560 *Lymnaea*, the SO and N1L interneurons would be interesting to consider in this regard since SO  
561 is not typically considered to be an integral member of the core CPG in *Lymnaea*, while N1  
562 neurons, especially N1L, are. Additionally, N1M, which is part of the core CPG (Fig. 1) releases  
563 the intrinsic neuromodulator buccalin. It would be interesting to test what role buccalin plays in  
564 maintaining the feeding rhythm or regulating the parameter space, and perhaps recovery from  
565 perturbations, of the feeding network. Removing these modulatory neurons using a cell  
566 inactivation method (e.g. photoinactivation) might yield interesting observations about the  
567 difference in homeostatic responses when intrinsic, or alternatively, extrinsic modulatory  
568 neurons to the feeding networks are ablated.

569

### 570 *The mammalian respiratory system*

571 As mentioned earlier, respiratory CPG activity in mammals is generated by a network of  
572 inspiratory neurons localized in the preBötC that express a combination of several inward non-  
573 linear currents, which in conjunction with synaptic excitation dynamically organizes its rhythmic  
574 activity (Anderson and Ramirez 2017; Ramirez and Baertsch 2018b). Two main groups, located  
575 in the preBötC and the PiCo, respectively (sometimes with a third group located in the  
576 RTN/pFRG, Fig. 1) are targets of neuromodulatory inputs (Anderson et al. 2016; Doi and  
577 Ramirez 2008; Mellen et al. 2003; Ramirez et al. 2012) that can change the properties of the  
578 respiratory activity. For example, the network can reconfigure during hypoxia to produce  
579 gasping, a rhythm that is more dependent on  $I_{NaP}$ , but which also requires serotonin (Pena et al.  
580 2004; Tryba et al. 2006). As a consequence, disruption of neuromodulator-containing neurons  
581 that target inspiratory neurons can be expected to have profound effects on the quality of the  
582 breathing CPG and its recovery when disrupted. Here I will assume that disruption of eupneic  
583 activity, however transient or persistent, can be considered an insult to the breathing CPG, and  
584 will consider what role neuromodulators play in its recovery.

585 In the respiratory system the regularity of the respiratory pattern greatly depends on  
586 neuromodulatory input to the system. For example, blocking the substance P tachykinin

587 receptor NK1R found in the preBötC reduces the frequency as well as the regularity of eupneic  
588 respiratory activity via effects on the NaLCN channel (Hilaire et al. 2003; Telgkamp et al. 2002;  
589 Yeh et al. 2017), reminiscent of the effects of decentralization of the crustacean pyloric  
590 network. 5-HT acting on 5-HT<sub>2A</sub> receptors (Pena and Ramirez 2002), and norepinephrine (NE)  
591 acting on both alpha1- (St-John and Leiter 2008) and alpha2-adrenergic receptors (Zanella et al.  
592 2006) also contribute to the regularity of eupneic respiratory activity as revealed by  
593 neuromodulator deprivation experiments. Is there a difference in the effects of short-term  
594 versus long-term neuromodulator deprivation, perhaps comparable to the long-term effects of  
595 decentralization in the crustacean pyloric network? Indeed, Telgkamp and collaborators have  
596 shown that, while acute blockade of NK1Rs significantly slows down eupneic activity, chronic  
597 inhibition of the synthesis of the tachykinins substance P and neurokinin A (NKA) leads to what  
598 appears to be a compensatory reconfiguration of the respiratory network. This was examined  
599 thanks to the availability of a mutant mouse (PPT-A), which lacks the gene PPT-A that codes for  
600 the tachykinin precursor protein. It turns out that PPT-A mice express essentially normal  
601 eupneic activity, with frequency and variability indistinguishable from wild-type mice under  
602 normal oxygen levels, although PPT-A mice respond abnormally to anoxia, showing an  
603 increased irregularity of eupneic episodes and a significantly reduced capacity to generate  
604 autoresuscitatory sighs compared to wildtype mice (Telgkamp et al. 2002). Thus, in the absence  
605 of a key neuromodulator, the system appears capable of reconfiguring itself to a new state in  
606 which it can generate respiratory activity comparable to that of normal animals. Interestingly,  
607 the new network that emerges in this homeostatic process is clearly different, as illustrated by  
608 their inability to respond to certain perturbations (*e.g.* anoxia) like the normal animal. Although  
609 the cellular and biophysical mechanisms have not been identified, these reports suggest that  
610 the network possesses mechanisms that are plastic enough to homeostatically engage and to  
611 compensate for the loss of neuromodulators or neuromodulator receptors necessary for the  
612 generation of normal respiratory activity (Doi and Ramirez 2008).

613 Gasping is a vital pattern of respiratory activity, typically evoked by hypoxia, which results in  
614 increased air intake and sometimes recovery of normal eupneic activity (autoresuscitation).  
615 This pattern appears to be strongly regulated by neuromodulators 5-HT (via 5-HT<sub>2</sub> receptors),  
616 and NE (via alpha-1 adrenergic receptors), which are required to sustain gasping after hypoxia-  
617 induced depression (St-John and Leiter 2008). This is likely mediated by modulatory effects of 5-  
618 HT and NE on riluzole-sensitive channels (thus on I<sub>NaP</sub>) since during gasping cadmium-sensitive  
619 neurons are not involved in pattern generation (Koch et al. 2011). Gasping in patients at risk of  
620 Sudden Infant Death Syndrome (SIDS) is significantly reduced. This is suggested, for example, by  
621 the increased incidence of pathological signs (*e.g.* chronic hypoxia-induced gliosis) in patients  
622 who die of SIDS (Kinney et al. 2009). The risk of SIDS incidence appears to be associated with  
623 mutations in the promoter of the 5-HT transporter protein gene, as well as abnormalities in 5-  
624 HT receptor expression in the medulla (Kinney et al. 2009; Poets et al. 1991; Weese-Mayer et al.  
625 2003). It is not known if compensatory mechanisms that can bypass the 5-HT regulatory  
626 pathway exist. However, it would be interesting to examine in experimental animals whether

627 manipulation of NE, 5-HT or other neuromodulatory paths can lead to protection from  
628 disruption of the 5-HT transporter protein or 5-HT receptor expression in the medulla and  
629 ultimately reduced risk of SIDS.

630 Rett syndrome patients and mouse Rett syndrome models (*Mecp2*<sup>-/y</sup>) have a mutated *Mecp2*  
631 gene, which encodes methyl-CpG-binding protein 2 (MECP2). These patients suffer from severe  
632 reductions in tyrosine hydroxylase (TH) and NE expressing neurons in the medulla (Viemari et  
633 al. 2005), reduced levels of 5-HT and DA (Koch et al. 2011), as well as substance P in the  
634 cerebrospinal fluid and brain stem (Dunn and MacLeod 2001). It is not currently known if any  
635 compensatory mechanisms similar to those described by Telgkamp et al (2002) are activated.  
636 Nevertheless, the existence of such compensatory mechanisms involving the regulation of  
637 neuromodulatory pathways in respiratory networks and elsewhere suggests that they could be  
638 induced or activated as a therapeutic approach to treat or reduce the risk of this disease, which  
639 ought to be further explored. One research path that could be examined is whether Rett  
640 syndrome patients or its mouse model, develop a phenotype similar to those of PPT-A mutant  
641 animals since they have brain stem deficiencies in substance P levels.

642

#### 643 *Recovery of locomotion CPG in vertebrates*

644 The vertebrate locomotion CPG is thought to be a widely distributed network of interacting  
645 CPGs, all receiving descending projection inputs, for the most part neuromodulatory in nature,  
646 originating in the brain or supraspinal regions (Fig. 1) (Molinari 2009). A number of  
647 neuromodulators are involved in the activation of the mammalian locomotion CPG, with the  
648 main focus of research until now being on the role of aminergic modulators, NE and 5-HT, and a  
649 few exogenous peptides (Jordan and Slawinska 2011; Rossignol et al. 2011). 5-HT appears to  
650 control the excitability and activity mostly of inhibitory local spinal cord neurons (Jordan and  
651 Slawinska 2011). After spinal cord injury (SCI), 5-HT and NE hypersensitivity is observed that  
652 could drive some degree of functional recovery (Rossignol and Frigon 2011). However, the  
653 largest effort towards treating SCI cases have been devoted to understanding how to  
654 upregulate axon regeneration and identify the conditions for appropriate re-innervation are  
655 (Bradbury and McMahon 2006; Rossignol and Frigon 2011). Along this line of inquiry, it appears  
656 that peripheral input (both sensory and motor) may play an important role, and that seems to  
657 be at least partially under modulatory (*e.g.* DA) influence (Rossignol and Frigon 2011). Although  
658 not a vertebrate system, the leech locomotor system, which is also composed of a distributed  
659 network of CPG components that is driven in part by DA, provides an interesting example of  
660 functional recovery when devoid of descending signals. Recovery of crawling activity in leech  
661 (*i.e.* intersegmental coordination) occurs after full transection of the descending inputs.  
662 Interestingly, this involves regeneration of sensory axons that take over part of the  
663 coordination of activity between CPGs along the ventral cord (Puhl et al. 2018). In adult fish,  
664 generation of spinal motor neurons seems to be greatly influenced by dopaminergic  
665 projections, which occurs at the expense of interneurons both during development as well as in

666 the adult (Reimer et al. 2013). Such axonal regeneration seems to be sufficient for full recovery  
667 of swimming, which is also observed in lampreys (Herman et al. 2018).

668 Norepinephrine, which fully originates in the brain, is thought to be required to activate the  
669 mammalian locomotor CPG since the CPG can be activated by simple intraperitoneal or  
670 intrathecal injection of  $\alpha_2$ AR agonists (*e.g.* clonidine) in acutely or chronically, partially or fully,  
671 spinalized cats, even though the exact details of the effects vary depending on the state of the  
672 preparation (Rossignol et al. 2011). Interestingly, in spite of the fact that NE clearly plays an  
673 important role in CPG activity, and that NE all but disappears from the spinal cord below a  
674 completely severed cord, it appears that the role of NE in the recovery from injury has not  
675 thoroughly been tested. If the work described above in decentralized pyloric networks and the  
676 respiratory network deprived of substance P are considered, it would be very interesting to  
677 examine the effect of depletion of NE or other neuromodulators *before* SCI. If one of the  
678 important long-term roles of modulators is to restrict the state that the networks can adopt, as  
679 I suggest here, removing them may then free the networks from some of its constraints and  
680 allow them to visit alternative states from which a recovery to a state somewhat similar to a  
681 pre-SCI may be a possibility. One important fact to consider, highlighted by the work of  
682 Telgkamp et al (2002) with the respiratory network, is their suggestion that depression of the  
683 tachykinin signaling pathways leads to a compensatory enhancement of other neuromodulator  
684 pathways. Although this suggestion still needs to be tested, it opens the possibility that in  
685 locomotor (or any other) networks, one should not necessarily expect to see an enhancement  
686 of one pathway (*e.g.* the NE pathway) when the levels of the modulator or receptors of that  
687 pathway are depressed (*e.g.* NE or NE receptor levels) as a result of SCI. Instead, other  
688 pathways may take over in compensation. It would be interesting, for example, to examine  
689 potential recovery of function (rates and degrees of recovery) in Rett syndrome patients (or  
690 model animals) in response to SCI. Since these patients have severely depressed  
691 neuromodulatory systems, they may be primed to recover faster if other neuromodulatory  
692 systems have been upregulated as a result of the disease prior to the SCI.

693 It is conceivable that proper integration of regenerating fibers in the injured spinal cord can  
694 happen only under the appropriate neuromodulatory environment. Thus, it would be important  
695 to test the effects of SCI on reinnervation (CPGs activity) in animals in which specific  
696 neuromodulator pathways have been manipulated (depleted or overexpressed) beforehand.  
697 This may prepare the networks to be in a more receptive state to receive the new innervations.

698 In general, it has been known for some time that a number of compensatory mechanisms in  
699 diverse systems are revealed by knockout experiments, some involving neuromodulatory  
700 systems (Fukamauchi and Kusakabe 1997; Marvel et al. 2018), some not (Chan et al. 2007; Kim  
701 et al. 2015). This body of evidence strongly suggests that the level of compensatory plasticity in  
702 the nervous system is great and that more needs to be done to understand it and to tap into it  
703 in malignancies involving neuromodulatory systems.

704

705 *Neuromodulation, plasticity and recovery of function*

706 Thus far I have made the claim that neuromodulators participate heavily in configuring  
707 networks involved in CPG activity. Most of the evidence presented comes from experiments in  
708 which neuromodulators are removed, resulting in CPG activity and neuromodulator tone loss,  
709 and subsequent network reconfiguration with resulting recovery of activity. Alternatively, of  
710 course, neuromodulators may be important to elicit the recovery of CPG activity. To my  
711 knowledge this later alternatively has not been shown to occur in CPG networks. The best and  
712 nearly exclusive evidence so far for such claim is a large body of literature claiming that  
713 neuronal plasticity is enhanced by neuromodulators. Because all the evidence to my knowledge  
714 is focused on synaptic plasticity, often in the context of learning and memory, I refer the reader  
715 to some of the most recent reviews on the subject (Creed 2018; Foncelle et al. 2018; Palacios-  
716 Filardo and Mellor 2018; Pawlak et al. 2010; Prince et al. 2016; Sebastiao and Ribeiro 2015).  
717 Nevertheless, the role of the presence of individual or subsets of neuromodulators on plastic  
718 processes that can lead to the recovery of lost CPG activity is of course an exciting avenue for  
719 research.

720

721 *Concluding remarks*

722 Several model systems, both vertebrates and invertebrates, have been used to examine the  
723 compensatory mechanisms activated by neuromodulators or their loss in rhythm-generating  
724 networks or CPGs. In particular, invertebrate systems afford networks with far fewer  
725 components (neurons and synapses), which make the understanding of the roles of these  
726 components significantly easier than vertebrate systems with their much larger numbers of  
727 such components. Given the crucial functions of CPGs in many vital functions, work on as many  
728 such model systems as possible should be pursued in order to understand possible ways in  
729 which CPGs are regulated, both by neuromodulators and by activity.

730 I have reviewed some principles highlighted by work primarily in the crustacean pyloric  
731 network, but also mammalian respiratory networks and others, which are heavily modulated. In  
732 particular, the pyloric network is modulated by numerous substances whose effects and, to  
733 some degree, mechanisms of action are known in some detail. I propose one general principle:  
734 neuromodulators over long stretches of time appear to constrain the parameter space in which  
735 CPGs operate. This restricts which neurons may behave as pacemakers, which synapses may be  
736 active and which not, what ionic currents are expressed in which cells and to what levels. I  
737 suggest that when neuromodulators are removed, together with the loss of function that often  
738 ensues, these parameter spaces are expanded. This then allows a CPG and its component  
739 elements to wander within these larger parameter spaces and sometimes land on a different  
740 region in this space – with a different combination of parameters – that allows it to perform a  
741 similar function to that which has been lost. The mechanisms that restrict these parameters  
742 spaces, and those that enable their relaxation, need to be much better understood.

743 I believe that a systematic approach to remove or alter the expression of specific  
744 neuromodulators from distinct regions of the nervous system in a carefully targeted manner  
745 should be undertaken to examine their roles in triggering compensatory mechanisms that may  
746 be useful in restoring disrupted neuronal CPG activity. New technologies, such as targeted  
747 expression of genes or gene inactivation and optogenetic tools should make this possible.

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749

750 Figures Legends

751

752 Figure 1. *Connectivity diagrams of model systems used to study CPGs.* All diagrams are  
753 significantly simplified for illustration purposes. Common to all is the important role of  
754 neuromodulators, either as gating extrinsic elements in all the CPG networks (orange  
755 downward arrow) or as intrinsic to one of the members of the CPG (e.g. in the *Lymnaea* feeding  
756 network). Top row illustrates two networks based on the operation of pacemaker neurons,  
757 which are the main source of rhythmic activity (enclosed in gray circles with arrowhead  
758 symbolizing repetitive activity): one that uses a single pacemaker neuron (pyloric network) and  
759 the second (respiratory network) consisting of three neuronal populations with pacemaking  
760 properties of various strengths, which are organized dynamically cycle by cycle by the interplay  
761 of intrinsic properties and synchronizing excitatory synaptic connections (together with  
762 reciprocal inhibitory connections). In the bottom two rows are examples of fundamentally  
763 network-based CPGs, which normally rely on half-center reciprocally inhibiting pairs of neurons  
764 or populations of neurons. In the crustacean gastric mill network, two neurons (LG and Int1)  
765 form the core of the CPG (gray circle) but rely on modulatory input of neurons (MCN1) whose  
766 axons release modulators onto and receive chemical and electrical feedback from the core CPG.  
767 All other networks shown have a core CPG composed of more neurons than the key ones that  
768 are depicted. In the case of the vertebrate locomotion network, each limb is controlled by a  
769 large number of coupled interneurons (white circles) and several half-centers are thought to  
770 exist (gray circles), necessary to control the multiple antagonistic muscle groups. In the case of  
771 the *Tritonia* escape swim network, a crucial dual synapse between CPG neurons C2 and VSI  
772 occurs in ganglion (the pedal ganglion) different from where their cell bodies are located. Note  
773 that the respiratory network is also a network of interconnected neurons, but many of those  
774 can be considered pacemaker neurons. For nomenclature and general reference see: Crab  
775 pyloric and gastric mill networks (Marder and Bucher 2007), mammalian respiratory network  
776 (Ramirez and Baertsch 2018b), vertebrate locomotion network (Grillner 2006b), *Tritonia* escape  
777 swim network (Sakurai and Katz 2009), *Lymnaea* feeding network (Benjamin 2012), *Aplysia*  
778 feeding network (Sasaki et al. 2013). AB, Anterior Burster; PD, Pyloric Dialator; LP, Lateral  
779 Pyloric; PY, Pyloric Constrictor; Pre-BötC, Pre-Bötzinger Complex; PiCo, post-inhibitory complex;  
780 RTN/pFRG, retrotrapezoid nucleus/parafacial respiratory group; Int1, Interneuron 1; LG, Lateral  
781 Gastric; DSI, Dorsal Swim Interneuron; C2, Cerebral Neuron 2; VSI, Ventral Swim Interneuron;  
782 N1M, N1L, Medial, Lateral Interneuron 1; N2v, Ventral Interneuron 2; N3t, Tonic Interneuron 3;  
783 Bxx, Buccal neuron xx.

784 Figure 2. *Balance of ionic current levels is required for pacemaker activity.* **A.** PD neuron, a  
785 member of the crab pyloric network pacemaker kernel, oscillates readily when a pacemaker  
786 current is injected into it with dynamic clamp. **B.** Example of a follower neuron (LP neuron)  
787 injected with pacemaker current (same as in A) in dynamic clamp, showing that pyloric follower  
788 neurons are incapable of generating oscillations under similar conditions as the pacemaker  
789 neurons. **C.** Left shows the voltage-clamp measurement of the high threshold  $K^+$  current ( $I_{HTK}$ ) in

790 one PD neuron (voltage steps at the bottom). Right shows the average I-V curves from all the  
791 recorded PD neurons (black symbols and lines) and all the recorded LP neurons recorded (gray  
792 symbols and lines), showing the significantly smaller levels of  $I_{HTK}$  in PD than LP neurons. **D.** The  
793 LP neuron shown in B expresses oscillatory activity when the same amount of pacemaker  
794 current is injected with dynamic clamp but only after blocking part of  $I_{HTK}$  with  
795 tetraethylammonium, TEA. Top traces in A, B and D are membrane potential, bottom traces are  
796 dynamic clamp injected current. Details in (Golowasch et al. 2017) from which this figure has  
797 been modified.

798 *Figure 3. Both activity and neuromodulators can control the slow process of transcription that*  
799 *leads to correlated expression of sets of ionic current.* Activity, putatively via changes in  
800 intracellular  $Ca^{++}$  concentrations ( $[Ca^{++}]$ ) due to modifications of plasma or intracellular  
801 compartment (ER) membrane  $Ca^{++}$  currents ( $G_{Ca}(V_m)$ ,  $IP_3RCa$ , respectively) regulate activity-  
802 dependent signals (enzymes or regulatory sensors or factors,  $S_A$ ) that can result in the parallel  
803 regulation of transcription (as shown here, but translation and even post-translational  
804 modifications can be envisioned also) of multiple ion channel genes (here only two,  $G_1(V_m)$  and  
805  $G_2(V_m)$ , are shown, but others including  $G_{Ca}(V_m)$  and  $IP_3RCa$  themselves could be included). At  
806 the same time, activation of neuromodulatory receptors ( $R_{NM}$ ) can activate different signaling  
807 cascades ( $S_{NM}$ ) that can regulate the transcription of sets of ionic channels, which may or not be  
808 the same as those activated by activity. These two types of regulation of transcription (Blue)  
809 have to be slow compared to other regulatory or activating signals (Black, Orange).  
810 Neuromodulator receptors can of course also rapidly activate specific ion channels,  $G_{NM}(V_m)$ .  
811 Sensory or other input (e.g. synaptic) can modify the membrane potential (arrows pointing at  
812  $V_m$ ), which in turn can change the activation of additional voltage-gated ion channels. This  
813 process is assumed to be fast (centered on  $V_m$  of the right side of the diagram), and these  
814 conductance changes can move up and down relatively independently from the other slow  
815 processes. However, they are not disconnected since the activity changes thus induced can  
816 influence the slower transcription regulation processes via  $S_A$  (left side of the diagram).  $S_{NM}$ ,  
817 Intracellular Neuromodulator Sensor;  $S_A$ , Intracellular Activity Sensor;  $IP_3RCa$ ,  $IP_3$  Receptor-  
818 activated  $Ca^{++}$  Current; ER, Endoplasmic Reticulum; mRNA( $G_x$ ), mRNA coding for conductance x;  
819  $G_{syn}$ , Synaptic Conductances.

820 *Figure 4. Influence of neuromodulators on neuronal and network parameter space.* These  
821 diagrams illustrate the proposal that one function of neuromodulators in a neuronal network  
822 can be to restrict the parameter space in which its components operate. **A.** In the presence of  
823 neuromodulators, a neuron has an appropriate balance of parameters A and B (e.g. ionic  
824 conductances correlated along a positively sloped distribution), which enables it to act as a  
825 pacemaker (cell 1, shown as a circle with an arrowhead representing repetitive activity). Cells 2  
826 and 3 also have restricted distributions of parameters C, D and E, F, respectively; synaptic  
827 strengths (shown as inhibitory but which could in principle also be excitatory) are indicated by  
828 the presence of solid lines and their thickness. This diagram is based on the core of the crab  
829 pyloric rhythm-generating network, but with appropriate modifications could be any network in

830 any system (e.g. Fig. 1). **B.** In the absence of neuromodulators, the linear distribution of  
831 parameters A and B in cell 1 has been lost and the cell has consequently also lost its ability to  
832 oscillate, a new synapse between cells 2 and 3 has been activated or enhanced, the parameter  
833 space occupied by parameters C-D (cell 2) and E-F (cell 3) have expanded, and cell 3 has lost the  
834 correlation of parameters E and F. However, the appearance of a new synapse between cells 2  
835 and 3 is meant to illustrate the possible shift in the mechanism of generation of pacemaking  
836 activity from a pacemaker cell to a half-center oscillator (gray oval with arrowhead).  
837 Alternatively, with appropriate changes of conductance relationships, either cell 2 or 3 could  
838 become a pacemaker and rhythmically drive the entire network (not shown).

839

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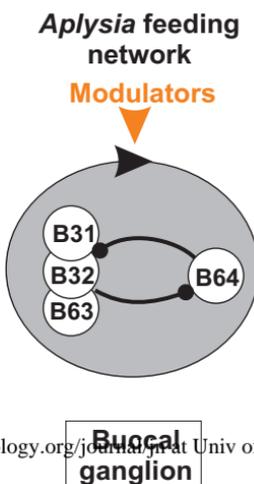
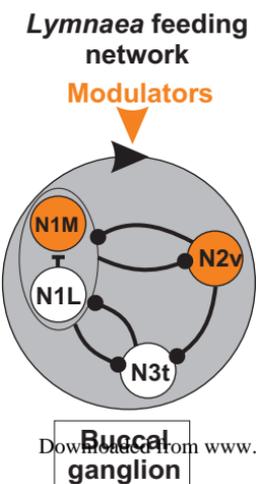
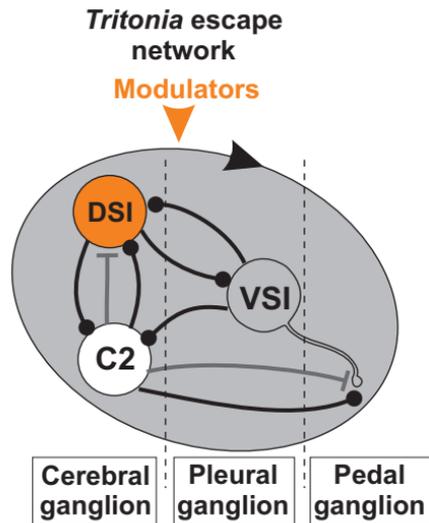
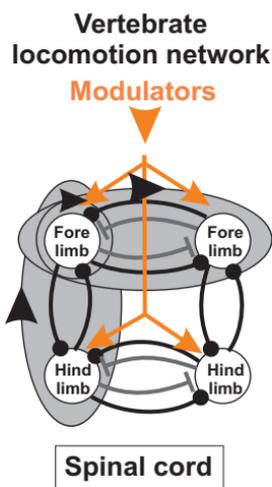
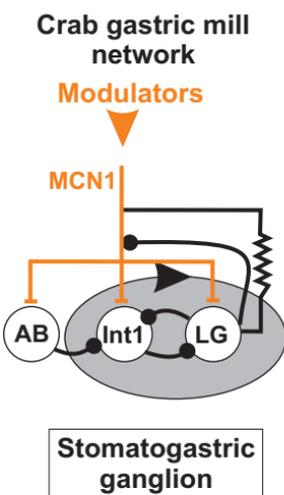
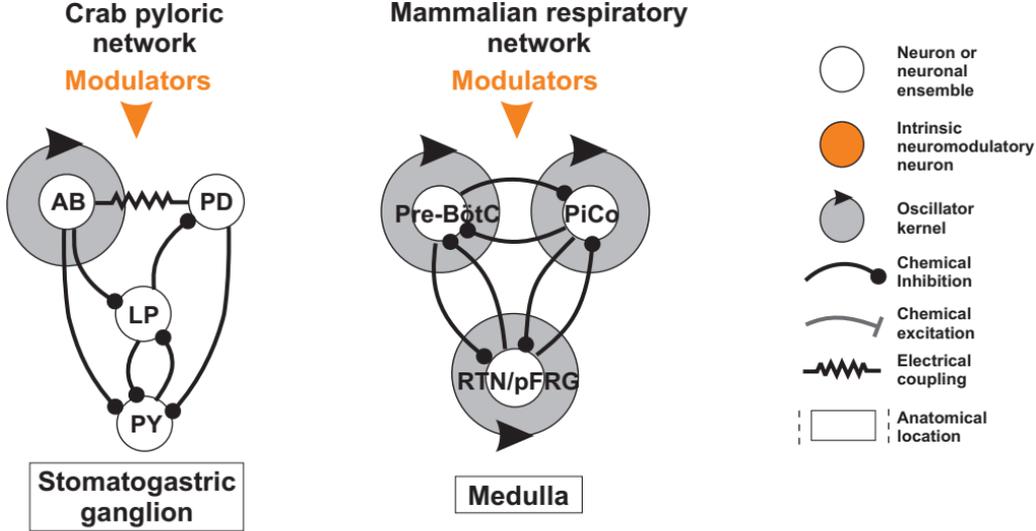
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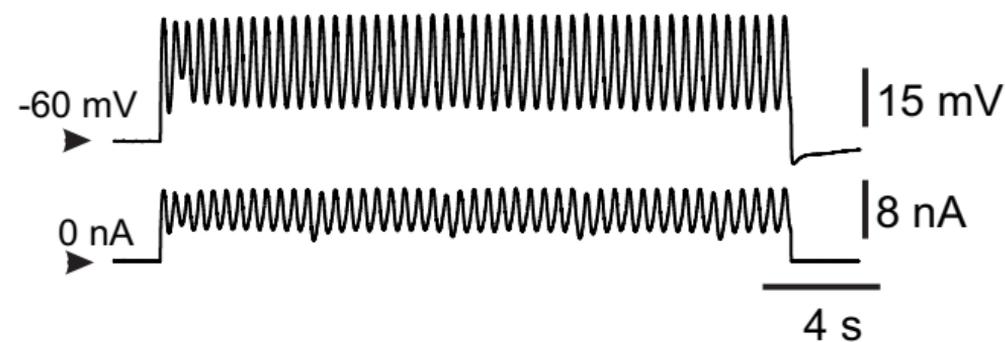
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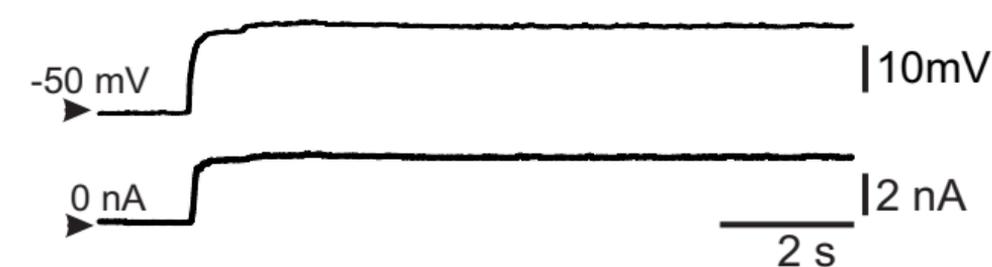
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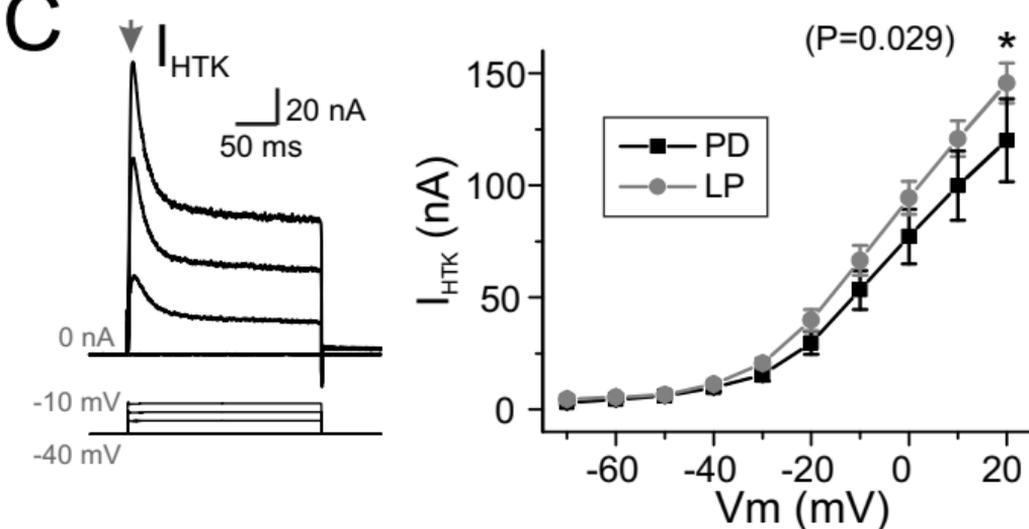
### A PD neuron (pacemaker)



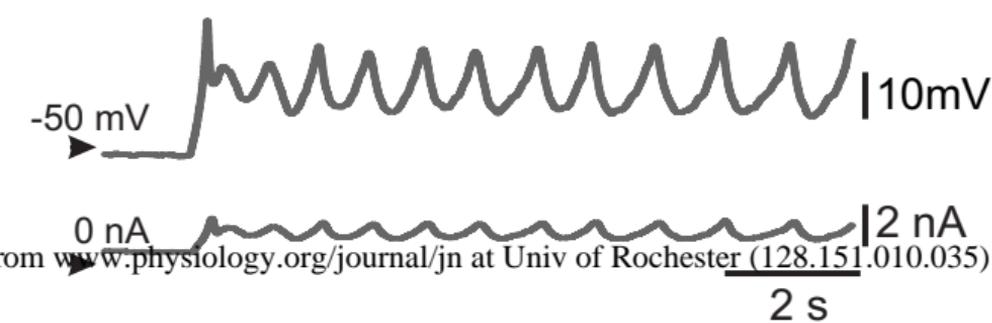
### B LP neuron, control

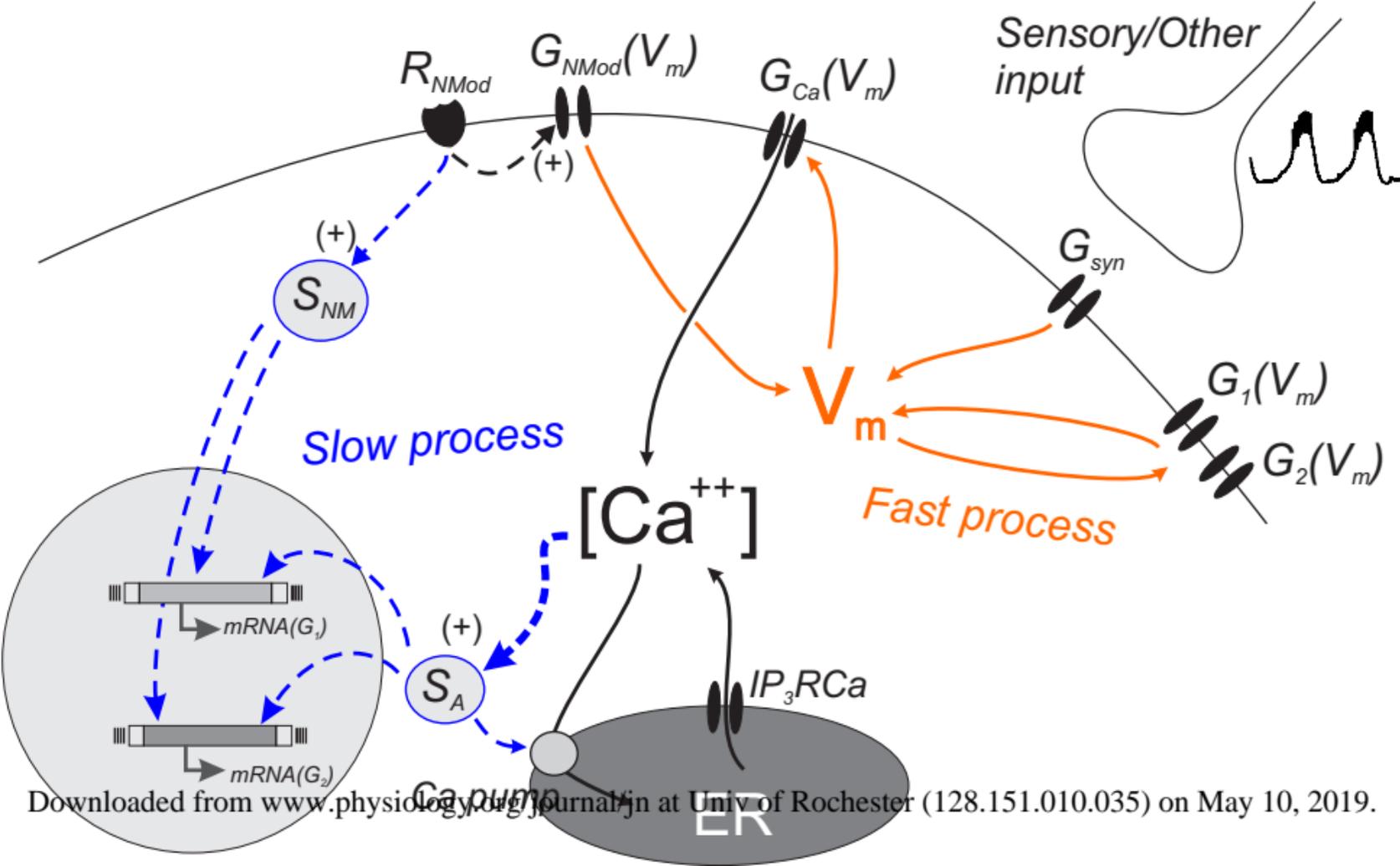


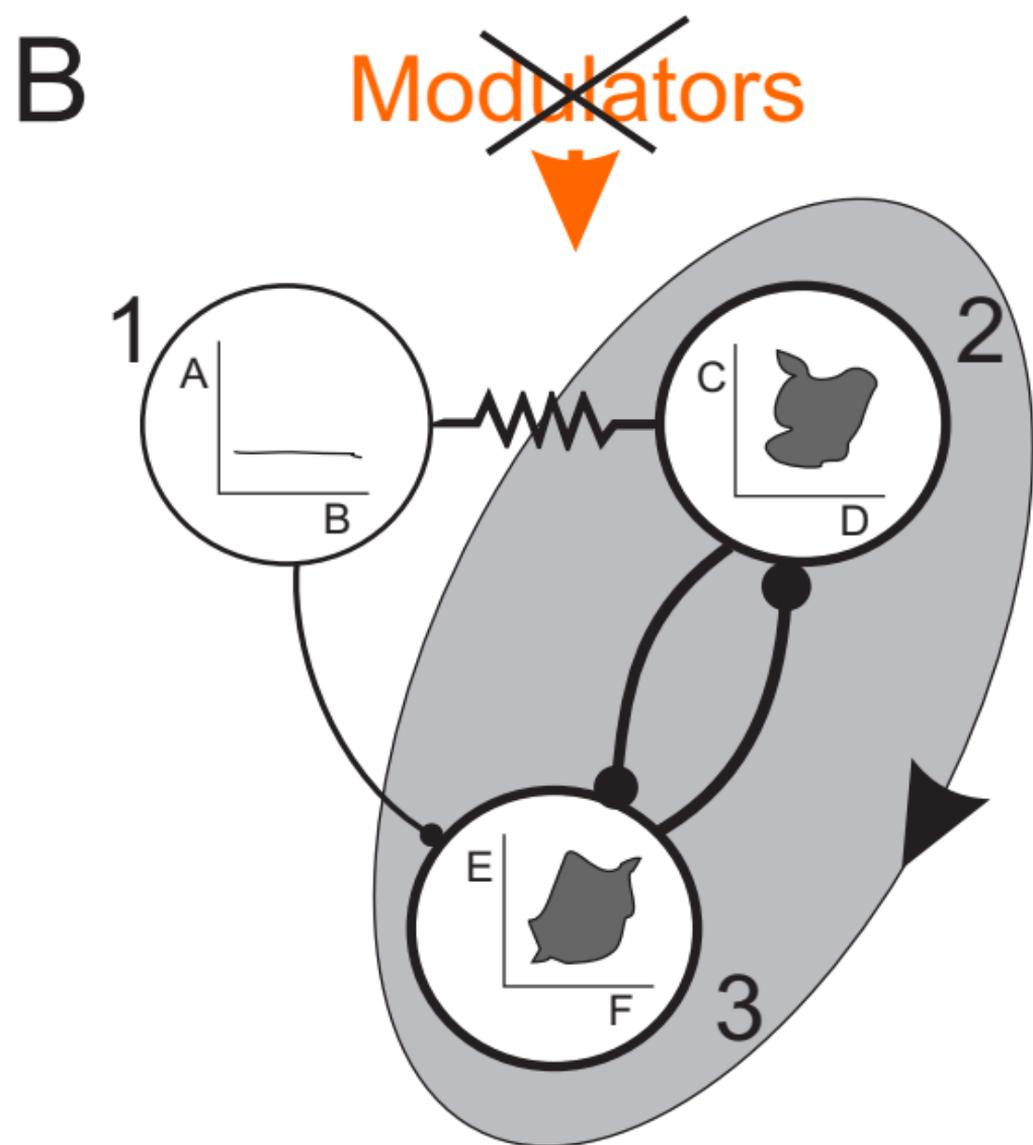
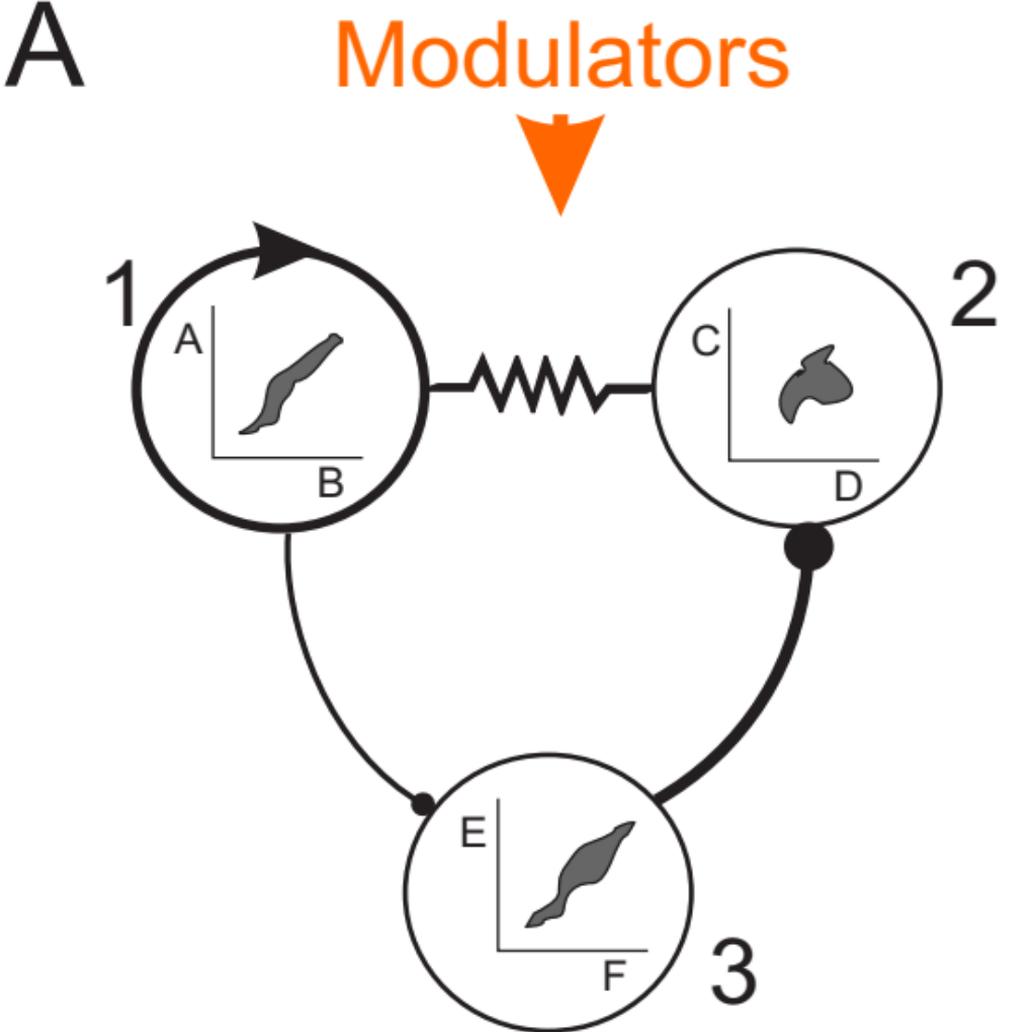
### C



### D LP neuron in 8mM TEA







—•— Chemical synapses  
 —||— Electrical Coupling

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