Developmental Plasticity of Inhibitory Circuitry

Sarah L. Pallas



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Preface

This book was conceived at Watershed Restaurant in Decatur, GA, after a symposium on the topic organized by myself and Pete Wenner for the 2006 Society for Neuroscience meeting in Atlanta (Pallas et al. 2006). Our compliments to Chef Scott Peacock! We put together the symposium because of our own interest in this under-studied topic, relevant findings in our laboratories, and the fact that several mechanistic explanations for plasticity at inhibitory synapses had been uncovered by the invitees. Due in large part to the work of the contributors to the symposium and to this book, inhibitory plasticity is finally becoming widely recognized as a critical area for investigation. Increasing evidence supports an important role for inhibition in disease states, including epilepsy, schizophrenia, and autism spectrum disorders, and one of our aims in this book has been to bring together data from the synaptic and circuit levels of analysis with some of the clinical data in one volume. Some of the authors we invited were ultimately unavailable to contribute chapters, but we had the great good fortune to be able to add several others. As in any collection, however, there are many more investigators and studies that we would like to have included but could not due to lack of space. It has been our goal to provide the reader with a broad overview of mechanisms underlying inhibitory plasticity and of the systems in which it operates. We hope that this book will encourage further study of inhibitory plasticity by other investigators, and that further elucidation of the underlying mechanisms will lead to translational applications.

Atlanta, GA

Sarah L. Pallas

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Chapter 1 Introduction

1.1 Hemifield Neglect?

Neuroscience has long been focused on understanding neural plasticity and its regulation during development and in adulthood. Oddly, despite the known importance of inhibition in shaping neural responses, and the rich variety in subtypes of inhibitory neurons (see Chap. 2), experimental work in this area has focused almost entirely on plasticity at excitatory synapses. Now, that has changed and the gap in knowledge of inhibitory plasticity is rapidly being filled. A growing body of evidence suggests that plasticity at GABAergic and glycinergic synapses is of critical importance during both development and aging, and several mechanisms have been uncovered. The appearance of several excellent reviews on the topic (e.g. Akerman and Cline 2007; Maffei and Turrigiano 2008; Spolidoro et al. 2009) is a further indication that there is an increasing recognition of the importance of inhibitory plasticity. In this, and the chapters that follow, we provide a glimpse into some of the most salient findings in this long-neglected area of research.

Research on synaptic plasticity has been concentrated, in particular, on NMDA receptor-dependent, long-term potentiation (LTP) and depression (LTD) at excitatory synapses (see Bliss and Collingridge 1993; Malenka and Bear 2004; Massey and Bashir 2007; Yashiro and Philpot 2008, for review). The notion of use-dependent plasticity, as popularized by Donald Hebb, may explain the bias of the field toward excitatory synapses, and the neglect of the inhibitory side of plasticity. Hebb theorized that connections between neurons could get stronger if the postsynaptic neuron was successfully activated by the presynaptic terminal (Hebb 1949). Certainly, it was easy to envision how inhibitory connections could be turned down in strength by repeated use, simply because of their suppressive style, but it was not at all clear from this perspective how to get activation-based increases in synaptic efficacy at inhibitory synapses, whose usual function is to silence their targets. This is especially true because LTP/LTD involves calcium-dependent activation of signaling cascades, and the GABA_A receptor is a Cl⁻ channel that does not pass calcium.

1.2 "Inhibition" is Excitatory Early in Development

A possible solution to the mystery became clear when it was discovered that GABA, the major inhibitory neurotransmitter in adult vertebrates, is actually excitatory at perinatal stages of development (Cherubini, 1991 #2074, see Ben-Ari 2002, for review). This paradoxical situation is due to immature levels of the KCC2 K⁺-Cl⁻ transporter, resulting in a negative reversal potential for GABA channels, and thus chloride efflux on channel opening. Thus, plastic changes of many "inhibitory" connections between neurons could be limited to this period of time, and could work in the same way as connections that are excitatory throughout life. Evidence for this comes from reports that inhibitory GABAergic synapses could undergo LTP (called LTP-GABA $_A$) showed that calcium channel blockers could prevent it, pointing to a GABA, receptor-induced activation of voltagedependent calcium channels (Caillard et al. 1999a). The plasticity was expressed by presynaptic changes in probablility of GABA release. These results then raised the question of how LTD of GABAergic synapses could occur. As a consequence, LTD of immature GABAergic synapses (called LTD-GABA_A) can occur by traditional means of depolarization-induced removal of the Mg++ block of NMDA receptors (Caillard et al. 1999b), which in turn, perhaps through a similar signal transduction pathway as LTD at excitatory synapses, can lead to reductions in presynaptic GABA release.

The work of the Wenner lab (Chap. 3) shows the importance of the excitatory action of immature GABA receptors, but on the other hand points out the need for homeostatic regulation of excitation to prevent epileptiform activity. GABARs that contribute to bouts of spontaneous network activity (SNA) in chick spinal cord *in ovo* that are critical for normal maturation of neural networks (see also Cancedda et al. 2007), and are tightly regulated through homeostatic mechanisms (see below) that seem to involve activity-dependent regulation of intracellular chloride levels.

1.3 Mechanisms of Inhibitory Plasticity are Highly Diverse

As the central nervous system matures, chloride transporters change their expression patterns such that NKCC1, which accumulates Cl⁻, is down-regulated and KCC2, which exports Cl⁻, is up-regulated, making the reversal potential for Cl⁻ more negative. As a result of this change in chloride balance, opening of the GABAR chloride channels becomes hyperpolarizing (Rivera et al. 1999; Lee et al. 2005). Through what mechanisms can inhibitory synaptic plasticity occur after this point? Several chapters illustrate the rich variety of ways in which this can occur.

Some of the earliest reports of changes in the strength of inhibitory synapses came from studies of brain regions in which inhibition was known to be an important contributor to behavioral output, such as the brainstem auditory areas involved in sound localization (e.g. Sanes and Rubel 1988; Sanes et al. 1992; Sanes and Takács 1993; Werthat et al. 2008, Chap. 4 in this volume). During development, along with the pruning of excitatory connections, GABAergic and glycinergic inhibitory synapses are pruned as well, helping to bring about an appropriate balance between inhibition and excitation in neural networks. After cochlear damage, inhibition is reduced, leading to increased excitability but a broadening of sound frequency tuning. A similar loss of inhibition occurs in age-related hearing loss (Caspary et al. 2008). The underlying mechanisms are diverse, and include both presynaptic and postsynaptic processes.

1.3.1 Co-Transmitters

Some inhibitory neurons, in addition to the release of their inhibitory neurotransmitter substances, also release excitatory neurotransmitters when they are activated, providing a sort of end run around the problem of facilitating inhibitory connections. In the MNTB, a form of LTD (LTDi) occurs at inhibitory synapses onto MSO neurons that involves postsynaptic GABA_B receptors. Interestingly, these synapses contain glycine rather than GABA in adulthood. Even more surprising is the fact that in addition to GABA, immature MNTB neurons contain glutamate (Gillespie et al. 2005).

1.3.2 Changes in Receptor Subunit Composition

Another mechanism of inhibitory plasticity seen in the auditory pathway and elsewhere (Fagiolini et al. 2004) is an activity-dependent change in GABA receptor subunit composition. As with NMDA receptors (Stocca and Vicini 1998), there is a developmental progression in expression and incorporation of different GABA receptor subunits, providing an additional avenue for regulation (Golshani et al. 1997, see Chap. 6 for review).

1.3.3 DSI

In Chap. 8, Alger reviews how endocannabinoids can induce inhibitory plasticity (LTDi) in the hippocampus and cerebellum by presynaptic alteration of the strength of inhibitory synapses, in a process called depolarization-induced suppression of inhibition (DSI). Endocannabinoids (ecs) likely function as retrograde messengers (Chevaleyre et al. 2006, for review), and ec-LTD is triggered through mGluR-dependent release of ecs onto CB1 receptors at GABA terminals, depressing GABA release. In keeping with the depolarizing effect of GABA in neonates, ecs depress

activity. Alger makes the important observation that cannabis use in pregnancy could have unintended consequences on brain development. A somewhat opposite process occurs via presynaptic NMDAR activation of GABAergic terminals that leads to LTPi of GABAergic synapses in Xenopus tectum (Lien et al. 2006).

1.3.4 Inhibitory STDP

Spike timing-dependent plasticity refers to the fact that some forms of Hebbian plasticity require that presynaptic activity evokes a spike in the postsynaptic neuron within a short time window of about 20 ms (Zhang et al. 1998). That this can also occur at inhibitory synapses was reported by Poo and colleagues (Woodin et al. 2003; Lu, 2007 #8849, reviewed in Caporale and Dan 2008). The underlying mechanism is activation of voltage-dependent Ca⁺⁺ channels and a decrease in KCC2, reducing inhibition.

1.3.5 Receptor Trafficking

Activity-dependent regulation of receptor trafficking is a well-accepted explanation of LTP and LTD of glutamatergic synapses, but also occurs at inhibitory synapses (Marsden et al. 2007; Bannai et al. 2009). Excitatory activity can affect diffusion kinetics and receptor cluster size negatively, thus increasing susceptibility to LTP.

1.4 Homeostatic Plasticity

In retrospect, it may seem obvious that what goes up must come down, i.e. strengthening of excitatory synapses cannot go on indefinitely without reaching an inflexible maximum. A stable baseline is necessary for change to be recognized. Once the concept of homeostatic plasticity was introduced, the emphasis was on explaining how the strength of excitatory connections could be decreased after LTP. Homeostatic plasticity does involve inhibitory as well as excitatory plasticity, however, and can occur on several different levels. It was first described as a regulation of the basal activity setpoint of individual neurons in culture (Turrigiano et al. 1994; Turrigiano, 1995 #4671, see Davis 2006; Turrigiano 2007, for review). At synapses, the process is called synaptic scaling, and refers to a setpoint of synaptic strength that allows potentiation or depression to occur. Homeostasis can also occur at the network level, and functions to maintain flexibility in the face of input perturbations, including those resulting from loss of input. That homeostatic plasticity also occurs at inhibitory synapses has been demonstrated in our lab and others' (Razak and Pallas 2006; Razak and Pallas 2007; Carrasco, submitted #9364, reviewed in Chaps. 3, 5, 6, Nelson and Turrigiano 2008).

1.5 Critical Periods

Ocular dominance plasticity in visual cortex is perhaps the second most popular model behind hippocampus for studying mechanisms of neural plasticity, and the mechanism (NMDAR-dependent LTP/LTD) is much the same except that the extent to which excitatory plasticity can be evoked is dependent on age in cortex (reviewed in Malenka and Bear 2004; Smith et al. 2009). The additional involvement of inhibition in ocular dominance plasticity began to draw more attention after reports from Hensch and colleagues that mice with knockout of GAD65 (an isoform of glutamic acid decarboxylase, the synthetic enzyme for GABA) fail to exhibit LTD of connections from the closed eye (Hensch et al. 1998, see also Gandhi, 2008 #9156). Chap. 6 discusses how GAD can be regulated by experience, and thus promote maturation of inhibitory circuits in sensory cortex (see also Sun 2007).

1.6 Old Dogs and New Tricks: Adult Plasticity and Aging

In neuroscience in general, there is increasing recognition that, in some sense, critical periods never close but only fade away, with synaptic plasticity requiring more vigorous or prolonged stimulation with age (e.g. Linkenhoker and Knudsen 2002; Hofer et al. 2006; He et al. 2007; Zhou and Merzenich 2007; Spolidoro et al. 2009). One wonders why it has taken so long to realize that we old folks can still learn! The rescue of plasticity by benzodiazepines in GAD -/- mice suggests that it may be possible to reopen critical periods in adulthood through activity-dependent inhibitory plasticity (reviewed in Morishita and Hensch 2008). Indeed, there is accumulating evidence that inhibitory plasticity is especially important and common in adulthood. The impression that plasticity occurs mainly in juveniles may come primarily from an overgeneralization based on ocular dominance plasticity research. In visual cortex, sensory deprivation by monocular lid suture or darkrearing was reported to have negative effects in juveniles but not adults, and these effects are long-lasting to the point of irreversibility (reviewed in Daw 1994), but this hard line view is softening. Research in my lab shows that superior colliculus can remain sensitive to dark-rearing long past critical period "closure" in cortex (Carrasco et al. 2005; Carrasco and Pallas 2006) as a result of inhibitory plasticity (Carrasco et al., submitted).

In addition to modifying existing synapses, in some parts of the adult brain including olfactory pathways, hippocampus, and cerebellum, entirely new neurons are produced and then integrated into existing circuits. This is also true of transplanted stem cells (Snyder et al. 1997). It would seem that these neurons face

formidable obstacles by trying to differentiate into a circuit that has passed its malleable period. Fairly recently, however, it has come to light that GABA in adult hippocampal neurogenesis is depolarizing. Newborn granule cells in adult cerebellum go through the same change in Cl⁻ transporter expression pattern as in immature cerebellum. Their integration into a circuit is GABA-dependent, and once integrated they exhibit adult transporter expression patterns (Ge et al. 2007, 2008). In fact, GABA excitation normally occurs in adult brain in both transient and sustained modes (Marty and Llano 2005), and tetanic stimulation of GABAergic synapses in hippocampus can change Cl⁻ balance in a short or long-term way. Whether this is a physiologically relevant situation under normal conditions is an important question to address, but is likely to be relevant to generation of epileptic foci.

Inhibitory plasticity may even be more prevalent than excitatory plasticity in adult brain under some circumstances. Nedivi and colleagues find that in adult cerebral cortex inhibitory neurons are more likely to undergo structural modifications than are excitatory pyramidal neurons (Lee et al. 2006). This is not always a good thing, however. Inhibitory plasticity is a significant contributor to perceptual problems associated with aging. Baby boomers experiencing hearing loss en masse may not realize that much of the problem comes from a loss of inhibition, and that their detection thresholds may actually be improving (Caspary et al. 2008). A similar situation occurs in the visual system (Hua et al. 2006). These results suggest that treatment with GABA agonists may be more helpful than turning up the volume!

In the final chapter of the book, Levitt and colleagues present some clinical disorders thought to be related to problems in development and maintenance of inhibitory circuitry in cerebral cortex, including epilepsy, schizophrenia, autism spectrum disorders, and Fragile X syndrome. Here, although considerable progress has been made, much is still to be learned from studies of inhibitory plasticity that might be helpful in understanding and treating these diseases. For example, Kaila (Chap. 7, this volume, Vanhatalo and Kaila, submitted) points out that more thinking around the issue of neonatal seizures needs to be done, given that early GABAergic activity is important for maturation and that traditional GABA agonists are not likely to suppress seizures when GABARs are immature and excitatory.

1.7 Conclusions and Future Directions

Some common themes throughout the book are that inhibitory plasticity occurs in many different neuronal subtypes, at a diversity of CNS areas and through a plethora of distinct mechanisms. It is not possible or productive to consider only excitatory connections when trying to understand plasticity because circuits that produce behavior involve both excitatory and inhibitory elements. It is clear that one cannot assume that the action of GABA or glycine is inhibitory, and that either LTP or LTD can result from activation of GABAergic synapses.

1 Introduction

So what is left to be done? Lessons have been learned from debates such as those that plagued the field of hippocampal LTP (Malenka and Bear 2004). Hopefully, they can be put to good use in dispassionately teasing out the separate and synergistic roles of each different type of inhibitory plasticity.

In the case of endocannabinoid-mediated plasticity, several details need to be worked out. What is the identity of the natural ligand of CB1Rs at specific synapses throughout the brain; is it the same everywhere under all circumstances? How does the endocannabinoid release process work, and is it a regulated step or does release occur inevitably as soon as endocannabinoids are synthesized? What are the natural physiological stimuli for endocannabinoid actions? Can variations in endocannabinoid regulation during the course of development alter neuronal wiring diagrams? Can cannabinoid receptor-dependent long-term synaptic depression be reversed? How can the endocannabinoid system best be exploited therapeutically for its anticonvulsant effects?

Further characterization of the structure and function of inhibitory neurons in situ is also needed, as well as studies of their role in producing behavior. There is surely a link between subtype of neuron and type of plasticity it can undergo or produce, independent of brain area. Likewise, there will be similarities and differences in mechanisms across brain regions. Most research has concentrated on GABA_A receptors, with a fair amount of work on glycine receptors, but examining contributions of other receptor types to inhibitory plasticity would be valuable.

Some interesting remaining questions are, whether for any given time and place, inhibitory plasticity plays more of a role than excitatory plasticity, under what conditions each type of plasticity is evoked, and whether they can operate simultaneously or are in conflict. Sanes suggests that deprivation induced loss of inhibition preserves an early immature state. But this is likely not the case when plasticity is initiated in adulthood. Thus, an important question touched on by several of the authors is if and how inhibitory plasticity in adults differs from that during development. The availability of tools for labeling subpopulations of inhibitory neurons and specifically manipulating them (e.g. Lechner et al. 2002; Sugino et al. 2006; Wang et al. 2007; Zhang et al. 2007) will be a boon to future research in this area. Computational approaches may also help to point the way to testable hypotheses.

This book brings together the work of researchers investigating inhibitory plasticity at many levels of analysis and in several different preparations. This topic is of wide relevance across a number of different areas of research in neuroscience and neurology. Understanding mechanisms of adult plasticity has profound implications for clinical populations suffering from brain disorders (Ehninger et al. 2008). Medical problems such as epilepsy, mental illness, and movement disorders can result from malfunctioning inhibitory circuits. Further, the maturation of inhibitory circuits may trigger the onset of critical periods of increased neural circuit plasticity, raising the possibility that such plastic periods could be reactivated for medical benefit by manipulating inhibitory circuitry. It is therefore essential to understand how inhibitory connections can be altered. The time is ripe to review and synergize the present knowledge in this topic, in order to reconcile conflicting data and to promote further progress.

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Chapter 2 The Origins and Specification of Cortical Interneurons

Asif Maroof and Stewart Anderson

2.1 Introduction

The cerebral cortex is composed of neural networks that function through an intricate balance of excitation and inhibition. At the cellular level, these cortical networks consist of projection neurons and interneurons that primarily use the neurotransmitters glutamate and GABA, respectively. GABAergic interneurons make up 25–30% of the cortical neuronal milieu, and they play a vital role in modulating cortical output and plasticity (Whittington and Traub 2003; Wang et al. 2004). Cortical interneurons also play a role in regulating developmental processes in the forebrain, including neuronal proliferation and migration during the establishment of cortical circuitry (Owens and Kriegstein 2002; Hensch 2005).

Despite their prominent role in the function of the cortex, studies determining how interneuronal progenitors establish their specific fates have been relatively sparse. Cortical interneurons accomplish specific functions through a remarkable diversity of subtypes that vary in morphology, physiology, and neurochemical constituents (Monyer and Markram 2004). Because this diversity and the context-dependent maturation of interneuron-defining features appear after weeks of postnatal maturation, progress connecting the embryonic development of cortical interneurons to their differentiated fate has been slow. Consequently, little was known about the origin and molecular determination of cortical interneuron diversity until improved fate-mapping approaches and transgenic mice became available.

2.2 Origins of Cortical Interneurons

While cortical projection neurons derive from the dorsal (pallial) telencephalon and migrate along radial glia to their final laminar position in the cortical mantle zone, immunolabeling for GABA (DeDiego et al. 1994) and Dlx2 (Porteus et al. 1994)

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reveals streams of progenitors migrating tangentially from the subpallium into limbic and cortical structures in the telencephalon. Analyses of Dlx1/Dlx2 mouse mutants. together with in vivo ablation experiments and co-labeling of migrating cells in slice culture experiments, suggested that this tangential migration consisted of interneuronal progeny (de Carlos et al. 1996; Tamamaki et al. 1997; Anderson et al., 1997; Parnavelas 2000; Marin and Rubenstein 2001). Tangential migrations of putative interneurons have been identified in several mammalian species, including mice (Anderson et al., 1997; Wichterle et al. 1999), rats (de Carlos et al. 1996; Lavdas et al. 1999), ferrets (Anderson et al. 2002b), and humans (Letinic et al. 2002; Wonders and Anderson 2006). In rodents and ferrets, the subpallium appears to be the primary source of cortical interneurons, whereas one study reported that in human embryos, most cortical interneurons undergo their terminal mitosis in the cortical subventricular zone (Letinic et al. 2002). We will address distinct regions within the telencephalon that have been implicated as potential origins for cortical interneurons, with particular emphasis on the neurochemically defined interneuron subgroups that those regions generate.

2.2.1 Medial Ganglionic Eminence

Although initial studies of interneuron tangential migration labeled cells within the lateral ganglionic eminence (LGE) (de Carlos et al. 1996; Tamamaki et al. 1997; Anderson et al., 1997), these studies did not establish whether these cells originated within the LGE itself or migrated to the LGE via other progenitor domains. Indeed, fluorescent dye labeling of the more ventrally located medial ganglionic eminence (MGE) revealed large streams of cells, most of which express GABA, migrating into the cortex (Lavdas et al. 1999). Mice lacking the homeobox transcription factor Nkx2.1 exhibit a complete loss of this migratory behavior and have a roughly 50% reduction of GABA+ cells in the neocortex just before birth (Sussel et al. 1999). Comparison of LGE and MGE-derived cells in vitro and in vivo showed that MGE cells retain a far greater propensity to migrate into the cortex (Wichterle et al. 1999; Anderson et al. 2001; Wichterle et al. 2001).

Due to the extensive timeframe for cortical interneurons to mature into distinct subgroups, taking several weeks in rodents, slice culture experiments proved to be inadequate for fate mapping studies. Subsequent experiments that involved transplanting genetically-labeled MGE progenitors in utero into the embryonic MGE (Wichterle et al. 2001; Butt et al. 2005), the lateral ventricle (Valcanis and Tan 2003), or in vitro onto a neonatal, cortical feeder layer (Xu et al. 2004), demonstrated that the majority of MGE-derived interneuronal progenitors went on to express either parvalbumin (PV) or somatostatin (SST). This expression defines two distinct neurochemical subgroups, along with their associated physiological characteristics and synaptic contacts, that together comprise roughly 60% of the cortical interneurons in mice and rats (Gonchar and Burkhalter 1997; Kawaguchi and Kubota 1997). Of particular interest, these studies rarely found MGE-derived interneurons that

express calretinin (CR), a calcium binding protein that is largely non-overlapping with the SST or PV subgroups and primarily labels cells with a vertically oriented, bipolar or bitufted morphology (Rogers 1992; DeFelipe 1997), which suggests that most CR+ interneurons originate from a spatially or temporally distinct progenitor domain than those that express PV or SST. Taken together, these three neurochemically-defined subgroups make up approximately 80% of all interneurons within the cortex.

An MGE origin for most PV- or SST-expressing interneurons in mice has been further confirmed by genetic fate mapping studies (Fogarty et al. 2007; Xu et al. 2008). In addition, transplantation studies have begun to identify molecular subregions of the MGE that appear to be biased toward the generation of distinct interneuron groups. The Nkx6.2-expressing region of the most dorsal MGE appears biased toward the generation of SST-expressing cells, whereas the ventral two thirds of the MGE appears biased towards generating PV-expressing interneurons (Flames et al. 2007; Wonders et al. 2008). However, it is important to note that despite the tendencies for PV- or SST-expressing subgroups to have distinct physiological properties and patterns of axonal targeting, distinct MGE domains giving rise to distinct interneuron "types" (defined by combinations of neurochemical, physiological, and morphological characteristics) remain to be identified.

2.2.2 Caudal Ganglionic Eminence

In addition to the MGE, the caudal ganglionic eminence (CGE) is the other subpallial structure most strongly implicated in the generation of cortical interneurons (Anderson et al. 2001; Nery et al. 2002; Nery et al. 2003). Morphologically, the CGE exists as a fusion of the MGE and LGE beginning at the coronal level of the mid to caudal thalamus. The ventral CGE, like the MGE, expresses Nkx2.1, while the dorsal CGE strongly expresses *Gsh2* and *ER81*, two transcription factors that are required for the proper patterning of the LGE and olfactory bulb (Corbin et al. 2003).

Initial fate mapping experiments of the CGE at E13.5 in the mouse found that the CGE gives rise to deep-layer cortical interneurons, many of which express PV or SST, but not CR (Nery et al. 2002). This lack of CR+ cells may be due to the age of the telencephalic tissue, since nearly all CR+ interneurons undergo their final S-phase of the cell cycle after E14.5 (Xu et al. 2004). Indeed, selective dissection of the dorsal CGE at E14.5 gave rise to many CR+, bipolar cells after plating on a cortical feeder layer (Xu et al. 2004). In addition, in utero isochronic, homotopic transplants of E15.5 dorsal CGE primarily generated CR+ interneurons that exhibited distinct spiking characteristics indicative of that interneuron subgroup (Butt et al. 2005). Finally, explant cultures from GAD65-GFP transgenic mice also suggested that many cells migrating from the CGE to the cortex become vertically-oriented, CR-expressing interneurons (Lopez-Bendito et al. 2004).

Taken together, these experiments suggest that bipolar, vertically oriented CR-expressing interneurons are primarily generated within the Nkx2.1-negative region of the dorsal CGE. The ventral CGE, on the other hand, may generate PV- or SST-expressing interneurons, although the caudal migration of MGE-born progenitors through the CGE en route to the cortex is an equally plausible scenario (Butt et al. 2005; Yozu et al. 2005). A distinct subgroup of CR-expressing interneurons, that display multipolar morphologies and co-express SST (Xu et al. 2006), appear to originate from an Nkx2.1+ progenitor in the dorsal MGE or Nkx2.1+ domain of the ventral CGE (Xu et al. 2008).

2.2.3 Lateral Ganglionic Eminence

Although several studies have indicated that any LGE contribution to cortical interneurons is far smaller than that of the MGE (Wichterle et al. 1999; Anderson et al. 2001; Wichterle et al. 2001), evidence in support of the LGE as a source of interneurons bears mention. Although Nkx2.1 mutants lack a normal MGE domain, the cortex at E18.5 has only a 50% reduction of GABA-expressing cells (Sussel et al. 1999). While this could be attributable to an enhanced generation of CR+ cells from the dorsal CGE, the LGE-like region shows robust migration to the cortex at E15.5 in these mutants (Anderson et al. 2001; Nery et al. 2003). In addition, slice culture experiments where progenitors were labeled with the S-phase marker BrdU indicate that a small number of LGE-derived cells, some of which co-label for GABA, do migrate from the LGE to cortex (Anderson et al. 2001). Finally, explants taken from rat embryos, in which the MGE has been removed, continue to show robust migration from the LGE to the cortex, implying that the observed migration is not due simply to MGE cells migrating through the LGE (Jimenez et al. 2002). One possible explanation for these mixed results is the pleiotropic nature of the LGE, which consists of distinct progenitor domains along the dorsal-ventral axis that give rise to olfactory bulb interneurons and medium spiny striatal projection neurons (Stenman et al. 2003a). In addition, migration from the LGE to cortex has been shown to include oligodendrocytes after E14.5 (Kessaris et al. 2006). In sum, the current data support a minor contribution from the LGE to the cortical interneuron population, which does not seem to include the SST- or PV-expressing subgroups (Xu et al. 2004).

2.2.4 Rostral Migratory Stream

In contrast to cells from the LGE, cells taken from the rostral migratory stream (RMS) at birth can express CR when cultured on a cortical feeder (Xu et al. 2004). The relevance of this finding is difficult to assess because nearly all CR+ interneurons in P25 somatosensory cortex are born before E16.5 (Xu et al. 2004). Two potential scenarios to explain these findings are that cells may leave

the RMS prior to reaching the olfactory bulb and instead migrate into the cortex, or simply that CR+ interneurons of the olfactory bulb exhibit the capacity to differentiate in an in vitro cortical environment. In support of the former model, immunohistochemical labeling for Dlx1, which labels migrating interneuron precursors within the RMS, also appears to label cells migrating from the RMS into the cortex (Anderson et al. 1999). Earlier migration from the rostral neuroepithelium of the lateral ventricle into layer I of the cortex has also been described for cells expressing CR, calbindin (CB), and GABA (Meyer et al. 1998; Zecevic and Rakic 2001; Ang et al. 2003). Taken together, these results suggest the possible involvement of the RMS in the generation of cortical interneurons expressing CR.

2.2.5 Septal Region

Another subpallial region that may contribute interneurons to the cerebral cortex is the septal area. Initial speculation that migrations from the septal region to the cortex may exist was made based on immunohistochemical labeling for Dlx1 (Anderson et al. 1999). More convincing evidence comes from the recent analysis of mouse mutants lacking the homeodomain-containing transcription factor Vax1, which is expressed in a pattern similar to Dlxl and Dlx2 within the subcortical telencephalon (Taglialatela et al. 2004). At birth, Vax1 mutants have a 30-44% reduction in GABA-expressing cortical neurons, with the greatest loss occurring within the rostral-most cortex. While the MGE is reduced in size, the septal region is almost completely absent in these mutants. Experiments conducted using slice cultures show cells migrating from the ventro-lateral septum into layer I of the rostral cortex, and this migration is lost in the Vax1 mutants. These data, therefore, provide evidence for a septal contribution to the cortical interneuron population, although further experimentation is needed to definitively show this migration. These results may help explain the large-scale migration of later-born interneurons from layer I into the cortical plate (Ang et al. 2003; Hevner et al. 2004). Whether this migration represents distinct subtypes of interneurons, remains to be explored.

2.2.6 Cortex

Although several reports have shown that cultures of dorsal telencephalic progenitors have the capacity to generate GABAergic cells (Götz et al. 1995; He et al. 2001; Bellion et al. 2003; Gulacsi and Lillien 2003), very little evidence supports a cortical origin for interneurons in rodents (Xu et al. 2004). One study using mice expressing Cre under the Emx1 and Dbx1 promoters, two homeobox transcription factors exclusively expressed in pallial progenitors, found no colocalization of interneuron

markers with Cre in adult cortical sections (Fogarty et al. 2007). This study indicated that the pallium does not give rise to interneurons in rodents. However, retroviral labeling of slice cultures from the human embryonic forebrain suggest that the majority of GABA+ interneurons in the human cortex originate from cycling progenitors in the cortical subventricular zone (Letinic et al. 2002). Although this intriguing finding has yet to be replicated in nonhuman primates, the observation that Nkx2.1, a gene required for the specification of the MGE-derived interneuron subgroups in mice, is strongly expressed in the cortical proliferative zone in humans but not in rodents (Rakic and Zecevic 2003). Interestingly, based on an apparent increase in the numbers of "neurons with short axons" in Golgistained sections from humans compared to nonprimate species, Cajal proposed that the enhanced cognitive abilities of humans has resulted from increased representation of these cells (DeFelipe and Jones 1988).

2.3 Birthdating of Cortical Interneurons

Through various morphogens that induce cell divisions along the apical surface of the ventricular zone, cortical progenitors are born from radial glia and undergo a series of symmetric and asymmetric cell divisions to give rise to the cellular diversity throughout the cortex (Dehay and Kennedy 2007). Unlike progenitors of the olfactory bulb that continue to proliferate as they migrate from the dorsal LGE through the rostral migratory stream (Altman and Das 1965; Menezes et al. 1995), interneuronal progenitors born in the MGE appear to complete the last S-phase of their cell cycle prior to beginning their migration into cortical and limbic regions (Polleux et al. 2002; Xu et al. 2003; Xu et al. 2005). Generally speaking, birthdating of GABAergic interneuronal progenitors in rodent and ferret cortex reveals a similar "inside-out" pattern to that established by projection neurons of the same layer. Deeper layer interneurons tend to leave the cell cycle prior to those destined for the superficial layers (Miller 1985; Fairén et al. 1986; Peduzzi 1988). However, this scenario does not appear to hold for the vertically oriented, calretinin-expressing population (Rymar and Sadikot 2007). In addition, when fate is characterized by physiological parameters, there appears to be a time of birthdate-fate dependence within a given layer (Miyoshi et al. 2007).

2.4 Specification of Cortical Interneurons

As similar results are found from MGE transplants directly onto cortical feeder cells, or directly into the cortical plate of neonates in vivo, or homotopic transplants into the MGE in utero, transplantation studies suggest that interneuron subgroup fate is specified based on signaling encountered during their developmental origins (Xu et al. 2004; Butt et al. 2005; Xu et al. 2005). Given the inter-

est in using cortical interneuron transplantations to repress medication intractable seizures (Lindvall and Bjorklund 1992), or even as a drug delivery system (Wichterle et al. 1999), these results are highly encouraging in that subcortical to cortical migration does not appear to be required for most aspects of interneuron fate specification.

Both overlapping and distinct gene expression patterns have been identified between the interneuron-generating regions of the LGE/dCGE and the MGE (Corbin et al. 2003). Nkx2.1, a homeodomain-containing transcription factor, is expressed within the proliferative zone of the MGE and in the more ventrally located preoptic region (Kimura et al. 1996). Nkx2.1 is downregulated in cortical interneuronal progenitors prior to their entry into the cerebral cortex, but is maintained in subsets of striatal interneurons (Marin et al. 2000). Nkx2.1 null mice fail to form a normal MGE, although there is a ventral expansion of the LGE-like tissue (Sussel et al. 1999). At E18.5, Nkx2.1 mutants lack SST and NPY expression in the cortex (Anderson et al. 2001). To determine the requirement of Nkx2.1 for specifying other interneuron subgroups in these perinatal lethal mutants, cortices from E18.5 embryos were dissociated and maintained 2-4 weeks in vitro (Xu et al. 2004). Consistent with studies on the interneuron fate potential of progenitors from the MGE, PV, SST, and NPY were present in cultures of wild-type cortex but absent in those from Nkx2.1 nulls. Transplantation of the MGE-like region of Nkx2.1 nulls onto cortical feeder cultures from normal mice, also failed to give rise to the PV-, SST-, or NPY-expressing subgroups, suggesting that Nkx2.1 is required for the initial specification of these cell types. Interestingly, bipolar CR+ interneurons were plentiful in cortical cultures from Nkx2.1 nulls, consistent with their origin from an Nkx2.1 negative domain.

The requirement of Nkx2.1 for the specification of MGE-derived interneuron subgroups provides a focal point in the search for interneuron fate-specifying factors that act upstream, downstream, and in conjunction with this transcription factor. Initial patterning of Nkx2.1 expression in the ventral telencephalon involves the coordinate actions of the signaling molecules Fgf8 and Sonic Hedgehog (Shh) (Lupo et al. 2006; Storm et al. 2006). Six3 may confer competence of the telencephalic tissue to respond to Shh by inducing Nkx2.1 (Kobayashi et al. 2002), and repression of bone morphogenic protein (BMP) signaling is also required for normal patterning of the Nkx2.1 domain (Anderson et al. 2002a).

Although the patterning role of Shh is largely complete by E11.5 (Kohtz et al. 1998; Fuccillo et al. 2004), some targets of Shh signaling, including Nkx2.1, remain dependent on Shh for their maintenance in MGE progenitors well into the age range of cortical interneuronogenesis (in mouse, roughly E12.5-E16.5). Reductions of Shh signaling in MGE progenitors, essentially all of which normally express Nkx2.1, result in both a large reduction in Nkx2.1 protein detectability despite continued progenitor cycling, and a reduction in the ability of these progenitors to generate PV- or SST-expressing interneurons (Xu et al. 2005). Remarkably, both the Nkx2.1 protein levels, and the generation of SST-expressing interneurons is rescued in telencephalic slices of NestinCre:Shh flox/flox mutants by the restoration of Shh signaling (Xu et al. 2005). This result suggests that interneuron specification

remains plastic during the age range of neurogenesis, so that interneuron generation could be altered by a variety of environmental conditions that effect signaling of Shh, FGFs, BMPs in addition to other factors (Yung et al. 2002; Gulacsi and Lillien 2003).

2.4.1 Generation of Interneuron Diversity Within the MGE

While the expression of Nkx2.1 distinguishes the origins of most PV- and SSTexpressing interneuron subgroups from that of the vertically oriented CR+ subgroup, less is known about the differential specification of PV- and SST-expressing subgroups within the Nkx2.1 lineage. One possibility, that would be analogous to the neuronal fate determination in the spinal cord (Jessell 2000), is that MGE-derived interneuron subgroups originate from distinct lineages that are separated on the dorso-ventral axis. In fact, Nkx6.2, a transcription factor that contributes to oligodendrocyte generation in the ventral spinal cord (Vallstedt et al. 2005), is selectively expressed in the dorsal-most region of the MGE (Stenman et al. 2003b), and is downregulated in CNS-specific Shh mutant mice that also have a large reduction of PV- and SST-expressing cortical interneurons (Xu et al. 2005). Another study using fate mapping of transgenic mice expressing Cre under both the Nkx2.1 and Nkx6.2 promoters found that approximately 90% of the CB-, SST-, and PV-expressing interneuronal subgroups were labeled (Fogarty et al. 2007). This study also found that the dorsal MGE gives rise to most of the SST+/CR+ colabeled Martinotti interneurons. In support of these findings, transplantation studies found that PVand SST-expressing interneuron subgroups arise primarily from the ventral and dorsal MGE, respectively (Flames et al. 2007; Wonders et al. 2008). As the expression of Shh signaling effectors Gli1 and Gli2 are enhanced in the dorsal MGE, these results suggest that higher levels of Shh signaling regulate the specification of dorsal MGE cells into SST-expressing subgroups (Wonders et al. 2008). However, as the mRNA expression of Shh would predict a ventral high, dorsal low gradient, and if the above prediction were in fact "true," a mechanism for the enhanced Shh signaling would need to be established.

Like the differential specification of MGE-derived interneuron subgroups, transcriptional regulators that effect interneuron development downstream of Nkx2.1 are beginning to be appreciated. Chief among these genes is Lhx6, a member of the lim-homeodomain family of transcription factors that is strongly expressed in the postmitotic mantle zone of the MGE (Grigoriou et al. 1998). In the spinal cord, lim-homeodomain genes regulate the specification of subgroups of motor neurons (Sharma et al. 1998). Lhx6 expression is undetectable in the telencephalon of Nkx2.1 null mice (Sussel et al. 1999). Lhx6 is expressed in the MGE-derived interneuronal progenitors upon their migration to the cortex (Lavdas et al. 1999; Gong et al. 2003), and its expression is maintained in most PV-expressing and SST-expressing cortical interneurons in adult mice (Fogarty et al. 2007; Liodis et al. 2007). Although there is no difference in the number of GAD67+ cells in the cortex

of Lhx6 null mice, these animals exhibit differences in the local, cortical distribution of GAD67+ cells and are completely devoid of PV and SST expression despite the maintained presence of CR+ interneurons (Liodis et al. 2007). Transfection of MGE cells in slice culture with an RNAi construct targeting Lhx6 resulted in a reduction of interneuron migration into the cortex, but no alteration in GABA expression (Alifragis et al. 2004). Although Lhx6 may be dispensable for the expression of GABA in MGE-derived progenitors, it is sufficient for the rescue of PV and SST expression in transplanted, Nkx2.1–/– cells (Du and Anderson unpublished), suggesting that Lhx6 promotes both cortical migration and later aspects of MGE-derived interneuron specification into distinct neurochemical subgroups.

Although the role for continued Lhx6 expression remains unclear, the postnatal expression of the transcription factor Dlx1 is critical for since Dlx1 mutants show a selective loss of some CR-, NPY-, and SST-expressing interneurons beginning around the fourth postnatal week. The transplantation of GFP-expressing MGE progenitors from Dlx1 mutants into wildtype neonatal cortex further showed that this cell loss is due to a cell autonomous requirement for Dlx1 and is preceded by decreased dendritic length and branching. To date, these findings are, may be, the first to describe a transcription factor mutation that cell autonomously alters postnatal development of cortical interneurons (Cobos et al. 2005).

In addition to the issue of interneuron fate determination, slice culture, transgenic mouse, and transplantation experiments have been examining the regulation of interneuron migration from the MGE into the neocortex. First, Slit (ligand) Robo (receptor) interactions appear to drive the cells away from the proliferative zone (Zhu et al. 1999). Second, a combination of chemorepulsion (semaphorin – neuropilin) (Marin et al. 2001), permissive substrate (membrane-bound neuregulin – Erb4), and chemoattractive (diffusible neuregulin - Erb4) signals guide the interneurons into the cortex (Flames et al. 2004). As interneurons reach the cortex, they tend to parse into three streams that run above and below the cortical plate and in the deep intermediate zone. They then turn from their predominantly tangential orientations to migrate radially into the cortical plate (Metin et al. 2006). It remains to be determined whether a cellular substrate such as radial glia, or the axonal or dendritic processes of pyramidal neurons, are mediating this migration. Recent evidence suggests that chemokine signaling via Cxcl12/Cxcr4 signaling initially prevents the interneuron invasion into the cortical plate (Lopez-Bendito et al. in press; Li et al. in press). However, it remains unclear whether interneuron subtypes are following subtype-specific cues to determine the precise location of their terminal differentiation. Alternatively, the migrating interneurons may use maturational/timing-dependent cues that allow competency to enter the cortical plate, then like-subtype chemorepulsion to distribute themselves evenly across a given cortical region and layer.

So where do we stand in the process of understanding the molecular regulation of cortical interneuron fate determination? The temporal and spatial origins of cortical interneurons and their migratory pathways are fairly well described, particularly in rodents. The differential spatial origins within the subpallium correlate with differences in the expression of a few fate-altering proteins that result in the specification of neurochemically and physiologically distinct interneuron subgroups. Moving forward, these findings are being extended by methods that permit the systematic study of interneuron fate determination. For example, neurochemical aspects of interneuron subgroup fate determination are maintained in vitro when interneuron progenitors are plated over a feeder layer of cortical cells. This technique provides a relatively high throughput way to study the molecular regulation of subgroup fate determination and cortical influences on interneuron differentiation (Xu et al. 2004; Xu et al. 2005). Of particular interest is whether aspects of interneuron subgroup physiology and connectivity can be meaningfully studied using the interneuron progenitor-cortical culture method.

More importantly, techniques have been developed to study interneuron fate determination in vivo. The most elegant method presently available is that of transplanting genetically labeled interneuron progenitors homotopically by in utero transplantation (Wichterle et al. 2001; Butt et al. 2005). This methodology can also be performed with genetically altered progenitors which, in addition to interneuron transplants directly into the cortical plate (Cobos et al. 2005; Wonders et al. 2008), will provide critical data on the cell autonomous regulation of interneuron development. In utero electroporation of marker genes (Borrell et al. 2005), a technique likely to be extended to gain and loss of function studies, provides yet another tool for the in vivo examination of embryonic manipulations on fate determination. Meanwhile, genetic differences within mature cortical interneuron populations are beginning to be elucidated (Sugino et al. 2006), enhancing the ability to perform specific labeling of subgroups of cortical interneurons in the adult (Oliva et al. 2000; Meyer et al. 2002; Ma et al. 2006). In addition, with the advent of directed differentiation of embryonic stem cells toward neural progenitors, it will be possible to study the transcriptional alterations and epigenetic modifications that occur as a cell goes from a pluripotent state to a specific interneuron with distinct neurochemistry, morphology, and electrophysiological properties. In sum, the field is poised to bridge the gap between the molecular control of interneuron fate determination and the molecular basis of interneuron connectivity and physiology.

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Chapter 3 Role of Spontaneous Activity in the Maturation of GABAergic Synapses in Embryonic Spinal Circuits

Carlos E. Gonzalez-Islas and Peter Wenner

It has become increasingly evident that neural activity is indispensable for synapse and network formation in many parts of the central nervous system. During development, GABAergic neurotransmission contributes greatly to the embryonic neural hyper-excitability due to its depolarizing nature at this stage. However, the precise way in which embryonic neural activity shapes GABAergic transmission is just beginning to be unveiled.

Gamma-aminobutyric acid (GABA) is the main inhibitory transmitter in the adult brain; however in early development, it is actually depolarizing and excitatory. Therefore, glutamatergic and GABAergic ionotropic transmission excites postsynaptic cells, making these recurrently connected networks very excitable. This transient developmental condition leads to an almost epileptic-like activity known as spontaneous network activity (SNA) that is experienced in most, if not all, developing circuits. In this chapter, we will discuss the depolarizing nature of GABA, how it is critical to the generation of SNA in the spinal cord, and how GABA and SNA interact and influence each other. Finally, we will discuss how SNA may drive the maturation of GABAergic synaptic strength through a process known as homeostatic synaptic plasticity. This process is also important in the maturation of glutamatergic synaptic strength in a manner that coordinates the development of excitatory and soon to be inhibitory systems. This process is likely to be important in establishing a balance between the two systems that will be critical for the appropriate behavior of mature networks.

Fast GABAergic action in the mature CNS is hyperpolarizing and inhibitory in most cases; it can be considered as a necessary break for networks driven primarily by the excitatory action of glutamate. However, the excitatory actions of GABAergic transmission during the establishment and early maturation of the neuronal circuits are critical for the many roles of this transmitter in development (Singer and Berger 2000; Ben-Ari et al. 2007; Akerman and Cline 2007). It is now appreciated that

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GABA signaling, likely due to its early depolarizing nature, is involved in directing multiple developmental processes, including cell proliferation, migration, and differentiation; establishment of synaptic connections and their refinement; and possibly in the depolarizing to hyperpolarizing conversion of the GABA_A response itself (Ben-Ari et al. 2007; Owens and Kriegstein 2002; Ge et al. 2006; Akerman and Cline 2007; Kandler and Gillespie 2005).

Many of the specialized tasks, executed by GABAergic transmission in the course of embryonic development, are due to its ability to depolarize the membrane potential in embryonic cells. These fast GABAergic inward currents are mediated by the activation of GABA_A receptors (GABA_A-Rs). GABA released from presynaptic vesicles activates GABA₄-Rs, thereby opening conductances to chloride (and to a lesser extent bicarbonate ions $- \text{HCO}_3^{-}$). In adults, intracellular chloride concentration is low; thus, GABAergic transmission allows chloride to rush into the cell, thereby hyperpolarizing it. In early development, however, intracellular chloride concentration is higher than in the adult, making the reversal potential for chloride more depolarized than the resting membrane potential, resulting in chloride efflux and depolarization (Payne et al. 2003; Ben-Ari et al. 2007). Intracellular chloride accumulations in the young cells are thought to occur due to the stronger expression of an Na⁺-K⁺-Cl⁻ cotransporter (NKCC1) in early development. NKCC1 uses the energy stored in concentration gradients across the cell membrane to transport the various ions. Later in development, intracellular chloride concentrations drop as a result of the reduced function of NKCC1 and the concurrent increase in function of the K⁺-Cl⁻ cotransporter (KCC2), the main chloride carrier in mature cells. KCC2, in contrast to NKCC1, extrudes chloride using energy from the potassium ion concentration gradient, and thereby reduces intracellular chloride concentration. Owing to the transient capacity of chloride currents to depolarize neurons during a limited developmental window, GABA is in a strong position to regulate activitydependent processes during the crucial period when neural differentiation and circuit formation are occurring in the CNS.

As neuronal networks are recurrently connected, and because glutamate and GABA are both largely excitatory during early development, when one set of neurons becomes active this tends to spread and recruit the rest of the network. These developing circuits are therefore highly excitable and produce spontaneous bursts of network activity present in most if not all developing networks, including the spinal cord, hippocampus, and retina (O'Donovan 1999; Feller 1999; Ben-Ari et al. 2007). In the embryonic spinal cord, this spontaneous network activity (SNA) acts to recruit the majority of spinal neurons into bouts or episodes of activity (Fig. 3.1). Because motoneurons innervating limb muscles are also recruited during the episodes, SNA drives spontaneous limb movements such as those observed in the human embryo and fetus. Embryonic movements can be found in virtually all vertebrate species, demonstrating the importance of such activity. SNA and the movements generated by it are important for the development of limb muscle and joints (Hall and Herring 1990; Jarvis et al. 1996; Persson 1983; Roufa and Martonosi 1981) and are involved in motoneuron axon guidance (Hanson and Landmesser 2004; Hanson et al. 2008). Although early studies blocking SNA did not show clear effects on


Fig. 3.1 Schematic of muscle nerve recordings from an isolated chick embryo spinal cord trace showing regularly occurring episodes of SNA separated by inter-episode intervals (IEI)

circuit behavior, we have recently shown that this activity is important in setting the strength of the synaptic connections for both excitatory and inhibitory inputs in the developing spinal cord (Gonzalez-Islas and Wenner 2006).

Embryonic limb movements in different species are restricted to a particular interval during development and are organized in short episodes of motility followed by periods of relative calm (Hamburger 1977; Bekoff et al. 1975). Similar activity patterns can be observed in isolated spinal cord preparations, in which many spinal neurons become active during episodes that last approximately 60 s (Fig. 3.1, O'Donovan et al. 1998). These episodes are followed by longer periods of quiescence called inter-episode intervals (IEI, minutes), in which the spinal neurons are relatively silent. Episodes of SNA can first be detected in the chick embryo at about embryonic day 4 or 5 (E4-5) as very simple spontaneous episodic events, consisting of single recurring depolarizing events, evolving progressively into more recurrent multicycle episodes as the network matures (Milner and Landmesser 1999; O'Donovan 1987). In general, spontaneous neuronal activity is a characteristic feature of developing neuronal systems; however, the type of activity manifested depends on the level of differentiation of the individual neurons and on the degree to which these neurons constitute themselves into networks.

For example, before the establishment of chemical synaptic connections, spontaneous spiking can be seen in isolated neurons, and coordinated calcium transients can be recorded in groups of electrically coupled neurons (Yuste et al. 1995; Gu and Spitzer 1997). Later in development, as soon as chemical synapses are established, a different kind of activity appears, now driven by the network (SNA), which mainly depends on chemical synaptic transmission, but not on detailed or specific connectivity, nor on pacemaker cells (O'Donovan 1999).

Immediately following an episode of SNA, the network is depressed, but it slowly recovers in the IEI (Fig. 3.2). Several observations by the O'Donovan lab are consistent with this idea. If reflexes are stimulated early in the IEI, they are weak, but then they strengthen later in the interval, once the network recovers from the network-induced depression (Fedirchuk et al. 1999; Chub and O'Donovan 2001; Gonzalez-Islas and Wenner 2006) (Fig. 3.2a). Correspondingly, these stimulations are more capable of evoking episodes later in the interval. Similarly, it is known that quantal amplitude is depressed immediately after an episode and progressively recovers through the course of the IEI (Ritter et al. 1999; Chub and O'Donovan 2001; Gonzalez-Islas and Wenner 2006). Furthermore, at the beginning of the IEI, spinal neurons are hyperpolarized by ~10 mV and progressively repolarize following a depolarizing ramp of 0.5–1 mV/min (Fig. 3.2b, Chub and O'Donovan 2001). All of these observations can be explained as the progressive increase in the



Fig. 3.2 Modulation of excitability in the inter-episode interval. (**a**) Response from ventral root recording (motoneuron population) following stimulation of the ventrolateral funiculus every 30 s. Strong responses are observed just before episodes (a, c), but depressed responses occur right after an episode (b) (Fedirchuk et al. 1999). (**b**) Whole cell recording from a spinal neuron showing a depolarizing ramp potential developing in the IEI and leading to spikes at the end of the IEI (Chub and O'Donovan 2001). (**c**) High-pass filtered ventral root (VR) recording showing the progressive development of motoneuron spiking activity in the IEI (Wenner and O'Donovan 2001)

functional connectivity and in the excitability of the spinal network. After an episode in which spinal neurons are relatively hyperpolarized and synaptic strength is at its weakest, there is virtually no spiking activity. As the IEI progresses and neurons become more depolarized, some spinal neurons begin to reach threshold and fire action potentials (Fig. 3.2c), (Wenner and O'Donovan 2001). As neurons become more depolarized and synaptic strength increases, the motoneuron discharge becomes more vigorous, and a specific class of interneurons that receive direct input from the recurrent collaterals of motoneurons are recruited, which then trigger full-blown episodes (Wenner and O'Donovan 2001).

What produces the modulation of excitability in the IEI? GABAergic synaptic transmission occupies a central role in this modulation of network excitability. The source of the ramp depolarization described above involves a GABAergic current that strengthens progressively in the IEI (Chub and O'Donovan 1998). Further, strong modulations of both GABAergic-evoked potentials and GABAergic miniature postsynaptic currents (mPSCs) are observed in the IEI (Tabak et al. 2001; Gonzalez-Islas and Wenner 2006). The modulation of GABAergic currents therefore significantly contributes to the progressive depolarization and increased synaptic strength that occurs in the intervals between episodes of SNA. The modulation of GABAergic currents about, at least in part, as a result of the activity of the developmentally regulated chloride transporter NKCC1 (Fig. 3.3). Intracellular chloride undergoes significant changes during SNA and the IEI. During SNA, GABA, receptors are



Fig. 3.3 Episode and NKCC1 cotransporter modulation of intracellular chloride concentration. Trace of motoneuron whole cell voltage clamp recording showing mPSCs getting larger in the IEI with schematics of chloride efflux during episodes and chloride re-accumulation by NKCC1 during the IEIs

activated, opening significant chloride conductances. These episodes can last over a minute, and allow significant efflux of chloride ions, so much so that intracellular chloride concentration is reduced during the episode by about 15 mM (Chub and O'Donovan 2001). This translates to a less depolarized chloride equilibrium potential and therefore to a reduction in the driving force for chloride efflux. This then accounts for the reduction in GABAergic currents observed right after an episode. In fact, the reduction in driving force that occurs during the episode may contribute to the episode's termination. Following an episode of SNA, intracellular chloride concentration starts to rise because of an influx of chloride, driven by the activity of NKCC1 (Chub et al. 2006; Marchetti et al. 2005). As intracellular chloride concentration rises, the driving force for this ion also increases, resulting in an increase in GABAergic current strength. As these currents get stronger, enough motoneurons reach threshold to recruit GABAergic R-interneurons and generate an episode through a stochastic process (Tabak et al. 2000; Marchetti et al. 2005). The importance of GABA, transmission for SNA can be observed by blocking GABAergic currents at E10; the frequency of the episodes becomes slower and highly variable (Chub and O'Donovan 1998). Alternatively, blockade of glutamatergic and cholinergic antagonists at this stage initially slows SNA frequency, but then SNA recovers to near the predrug level of activity and shows little variability. We assert that GABAergic circuits sustain a consistent periodicity because the progressive increase in GABAergic currents strengthens GABAergic synapses and depolarizes the spinal neurons, which progressively increases the likelihood of triggering the next episode.

As described above, GABAergic currents influence the periodicity of the episodes of SNA through changes in intracellular chloride. Turned around, one could say that SNA regulates GABAergic synaptic strength, weakening it after an episode and allowing it to recover in the minutes between episodes. Is it possible that SNA regulates GABAergic synaptic strength over a longer period of time; in other words, could the network assess SNA levels over days and adjust GABAergic synaptic strength to compensate for any perturbations from a set level of activity? It has long been known that activity is an important factor in the process of formation and modification of neuronal circuits (Bliss and Lømo 1973; see Katz and Shatz 1996; Malenka and Nicoll 1999, for review). Currently, a number of studies have focused on how such activity modifies synaptic strength and what the participating mechanisms are. The role of activity as a building block of the CNS has been a central focus of neuroscience. Does activity have a permissive role, refining structures built by a predetermined plan, or does activity do more? In other words, how much experience is important in the formation of the nervous system? The first breakthrough in this debate came from the discovery of long-lasting synaptic modifications, such as long-term potentiation or long-term depression, that are usually synapse-specific and depend on correlation between pre- and postsynaptic firing (Abbott and Nelson, 2000). Correlation-based [Hebbian] rules for the use-dependent modification of synaptic strength have been very enlightening; they constitute the best model of how information is stored in the nervous system (Stent 1973; Hawkins et al. 1993; Malenka and Nicoll, 1993; Linden and Connor 1995) and underlie

the refinement of neural connections during development (Shatz 1990; Miller 1994; Cline 1991; Yao and Dan 2005). The problem with this kind of rule lies in its positive feedback nature, as effective synapses are strengthened and less effective ones weakened, the former should continually become more effective and the latter tend to disappear. This is likely to destabilize postsynaptic firing rates since it increases them excessively.

One way to overcome this problem would be the presence of a mechanism that ensures that the cell or network remains within a range of activity that is physiologically appropriate, homeostatically maintaining this activity level. The process of maintaining activity within a certain range has been termed "homeostatic plasticity" and the underlying mechanisms include compensatory regulation of neuronal excitability (LeMasson et al. 1993; Turrigiano et al. 1994; Marder and Goaillard 2006), and synaptic strength (Burrone and Murthy 2003; Rich and Wenner 2006; Turrigiano 2007; Davis 2006). Many studies have focused on compensatory changes in synaptic strength. In cultured neuronal networks, when activity levels were either reduced or increased for two days, compensatory changes were observed in the strength of both AMPAergic and GABAergic synapses (Turrigiano and Nelson 2004). Consequently, when activity levels were reduced, AMPAergic synaptic strength increased and GABAergic synaptic strength decreased (Kilman et al. 2002; O'Brien et al. 1998; Turrigiano et al. 1998). On the contrary, when activity levels were increased, AMPA synaptic strength decreased (Lissin et al 1998; O'Brien et al. 1998; Turrigiano et al. 1998). In each case, the change in synaptic strength acted in a direction that tended to restore the original activity levels. In this way, the network activity could be regulating itself homeostatically through changes in the synaptic strength of the inputs onto the cells in the network. Further, in many cases, all of the excitatory inputs onto a neuron appeared to be increased after activity reduction. Such enhancement of synaptic strength was observed across the entire distribution of mPSCs and was therefore designated as synaptic scaling (Turrigiano et al. 1998).

During development, neurons grow in size, synaptic connections are added or removed, and synaptic strengths change. Any of these transformations could perturb network activity levels in the circuits in which the cells reside. Therefore, we hypothesized that in the formation of neural circuits, homeostatic mechanisms will be at play, and they will maintain the existing activity of these developing networks, i.e., spontaneous network activity. We consequently tested the possibility that embryonic SNA regulated synaptic strength in a homeostatic manner (Gonzalez-Islas and Wenner 2006). We reduced SNA by injecting the sodium channel blocker lidocaine in ovo (chick embryo). After chronically reducing SNA for two days (E8-10), we found that the synaptic strength of AMPAergic inputs was increased in a compensatory manner; however, no changes in passive membrane properties were observed. AMPAergic mPSC amplitude and frequency increased following the two-day reduction of SNA. In addition, GABAergic mPSC amplitude increased, and synaptic scaling was observed (Fig. 3.4). Although opposite to the finding in culture, in which IPSCs are hyperpolarizing, in the developing system, this is a compensatory change because GABA is depolarizing and excitatory in embryonic



Fig. 3.4 GABAergic mPSC amplitude increase following activity reductions *in ovo*. (a) Average GABA_A mPSC in control and lidocaine-treated embryos. The mPSC kinetics are unchanged, but the amplitude increases in treated embryos. (b) Bar chart shows the increase in GABAergic mPSC amplitude. (c) Cumulative distribution of GABAergic mPSC amplitudes in control and treated embryos can be scaled to match each other using a multiplicative function. Adapted from Gonzalez-Islas and Wenner 2006

spinal neurons. Therefore, if the function of the process is to recover activity levels through changes in synaptic strength, then the network should strengthen both types of excitatory input (GABA and glutamate). These findings suggest that a reduction in network activity can regulate the synaptic strength of mPSCs in a compensatory direction.

What are the mechanisms that underlie the increases in AMPA and GABA quantal amplitude following activity perturbations? In cultured cells, changes have been described for both postsynaptic receptors and transmitter filling of presynaptic vesicles (Rich and Wenner 2007; Turrigiano 2007). AMPA receptors increase and GABA receptors decrease in number, and correspondingly more glutamate per vesicle has been reported. It is not clear whether these mechanisms will be at play in the lidocaine-treated chick embryos.

Interestingly, we have evidence that supports a completely novel mechanism underlying the increase in GABA quantal amplitude. As mentioned above, it is known that in embryonic spinal neurons, the reversal potential for chloride is modulated by an episode of SNA and over minutes in the interval between episodes (Chub and O'Donovan 2001). Is it possible that the transporters that set intracellular chloride concentration are more numerous or more active in the lidocaine-treated preparations, leading to a higher intracellular chloride concentration and consequently increasing the driving force for chloride?

We demonstrated that GABA mPSCs are depressed following an episode of SNA and progressively recover during the following IEI. In the lidocaine-treated preparations, GABA-mPSC amplitude was similarly depressed by the episode, but completely recovered in the shorter inter-episode intervals that are characteristic of the lidocaine-treated embryos. This led us to test the possibility that the modulation was influenced because the effectiveness of the chloride cotransporters had increased in the activity-reduced embryos. Because the modulation of GABAergic currents is likely determined by chloride cotransporters, we are now focusing on the possibility that an increase in chloride accumulation could underlie the increased rate of recovery of GABA mPSC amplitude in lidocaine preparations. We have therefore tested whether the reversal potential for GABA has become more depolarizing in the lidocaine embryos, increasing the driving force for these currents, and therefore GABAergic quantal amplitude.

Using perforated patch recordings, we have measured the GABA reversal potential by puffing on a GABA_A agonist and blocking voltage-gated channels. In these experiments, we have indeed found that E_{GABA} is shifted from ~ -40 mV in control motoneurons to between -10 and -20 mV in motoneurons from lidocaine-treated embryos (Fig. 3.5). Thus, at least part of the increase in GABA quantal amplitude can be explained by the increase in driving force originating from the shift in E_{Gaba} . No such change has been described in activity-blocked, cultured neurons, although whole cell recordings were used and this may have obscured a contribution from changes in GABA reversal potential (Kilman et al. 2002). We have also begun to identify the cotransporters that are likely to mediate the chloride accumulation. We have made extracellular recordings of muscle nerves while puffing the GABA, agonist and measuring the potential generated. As expected, the response is reduced in both control and lidocaine-treated embryos when the NKCC1 cotransporter is blocked; however, it is only reduced to about 50%. This suggests that there are other means of chloride accumulation in addition to NKCC1. The findings suggest that one of the ways in which the homeostatic change in GABA quantal amplitude is achieved is through a change in the driving force for chloride. We have also identified that this change is likely mediated through changes in the function of chloride accumulators.

GABA in early embryos clearly has a profound influence on the development of these networks. We have shown that GABA transmission is modulated by SNA, and SNA is modulated by GABA transmission, and that GABAergic quantal amplitude increases in a homeostatic direction following reductions in SNA. It also appears that GABA signaling through its GABA_A receptor is likely important in sensing



Fig. 3.5 E_{GABA} is shifted to a more depolarized level in activity-reduced embryos. Schematic shows the recording configuration for establishing the GABAergic reversal potential. Perforated patch recordings were made from motoneurons following GABA_A agonist (isoguvacine) puffs, in the presence of voltage-gated channel blockers. Voltage ramps were made before and during GABA_A agonist puffs to construct I–V plots in control and lidocaine-treated embryos. Approximate I-V plot is shown for control and treated embryos.

the activity levels that trigger homeostatic increases in quantal amplitude (Wilhelm and Wenner 2008). We have recently blocked $GABA_A$ transmission *in ovo* from E8-10, while leaving SNA largely intact. When this is done even, larger changes in quantal amplitude are observed, suggesting the importance of GABAergic transmission in the homeostatic process. The findings suggest that the compensatory changes are triggered when the network senses lowered GABA levels, as a proxy for activity.

These results suggest that spontaneous network activity can regulate the synaptic strength of motoneuron inputs during development, and that GABA plays a fundamentally important role in this process. Chronic reduction of activity or GABA signaling produces compensatory increases in both glutamatergic and depolarizing GABAergic mPSCs. Therefore, SNA appears to regulate the strength of network connections in a manner that could maintain levels of activity appropriate for proper limb development. By coordinately adjusting the strength of GABAergic and glutamatergic synaptic inputs as they face similar challenges (e.g., changes in cell size), this process could drive a balanced maturation of excitatory and inhibitory systems. Because of their shared depolarizing nature, certain features of excitatory and inhibitory synapses could be regulated in a mechanistically similar way, producing an initially coordinated development of the two systems. In this way, spontaneous network activity is likely to be important for the maturation of synaptic strength. SNA is particularly well suited to drive the maturation of synaptic inputs, because the great majority of spinal neurons, and their synapses, are recruited during episodes of SNA and this could allow the cells to measure the efficacy of their inputs. Future studies increasing SNA will be necessary to more completely determine the homeostatic nature of this synaptic regulation. Also, the spiking activity levels in spinal interneurons appear to be lower than that of motoneurons during episodes of SNA and the IEI (Chub and O'Donovan 2001; Ritter et al. 1999; Wenner and O'Donovan 2001). If synaptic strength is regulated in a similar way for interneurons, then we might expect compensatory responses to be triggered at different activity levels for different neurons. Because spontaneous network activity appears to occur in virtually every developing circuit, it is likely that this activity is important for synaptic maturation throughout the nervous system.

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Chapter 4 Regulation of Inhibitory Synapse Function in the Developing Auditory CNS

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The regulation of inhibitory synaptic strength begins during the period of synaptogenesis when the specificity of inhibitory and excitatory terminals becomes established. The mechanisms that underlie this process are just beginning to be understood. At the molecular level, the neuroligin family of cell adhesion molecules may selectively increase the formation of new inhibitory synapses (Chih et al. 2005; Levinson et al. 2005). However, experimental evidence from the auditory system suggests that establishing a balance between excitation and inhibition also depends on the selective elimination of inhibitory connections (Sanes and Siverls 1991; Sanes 1993; Gabriele et al. 2000a; Kapfer et al. 2002; Kim and Kandler 2003; Werthat et al. 2008; Franklin et al. 2008; Kandler et al. 2009) while similar pruning of excitatory terminals must also coexist.

The stabilization or elimination of a synapse can be influenced by neurotransmission itself, often referred to as *activity-dependent plasticity*. Although the activity-dependent modification of excitatory synapses has been the focus of intense study for many decades (see Sanes et al. 2006), there is a growing recognition that inhibitory synapses employ similar mechanisms. In fact, the strongest evidence for this view comes from experiments performed on the central auditory system (Sanes and Takács 1993; Gabriele et al. 2000b; Kapfer et al. 2002; Kim and Kandler 2003; Werthat et al. 2008; Franklin et al. 2008). Furthermore, the strength of inhibitory synapses is remarkably dynamic during development, even after the period when developing connections are eliminated. In this chapter, we explore how inhibitory synaptic gain is adjusted in the auditory CNS, especially during the period of postnatal maturation.

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4.1 Spontaneous and Sound-Evoked Activity During Development

Many experiments demonstrating an influence of activity on inhibitory gain have been based on in vivo manipulations of the developing cochlea, often before the animal would hear airborne sound. Therefore, it is crucial to know the characteristics of neural activity in the developing auditory system at this time point, and whether manipulations of this sort can alter the normal amount or the pattern of synaptic transmission and action potentials. In this section, we review what is known about neural activity in the developing auditory CNS. However, there is an important caveat: there is not a single in vivo study that has measured spontaneous *inhibitory* synaptic activity during development, either in control animals or following a manipulation. This is important for studies that explored the effect of decreasing synaptic inhibition during development (Sanes et al. 1992; Sanes and Chokshi 1992; Moore 1992; Aponte et al. 1996; Kotak and Sanes 1996). Therefore, the precise functional impact of manipulations that "decrease" activity are yet to be determined.

Spontaneous action potentials have been recorded in central auditory regions before the onset of hearing, including in the gerbil cochlear nucleus and inferior colliculus (Woolf and Ryan 1985; Kotak and Sanes 1995). Much of this spontaneous activity arises in the periphery (Beutner and Moser 2001; Brandt et al. 2003; Tritsch et al. 2007; Jones et al. 2007), and it has been reported that cochlea removal or the blockade of action potentials with tetrodotoxin (TTX) leads to a complete cessation of spontaneous bursting activity in the embryonic chick cochlear nucleus (Lippe 1994). There is evidence for spontaneous activity in the auditory cortex that is independent of the cochlea, however. Oscillatory discharge has been observed in isolated thalamorecipient auditory cortex of gerbils during the first postnatal week (Kotak et al. 2007). Furthermore, calcium waves in isolated cortex are observed to sweep from caudal to rostral at rates of up to five waves per min, similar to those reported in other developing cortices (Garaschuk et al. 2000; Adelsberger et al. 2005). Importantly, such oscillations and calcium waves involve inhibitory transmission: focal delivery of GABA dampens bursting activity by hyperpolarizing the membrane potential as early as P3, while a GABA, receptor antagonist disrupts the synchronized cortical rhythms, leading to tonic discharge (Kotak et al. 2007).

As the auditory system matures, the effective sound level to elicit a response declines. Thus, high thresholds will initially limit the amount of sound-evoked activity, due largely to an immature auditory periphery (for review, see Fitzgerald and Sanes 2001). In gerbils, airborne sound can first elicit a response from the cochlea at about postnatal (P) day 12, and thresholds gradually decline to adult values by P30 (Woolf and Ryan 1984; McFadden et al. 1996). A similar developmental trajectory has been described for other rodent species (Romand 1992).

A second constraint on sound-driven neural activity is that dynamic range and maximum output are limited, both at the cochlea and within the CNS. In adult animals, central auditory neurons typically modulate their discharge rate over a 20–50 dB range of intensities, whereas animals perceive increments over approximately a 100 dB range. However, the dynamic range is quite limited at hearing onset. In gerbils, the

cochlea encodes less than half of the adult sound level range at P12, and this input-output function has not fully matured at P30 (Woolf and Ryan 1984). Central auditory neurons appear to reflect this limitation in that maximum discharge rates display the same prolonged time course to reach adult values (Woolf and Ryan 1985; Sanes and Rubel 1988; Thornton et al. 1999).

The first studies to demonstrate a strong causal relationship between environmental stimulation and the development of connections were performed in the cat visual system. In these studies, decreasing visual stimulation during development led to a dramatic loss in the ability of the eyes to activate cortical neurons (Wiesel and Hubel 1965). More recently, spontaneous retinal activity has been shown to influence the refinement of retinal ganglion cell arbors within the superior colliculus during the first week after birth (Chandrasekaran et al. 2005). Experimental manipulations that injure or interfere with the cochlea generally reduce spontaneous and sound-driven electrical activity in the central auditory system (Bock and Webster 1974; Shepherd et al. 1999; Koerber et al. 1966; Tucci et al. 2001; Tucci et al. 1999; Lee et al. 2001; Cook et al. 2002), and thus can be used to study the effect of decreasing activity on the development of inhibitory synapse function.

4.2 Perturbation of Auditory System Activity Alters Inhibition

In the auditory cortex (ACx), inhibitory connections are outweighed by their excitatory counterparts by about 4:1, yet small deficiencies in inhibition can profoundly impact network properties (Chagnac-Amitai and Connors 1989; for review, see Fritschy and Brünig 2003). In the ACx activation of GABAergic circuits contributes to many response properties, including onset latencies, excitatory receptive fields, and motion processing (Müller and Scheich 1988; Horikawa et al. 1996; Chen and Jen 2000; Foeller et al. 2001; Firzlaff and Schuller 2001; Wang et al. 2002b). In the inferior colliculus, in vivo blockade of inhibitory synapses demonstrates that they contribute to a broad range of auditory coding properties (for reviews, see Pollak et al. 2002; Pollak et al. 2003).

Hearing impairments alter the coding properties within the central auditory system, and these changes may be explained, in part, by the alterations of inhibitory synaptic function described in this chapter. In particular, in vivo studies of deafened animals and of age-related hearing loss have suggested that adjustments of the strength of inhibitory afferents occur. For example, when animals are unilaterally deafened as neonates, acoustically-evoked activity in the ipsilateral inferior colliculus is increased in adults (Kitzes and Semple 1985; Szczepaniak and Moller 1995). Similar effects are observed following acute unilateral ablation in adult animals (McAlpine et al. 1997). Weakened sideband inhibition following noise and drug-induced hearing loss can also contribute to enhanced IC neuron discharge and expansion of frequency tuning curves (Wang et al. 2002a).

Because the effects of cochlear damage emerge rapidly, it has been suggested that excitatory inputs are "unmasked" by decreasing inhibitory drive from the deafened ear (Calford et al. 1993; Kimura and Eggermont 1999; Salvi et al. 2000; Norena et al. 2003).

For example, when a small section of the cochlea is damaged, the frequency tuning of cortical neurons expands due to the loss of surround inhibition (Rajan 1998). However, inhibitory inputs that are driven by the same frequencies as the excitatory inputs do not appear to decrease in strength (Rajan 2001). Thus, in vivo experiments suggest that inhibitory synaptic strength is altered after cochlear damage, but they cannot assess inhibitory synapses selectively. Many factors must be considered, including alterations to excitatory synapses and membrane properties within the many brain stem auditory nuclei. Nonetheless, direct assays of inhibitory markers or function can establish reduced or enhanced synaptic inhibition. As discussed below, there is now direct cellular and molecular evidence in support of this idea.

From a chronological perspective, the first indication that inhibitory synaptic properties were use-dependent came from studies on the CNS following hearing loss that is commonly observed during aging (Caspary et al. 2008). This work demonstrated a profound alteration of GABAergic properties in the inferior colliculus (Banay-Schwartz et al. 1989; Caspary et al. 1990). The research findings described in the following section establish that inhibitory gain is adjusted simultaneously at each level of the auditory CNS, although the first nuclei in the pathway tend to display non-homeostatic alterations. The cellular mechanisms by which inhibitory synaptic strength is set are quite diverse, and include both pre- and postsynaptic sites. In most cases, excitatory synaptic gain and membrane excitability are adjusted concurrently. In the following sections, we consider the evidence demonstrating how inhibitory synapses from ventral brainstem and midbrain to cortex are altered by experience.

4.3 Developmental Regulation of Inhibitory Synapses in the Lateral Superior Olive

We initially studied a group of inhibitory neurons that participate in a simple brain stem circuit that computes interaural level differences (ILD), a sound cue that is used to locate a sound along the horizontal axis. Lateral superior olivary (LSO) neurons each respond selectively to particular values of ILD by integrating excitatory inputs driven by the ipsilateral ear with inhibitory inputs driven by the contralateral ear (for review, see Tollin 2003). As shown in Fig. 4.1a, the inhibitory projection

Use-dependent long term depression of inhibitory synapses in the LSO was observed following low frequency stimulation (LFS) of the MNTB. MNTB-evoked maximum IPSPs were recorded in the absence and presence of the GABA_B receptor antagonist, SCH-50911. In control neurons, synaptic depression was robust (43%) at 50–60 min following LFS (*filled circles*). Age-matched neurons treated with SCH-50911 (*open circles*) displayed an insignificant change in IPSP amplitude following LFS (mean \pm SEM). (c) Following functional deafferentation of the MNTB by contralateral cochlear ablation (SNHL), evoked IPSPs were smaller (top traces and bar graph. In contrast, the unmanipulated ipsilateral excitatory pathway became stronger. Evoked EPSPs were longer in duration in SNHL neurons, and this was attributable to up-regulation of NMDA receptor function, as assessed with the NMDA receptor antagonist, AP-5 (bottom traces and bar graph). *N* values in bars



Fig. 4.1 Inhibitory synaptic plasticity in the developing LSO. (**a**) Schematic shows the position of the brain slice (*dashed box*) and location of LSO (*black circle*). The brain slice (*right panel*) contains the LSO, and the excitatory projection from the ipsilateral cochlear nucleus (*left stimulating electrode*) and the inhibitory projection from the MNTB (*right stimulating electrode*). (**b**)

originates from the medial nucleus of the trapezoid body (MNTB), and the excitatory projection from the cochlear nucleus (CN).

Projections from both the excitatory CN and the inhibitory MNTB form tonotopic maps in the LSO. As with other areas of the nervous system, these projections result from accurate outgrowth and innervation mechanisms, but there is also evidence that these projections undergo postnatal refinement through synapse elimination. In vivo recordings indicate that there is a significant improvement in the matching of excitatory and inhibitory sound frequencies between P13-14, when gerbils first respond to airborne sound, and adulthood (Sanes and Rubel 1988). Functional estimates of the number of excitatory and inhibitory terminals per LSO neuron suggest that convergence declines during development (Sanes 1993). Furthermore, single MNTB terminal arborizations in the LSO become physically restricted during development. During an early period of refinement, prior to the onset of hearing, the MNTB projection to the LSO undergoes a dramatic reduction in area (Kim and Kandler 2003; Kandler et al. 2009). During a subsequent period, after the onset of hearing, individual arbors are reduced by about 30%. The refinement of inhibitory MNTB arbors within LSO depends, in part, on their activity. When the contralateral cochlea is ablated at P7, single MNTB terminal arbors that were deafferented by the ablation fail to attain the normal level of anatomical specificity (Sanes and Takács 1993). A complementary phenomenon has also been described in a second target nucleus of the MNTB, the medial superior olivary nucleus (MSO). Terminals from the MNTB are eliminated from MSO dendrites during early development, and this process is prevented by unilateral cochlear ablation and diminished by rearing gerbils in white noise (Kapfer et al. 2002; Werthat et al. 2008). Finally, the projection from a GABAergic nucleus to the inferior colliculus also displays an anatomical refinement during development, and this can be reversed by cochlear albation (Gabriele et al. 2000a, 200b; Franklin et al. 2008).

There is a strong literature supporting a role for activity in the developmental elimination of excitatory synapses (for review, see Sanes et al. 2006). At the developing neuromuscular junction, activity-dependent excitatory synaptic depression is closely associated with the elimination of polyneuronal innervation (for review, see Wyatt and Balice-Gordon 2003). Therefore, an important question arising from these studies is whether the physical elimination of inhibitory synapses is associated with a weakening of inhibitory transmission. In fact, MNTB synapses do display a form of use-dependent long-term depression (LTD), and this form of inhibitory plasticity declines with age. Synapse depression is hypothesized to be an initial step in the elimination of excitatory synapses, possibly through the reduction of postsynaptic receptors (Li et al. 2001; Heynen et al. 2003). Therefore, inhibitory LTD could support synaptic remodeling in the developing LSO, and contribute to excitatory-inhibitory balance (Kotak and Sanes 2000).

Our studies of inhibitory LTD began with a rather unexpected observation. Inhibitory transmission within the LSO was thought of as exclusively glycinergic in adult animals (Moore and Caspary 1983; Sanes et al. 1987; Wenthold et al. 1987, 1990,), and we assumed that this held true for neonates. To our surprise, we found that inhibitory MNTB terminals are primarily GABAergic during the first postnatal

week, before sound-evoked responses are present (Kotak et al. 1998; Korada and Schwartz 1999). This finding suggested that GABA release is necessary for the induction of inhibitory LTD, acting via G-protein coupled GABA_B receptors. As shown in Fig. 4.1b (left), when whole-cell recordings were made from LSO neurons in the presence of a GABA_B receptor antagonist (SCH-50911), we found that inhibitory LTD was almost completely eliminated (Kotak et al. 2001).

We next designed a specific test of whether postsynaptic GABA_p receptor activation alone could induce depression. A micropipette, containing either GABA or glycine, was positioned in close proximity to the recorded LSO neuron. We found that focal delivery of GABA, but not glycine, was sufficient to trigger depression of the evoked hyperpolarizations (Chang et al. 2003). Furthermore, the GABA-induced depression could be blocked by the GABA_B receptor antagonist (Fig. 4.1b). Together, these observations lend credibility to the notion that GABA plays a pivotal role in the induction and maintenance of inhibitory LTD (Kotak et al. 2001; Chang et al. 2003). The postsynaptic theory is consistent with our previous data that inhibitory LTD can be blocked by various kinds of intracellular manipulations exclusively in the recorded postsynaptic neuron (Kotak and Sanes 2000; Kotak and Sanes 2002). It should be noted that MNTB synapses in the LSO can also display long-term potentiation under specific stimulus conditions (Kotak and Sanes, unpublished observations). This property may provide an explanation for the enhanced conductance of the inhibitory synapses that become stabilized during the period of elimination in the developing rat LSO (Kim and Kandler 2003).

A second line of evidence for developmental homeostasis of inhibitory gain comes from studies in which the net inhibitory activity to LSO was experimentally decreased. In one set of experiments, one cochlea was surgically removed before the onset of hearing, which leads to the functional deafferentation of MNTB neurons. A second set of experiments used strychnine-containing continuous release pellets to attenuate the level of glycinergic transmission in vivo. As shown in Fig. 4.1c, manipulations of this sort influenced the maturation of synaptic properties. Whole-cell recordings showed that fewer LSO neurons received MNTB-evoked inhibition. In those neurons that did display MNTB-evoked IPSPs, the amplitude was significantly reduced, and this was accompanied by a depolarization in the IPSP reversal potential. More surprisingly, the unmanipulated ipsilateral pathway was altered dramatically: Ipsilaterally-evoked EPSPs were of much longer duration in experimental animals, and they were shortened significantly by an NMDA receptor antagonist, AP-5 (Kotak and Sanes 1996).

The effects of reducing inhibition during development may appear to support an anti-homeostatic mechanism: A down-regulation of inhibitory strength and an up-regulation of excitatory strength would not compensate for the manipulation. However, several observations suggest caution in drawing firm conclusions. For example, LSO neurons receive afferent projections from ipsilateral inhibitory and contralateral excitatory pathways (Wu and Kelly 1994; Kil et al. 1995). Furthermore, deafferentation elicits afferent sprouting, leading to novel innervation of MNTB and LSO (Kitzes et al. 1995; Russell and Moore 1995). Certain functional properties of the inhibitory MNTB projection must also be considered. For example, the MNTB projection releases both GABA and glycine during early development, and several reports demonstrate that the excitatory transmitter, glutamate, is also released (Kotak et al. 1998; Nabekura et al. 2004; Gillespie et al. 2005). Thus, the activation of metabotropic GABA_B and glutamate receptors could play a primary role in regulating synaptic strength (Ene et al. 2003; Kotak and Sanes 1995; Kotak et al. 2001; Ene et al. 2007; Nishimaki et al. 2007).

4.4 Developmental Regulation of Inhibitory Synapse Gain in the Inferior Colliculus

Inhibitory projections to the inferior colliculus (IC) arise from many brain stem nuclei, and include both glycinergic and GABAergic afferents (for review, see Pollak et al. 2003). In a transverse brain slice preparation, much of the ascending inhibitory pathway can be activated with a stimulating electrode placed just ventral to the IC (Fig. 4.2a). We have used this approach to examine the effect of cochlear activity on the development of inhibitory synaptic transmission.

When gerbils are bilaterally deafened before the onset of hearing, evoked inhibitory postsynaptic potentials become much weaker than in control animals. We tested the ability of evoked IPSPs to block current-evoked action potentials, and found they were much less effective in deafened animals (Fig. 4.2b). In control neurons, the IPSPs block 97% of action potentials and the duration of inhibition lasted for 81 ms, but in deafened neurons only 43% of action potentials were blocked and the duration of inhibition was only 27 ms (Vale et al. 2003). Therefore, measures of synaptic strength indicate that inhibitory connections are less able to suppress suprathreshold events following deafness.

There are several changes that account for decreased inhibitory strength. The conductance of maximum evoked IPSCs is reduced by about 50% for all evoked IPSCs. This could be due to the loss of inhibitory afferents, a reduction in GABA or glycine release, a reduction of postsynaptic GABA or glycine receptors, or an alteration in the functional status of these receptors. There is also an alteration in release probability. When paired-pulses were delivered to the inhibitory pathway in control neurons, the evoked IPSCs exhibited facilitation. In contrast, paired-pulse facilitation is nearly eliminated in deafened animals (Vale and Sanes 2000).

The most dramatic change to inhibitory transmission involves the chloride (Cl⁻) battery. Synaptic inhibition elicited by $GABA_A$ or glycine receptor activation is mediated by a Cl⁻ conductance (Bormann et al. 1987). In most adult neurons, intracellular chloride [Cl⁻]_i is regulated by cation-chloride cotransporter family members: a Na–K–2Cl cotransporter (NKCC1) leads to cytoplasmic accumulation of chloride, and a K–Cl cotransporter (KCC2) extrudes chloride (Delpire et al. 1994; Payne et al. 1996;

neurons from control and SNHL animals. For control neurons, the mean E_{IPSC} was significantly more depolarized when the internal pipette solution contained Cs⁺. However, for SNHL neurons, there was no significant difference between K ⁺- and Cs⁺-containing pipettes. *n* values are in bars (**p* 0.0001 vs K⁺). Error bars indicate SEM



Fig. 4.2 Inhibitory synaptic plasticity in the developing IC. (a) Schematic shows the position of the brain slice (dashed box) and location of IC (black circle). The brain slice (right) contains the IC, and the ascending projection through the DNLL which includes both glycinergic and GABAergic afferents (stimulating electrode). (b) Traces show examples of evoked IPSPs, currentevoked action potentials, and the simultaneous presentation of IPSPs with action potentials (from left to right) in control and SNHL animals. The number of times that the evoked IPSP inhibited the AP in ten consecutive trials was counted and used to calculate the percentage of inhibition. Mean IPSP ability to inhibit APs is significantly lower in SNHL neurons compared with controls (*p<0.0001). Duration of inhibition was significantly shorter in BCA neurons compared with controls (**p < 0.005). (c) IPSC reversal potential in gramicidin-perforated patch recordings with KCl in the internal pipette solution. SNHL caused a 24 mV depolarization in the mean E_{IPSC} (***p<0.0001 vs control), and the distribution of E_{IPSC} is plotted for neurons from control (*black* circles) and bilaterally deafened (gray squares) animals, along with regression lines (left). The effect of deafferentation on E_{IPSC} was apparent within 1 day of the surgical manipulation (at P7) and persisted during the age range studied (up to P14). The E_{IPSC} of control neurons at P7 is shown at the left. Bar graphs (right) show the effect of K⁺ or Cs⁺ in the recording pipette on E_{IPSC} in

Payne 1997; Payne et al. 2003). During early development $[Cl^-]_i$ is relatively high due to NKCC1 activity (Plotkin et al. 1997; Clayton et al. 1998; Kanaka et al. 2001). As KCC2 expression increases, $[Cl^-]_i$ drops below the electrochemical equilibrium (Lu et al. 1999; DeFazio et al., 2000; Hübner et al. 2001), leading to a transition from inhibitory synapse-evoked depolarizations to hyperpolarizations (Wang et al. 1994; Owens et al. 1996; Ehrlich et al. 1999; Kakazu et al. 1999; Rivera et al. 1999).

Using perforated patch recordings (which preserve the neurons' intracellular chloride concentration), we found that the mean IPSC reversal potential (E_{usc}) depolarized by 24 mV following hearing loss. As shown in Fig. 4.2c, this effect was present within one day of the in vivo manipulation and persisted at the longest interval examined, one week after deafening (Vale and Sanes 2000). The mechanisms responsible for E_{IDSC} depolarization are not yet fully understood, but many studies have shown that the expression of chloride transporter proteins account for the depolarizing inhibitory-evoked responses in immature neurons (Payne et al. 1996; Backus et al. 1998; Kazaku et al., 1999; Rivera et al. 1999; Williams et al. 1999; Balakrishnan et al. 2003; Vale et al. 2005; Blaesse et al. 2006). To examine the molecular basis of weakened inhibitory synapses in deaf animals, we measured the effect of three chloride transport blockers in control and deafened neurons. The results from one such experiment are shown in Fig. 4.2c. Control neurons displayed loss of chloride transport when challenged with intracellular cesium, whereas deaf neurons were relatively unaffected. Moreover, RT PCR and immunohistochemical analyses showed that KCC2 was expressed at normal levels in deaf neurons (Vale et al. 2003). Together, these results suggest that deafness disrupts the function of the chloride transporter without changing its expression.

It is estimated that over 90% of gerbil IC neurons are synaptically excited to spike threshold by contralateral sound stimulation, whereas only about 25% are so activated by the ipsilateral ear (Semple and Kitzes 1985; Brückner and Rübsamen 1995). These in vivo recordings suggest that the IC lobe contralateral to a deafened ear should be more deprived of excitatory input. If decreased postsynaptic activity leads to a down-regulation of inhibitory synaptic function, as suggested by the in vitro experiments cited above, then we would expect to observe a greater decrease of inhibitory strength contralateral to the deafened ear. This prediction is largely supported by our findings (Vale et al. 2004). Unilateral cochlear ablation led to a 23 mV depolarizing shift in the E_{IPSC} for IC neurons contralateral to the deafened ear, but only a 10 mV depolarization in the ipsilateral IC. Furthermore, commissural-evoked inhibitory synaptic conductance declined only contralateral to the ablated cochlea.

Our findings from developmentally deafened animals are consistent with findings from those deafened as adults. For example, there is a marked decrease in GABA release from within the IC following bilateral deafness in adult guinea pigs (Bledsoe et al. 1995). Furthermore, there is a profound loss of presynaptic GABA in the inferior colliculus, and a compensatory change in GABA_A and GABA_B receptor expression in very old animals, presumably due to age-related hearing loss (Caspary et al., 1995; Milbrandt et al. 1994, 1997). Although inhibition appears to be down-regulated in both young and old animals, the precise changes that occur may reflect the age of hearing loss, as well as the magnitude and duration of the loss (Suneja et al. 1998; Argence et al. 2006; Holt et al. 2005).

4.5 Developmental Regulation of Inhibitory Synapse Gain in the Auditory Cortex

Our understanding of auditory cortex (ACx) synaptic connectivity has improved somewhat during the past 5 years (for review, see Oswald et al. 2006). Thalamic stimulation in a brain slice preparation (schematized in Fig. 4.3a) typically evokes



Fig. 4.3 Inhibitory synaptic plasticity in the developing ACx. (a) Schematic shows the position of the brain slice (*dashed box*) and location of ACx (*black circle*). The brain slice (*right*) contains the thalamorecipient ACx, the ascending projection from the thalamus (MG), and intracortical inhibitory projections (*bottom stimulating electrode*). (b) The maximum monosynaptic IPSP evoked by stimulating layer 2/3 is shown for control and SNHL neurons (*left*). These recordings were obtained in the presence of blockers of the ionotropic glutamate receptors DNQX and AP-5. The plot of putative monosynaptic IPSP amplitudes (*right*) shows a significant reduction for SNHL neurons. (c) Intracortical minimum evoked-IPSCs were recorded at -60 mV in the presence of ionotropic glutamate receptors blockers. The intensity at which minimum IPSCs (*left*) were discernible from failed responses (*right*) was then chosen for successive recordings. The amplitude of mean minimum evoked-IPSCs is smaller in SNHL neurons, while their mean duration is longer in SNHL neurons

a mixed excitatory-inhibitory response in layers 2–5, indicating the recruitment of feed forward GABAergic inhibition (Cruikshank et al. 2002). GABAergic neurons are distributed in all layers of ACx, and account for 15% of the ACx cells in gerbils (Foeller et al. 2001). Excitatory and inhibitory synaptic drive appear to be "balanced" insofar as their conductances are equivalent in magnitude (Wehr and Zador 2003; Tan et al. 2004).

Given the dramatic effects of disuse on the auditory brain stem, we were curious to explore the impact of deafness on inhibitory synapse function in the thalamorecipient ACx. Hearing loss was induced in gerbils just before the onset of hearing (P10), and synaptic function was subsequently assessed in a brain slice preparation (Fig. 4.3a). The maximum amplitude of intracortically-evoked GABAergic IPSPs was significantly smaller in deafened animals (Kotak et al. 2005) (Fig. 4.3b). As discussed for the IC (above), there could be many reasons for such a reduced response, including the death of inhibitory neurons. To determine whether individual inhibitory synapses produced smaller responses, we recorded spontaneous IPSCs and intracortically-evoked minimum amplitude IPSCs. The amplitudes of minimum-evoked IPSCs were significantly smaller while their durations were longer (Fig. 4.3c). A similar observation was made for spontaneous IPSCs, indicating the individual inhibitory terminals were weaker following hearing loss (Kotak et al. 2008). The longer duration of spontaneous and minimum-evoked IPSCs in ACx of deafened gerbils suggested that GABA, receptor subunit composition may have changed (Farrant and Kaila 2007). Therefore, we measured the pharmacosensitivity of two agonists, one specific for the α -1 subunit (zolpidem), and the other specific for the β -2/3 subunit (loreclezole) of the GABA_A receptor. In control ACx neurons, each of these agonists enhanced the duration of spontaneous IPSCs, but this effect was absent following hearing loss (Fig. 4.4a). It is conceivable that the long IPSCs

prehearing neurons. Cumulative bar graphs show sIPSC duration before and after the application of agonist. Note that the agonist fails to prolong sIPSCs after hearing loss or before hearing onset. Number of neurons tested inside bars. The subunit agonist increased IPSC duration significantly only in control post-hearing animals (X^2 =6.8; p=0.009). (b) Electron micrographs show $\beta 2/3$ subunit immunolabeling on the plasma membrane and at intracellular sites of layer 2/3 ACx pyramidal neurons, using the DAB procedure. In a control section (*left panel*), plasmalemmal labeling is apparent at a symmetric synapse (arrowhead) that extends intracellularly (arrow) within a distal segment of a dendrite from a control animal's auditory cortex. Such continuous labeling was tallied as labeled under both 'intracellular' and "plasmalemmal" categories. Nearby plasmalemmal labeling (arrowhead in the lower right corner) is less distinct and is associated with an axon terminal containing fewer vesicles. In a SNHL section (right panel), patches of intracellular labeling (arrow) within a dendrite of an SNHL animal's ACx. The patches are near a clearly unlabeled symmetric (presumably inhibitory) synapse. Arrowheads point to plasmalemmal immunolabeling. The bar graph (*bottom*) quantifies $\beta 2/3$ -DAB immunoreactivity from three controls and three SNHL animals. There was a significantly higher proportion of $\beta 2/3$ immunolabeling on plasma membranes in controls (*white bars*), whereas intracellular $\beta 2/3$ immunolabeling was greater in SNHL tissue (black bars). Asterisks indicate significance at p < 0.002, determined by two tailed Student's t-test. At axon terminal, D Dendrite. Scale bars = 500 nm



Fig. 4.4 Effects of hearing loss on $GABA_A$ receptors. (a) The top traces show representative IPSCs from those analyzed in control (*top left*), sensorineural hearing loss (SNHL, *top middle*), and pre-hearing neurons (*top right*). The gray trace is before the application of a [®]-2/3 subunit agonist, loreclezole, and the black trace is after application of the drug (traces normalized to control pre-drug amplitude). The agonist prolonged sIPSC duration in control, but not in SNHL or in

observed after hearing loss represent an immature phenotype and that hearing loss may delay the maturation of GABAergic transmission. To test this idea, the effect of each subunit agonist was determined for spontaneous IPSCs recorded at P10. Not only did the "pre-hearing" spontaneous IPSCs resemble those observed in much older deaf animals, but the GABA_A receptor agonists did not prolong their duration, as seen in age-matched control neurons (Kotak et al. 2008).

We turned to quantitative EM-immunocytochemistry to explore whether these functional results could be explained, in part, by the localization of $GABA_AR$ subunits. As shown in Fig. 4.4b, the proportion $\beta 2/3$ subunits declined significantly at the postsynaptic membrane in deaf neurons, and increased in the intracellular compartment just beneath the synapse (Sarro et al., 2006). This reduction was observed along pyramidal neuron somata, but not GABA_A receptors from the postsynaptic membrane was disrupted by hearing loss and this correlated with the reduced IPSC amplitude.

There are also clear signs that hearing loss alters release probability at inhibitory terminals. As shown in Fig. 4.5a, the frequency of spontaneous GABAergic IPSCs recorded in deaf neurons was over twice the rate recorded in controls. To better assess release probability, inhibitory short-term plasticity was examined using paired stimuli delivered intracortically in the presence of glutamate receptor antagonists. As shown in Fig. 4.5b, the IPSCs recorded in control neurons generally displayed paired-pulse facilitation (PPF), but this was significantly reduced in SNHL neurons (Takesian et al. 2007). This result is consistent with the elimination of inhibitory paired-pulse facilitation in the IC following hearing loss (Vale and Sanes 2000). We have recently found that a presynaptic GABAergic marker (GAD_{65/67}) increased by 47% in inhibitory terminals following hearing loss (Sarro et al. 2008); this may correlate with the sIPSC frequency increase observed in SNHL.

4.6 Summary

Our studies on the developing auditory CNS demonstrate that activity-dependent processes regulate inhibitory synaptic strength, a concept that has emerged from the work of several laboratories over the past 20 years. A broad set of analyses from normally developing animals and those with induced hearing loss reveal that inhibitory synapse function is adjusted at both pre- and postsynaptic loci. Following hearing loss, the net effect of these alterations leads to decreased inhibitory strength, as assessed by the ability of IPSPs to block action potentials (Fig. 4.2b).

Some key principles become apparent from these and related studies on inhibitory plasticity in the auditory CNS. First, it is clear that inhibitory gain is adjusted at each location of the ascending auditory system following hearing loss. Second, a decrease in inhibitory gain is often accompanied by a parallel increase in excitatory



Fig. 4.5 Effect of hearing loss on GABA release. (**a**, *left*) Frequency of spontaneous (s) IPSCs is increased in SNHL neurons. sIPSCs recorded in the presence of ionotropic glutamate blockers (DNQX, AP-5) for 30s each in a P17 control neuron and an age-matched SNHL neuron are shown (*left panel*). Addition of 1μ M of GABAzine eliminates sIPSCs, showing that they are mediated by the activation of GABA_A receptors. (**a**, *right*) Bar graph summarizing the mean frequencies of sIPSCs recorded from 11 control and 12 SNHL neurons. Note that the sIPSC frequency in SNHL neurons is significantly higher. (**b**) Paired pulse facilitation (PPF) of IPSCs is prevalent in control neurons, whereas SNHL neurons display paired pulse depression (PPD) (P17-21). Average of ten IPSCs evoked by paired extracellular stimuli recorded in the presence of ionotropic glutamate blockers (DNQX, AP-5) from control and SNHL neurons (*left*). Histogram of average PPR recorded from 21 control and 28 SNHL neurons (*log scale*) (*right*). Control neurons have a significantly greater PPR as compared with SNHL neurons (Chi square; *p*<0.0001). ISI=120 ms, 20% above minimum stimulation

synaptic gain, and a rise in intrinsic firing. Together, these properties enhance neuronal excitability. Third, it is clear that activity-dependent regulation of inhibitory synaptic strength may depend on the age at which activity is disrupted, as well as the age when neuronal properties are examined. In the following ssection, we discuss how these adjustments emerge at each processing center in the ascending pathway, review some mechanisms that underlie inhibitory rescaling, and infer how decreased inhibitory gain could impact auditory processing.

4.6.1 Heirarchical Modification of Inhibitory Function

Following hearing loss, inhibitory gain has been shown to rescale at each relay station of the auditory CNS. In the cochlear nucleus (CN) of congenitally deaf mice, disrupted electrical activity reduces the amplitudes of miniature inhibitory currents (mIPSCs), increases single channel conductance carried by glycine receptors, and increases inhibitory postsynaptic sites assayed by gephyrin immunoreactivity (Leao et al. 2004a; 2004b). Similarly, in neomycin-deafened adult rats, there is a significant reduction of glycinergic presynaptic terminals in the cochlear nuclei and superior olivary complex (Asako et al. 2005; Buras et al. 2006). Furthermore, there is a concomitant increase in the amplitude of EPSCs due to increased probability of glutamate release (Oleskevich and Walmsley 2002). Thus, some level of activity-dependent homeostatic response is evident at the earliest stages of auditory processing (Burrone and Murthy 2003).

Although we do not yet know the rate of change following hearing loss in different regions of the auditory CNS, synaptic gain adjustments materialize rapidly, as early as 1 day following deafferentation. In the developing LSO, contralateral cochlear ablation (which leads to deafferentation of the inhibitory projection) produces a decline in inhibitory synaptic strength and an associated increase in excitatory strength (Fig. 4.1c). Thus, these gain adjustments occur in opposing directions to favor excitation.

Research on homeostatic control following perturbed activity in invertebrate and vertebrate systems led us to propose that hearing loss produces an imbalance in the currents that inhibit and excite auditory neurons (Marder et al., 2003; Marder and Goaillard 2006; Turrigiano 2007). In both the IC as well as the ACx, complete hearing loss triggered consistent transformations. First, maximum- and minimum-evoked IPSPs and IPSCs were reduced, supporting diminished inhibitory gain. Second, the kinetic properties of inhibitory currents in ACx failed to mature. Third, short-term inhibitory plasticity displayed depression, not facilitation, suggesting disrupted temporal processing. In concert, excitatory synaptic gain was scaled up. For example, larger amplitudes and durations of maximum and minimum-evoked, and spontaneous and miniature EPSCs imply heightened postsynaptic function (Vale and Sanes 2002; Kotak et al. 2005).

These findings agree with observations in other activity-deprived preparations. For example, a two-day visual deprivation period in early life elevates excitability within layer 4 by 25-fold, and this is associated with decreased inhibitory drive. Specifically, dual recordings show decreased strength of feedback inhibitory interneurons and increased strength of excitatory connections (Maffei et al. 2004).

Although we did not explore the effect of hearing loss on long-term inhibitory plasticity, one recent study showed compromised excitatory LTP and persistent LTD following hearing loss, suggesting plasticity may not develop properly in the absence of auditory experience (Kotak et al. 2007). Thus, activity-dependent inhibitory LTD and LTP observed in the normal LSO may exist at other auditory relays including the ACx.

In the following section, we consider several mechanisms that account for the regulation of inhibitory synaptic strength, especially following hearing loss.

4.6.2 Cellular Mechanisms that Regulate Inhibitory Gain

The activity-dependent mechanisms that operate at developing excitatory synapses have received much attention, particularly at motor neuron and retinal projections. Relatively less is known about the maturation of inhibitory synaptic function, particularly its regulation by activity-dependent mechanisms.

A broad range of cellular adjustments are initiated when inhibitory synapses are activated by a specific pattern, or when they are deafferented during normal development and aging (Morishita and Sastry 1991; Oda et al. 1995; 1998; Komatsu 1994; Caspary et al. 2005; Maffei et al. 2006). These studies highlight the fact that activity-dependent inhibitory scaling involves adjustment at both pre- and postsynaptic loci.

In normal developing gerbil LSO, our evidence shows that an activity-dependent reduction in inhibitory synaptic gain (LTD) is mediated by a rise in postsynaptic calcium and activation of specific kinases such as CaMKII, PKA and PKC. When each of these key intracellular factors was disrupted with specific postsynaptic antagonists, LTD was reduced or eliminated (Kotak and Sanes 2000; Kotak et al., 2002). This implies that inhibitory strength is adjusted by kinase-dependent phosphorylation of postsynaptic receptors. The LTD mechanism is engaged by GABA transmission (Fig. 4.1b). Specifically, postsynaptic GABA_B receptor activation is necessary for LTD induction (Kotak et al. 2001; Chang et al. 2003).

A second postsynaptic mechanism that participates in inhibitory gain involves the regulation of intracellular chloride. Several lines of investigation showed that the chloride equilibrium potential becomes more negative during development (McCarthy et al. 2002). This is due to increased expression of KCC2 and reduced expression of NKCC1. Following hearing loss however, the IPSC reversal potential is depolarized. This is due to decreased KCC2 function, as assessed with perforated patch recordings, selective intracellular manipulations, and RT-PCR and immunocytochemistry of KCC2 (Vale et al. 2003).

Our third set of data supporting a postsynaptic mechanism was obtained from layer 2/3 pyramidal neurons of the developing ACx. Hearing loss results in a reduction of spontaneous and evoked IPSC amplitude, and this correlates with altered GABA_A receptor subunit trafficking (Kotak et al. 2008; Sarro et al., 2006). Previous studies have shown that the number of GABA_A receptors and their trafficking is activity-dependent (Tehrani and Barnes 1991; Barnes 1996; Paysan *et al.*, 1997; Nusser et al. 1997, 1998; Kilman et al. 2002). In our preparations, greater intracellular distribution and lesser postsynaptic membrane localization of the $\beta 2/3$ subunit at symmetric synapses strongly supports the claim that hearing is vital for the proper mobilization and insertion of key GABA_A receptor subunits. Further, the duration of IPSCs are determined, in part, by the specific GABA_A receptor subunits

expressed during development. For example, the two subunits we examined (α -1, β -2/3) play an obligatory role in agonist sensitivity and IPSC kinetics (Connolly et al. 1996; Baumann et al. 2002; Wisden et al. 1992; Amin and Weiss 1993; McKernan and Whiting 1996; for review, Möhler, 2006). When these subunits are upregulated during development, IPSC kinetics become faster; however, hearing loss prevented this transition, because IPSCs were not only long but the subunit-specific agonists failed to prolong them (Fig. 4.5a; Kotak et al. 2008).

Finally, presynaptic mechanisms may additionally regulate inhibitory strength. First, the increased mIPSC and sIPSC frequencies following hearing loss indicate augmented GABA release (Kotak et al. 2008). Second, an EM- immunocytochemical assay revealed an increase in the presynaptic GABAergic marker (GAD_{65/67}) (Sarro et al., 2006). Third, the diminution of paired-pulse facilitation following hearing loss, both in the midbrain and cortex, implies presynaptic change (Vale and Sanes 2000; Takesian et al. 2007; Fig. 4.5b). This result is consistent with results from similar studies on the visual cortex, in which visual deprivation leads to increased steady-state depression of IPSCs during trains of extracellular stimuli (Tang et al 2007) and during trains evoked by regular-spiking interneurons (Maffei et al 2004). Together, these findings suggest that GABA synthesis may be upregulated after developmental manipulations that decrease activity. However, age-related hearing loss is associated with a different set of alterations in the IC or ACx: there is a decrease in GABA-positive neurons, a decrease in GABA release, and an increase in GABA-mediated chloride influx (Caspary et a., 1990; Caspary et al. 1999; Ling et al. 2005). Thus, the inhibitory synaptic alterations that result from manipulations of activity are age-dependent.

If decreased cochlear activity leads to loss of inhibitory strength, then one would predict that increased activity would lead to up-regulation of inhibitory synapses. In fact, experimental induction of tinnitus (e.g., ringing in the ear) in rats leads to elevated GAD levels and increased GABA_A receptor affinity in the IC (Bauer et al. 2000). It is possible that presynaptic GABA release is adjusted in response to the altered postsynaptic gain. Alternatively, postsynaptic gain may be a homeostatic response to presynaptic transmitter release. Further studies are needed to determine whether pre and postsynaptic gain adjustments are co-dependent.

In the following section, we consider the functional consequences of these cellular mechanisms and how they may influence auditory processing.

4.6.3 Effect of Inhibitory Gain on Auditory Processing

The cellular deficits we describe above may account for imbalanced acoustically-evoked discharge following hearing loss. In vivo recordings reveal robust modifications in auditory processing and reorganized tonotopy in the ACx following hair cell damage by ototoxic drugs, noise, or aging (Salvi et al. 2000; Syka, 2002; Caspary, 2005). Processing following hearing loss has been examined in cats using electrical stimulation with cochlear prosthetic devices, and the results are in broad agreement

with our brain slice results. While some findings suggest that auditory deprivation leads to decreased synaptic drive, particularly to layer 5 (Klinke et al. 1999; Kral et al. 2000; 2009), there are also signs of increased excitability. Specifically, electrode-evoked thresholds are lower, spatial tuning curves are broader, and cochleotopy appears imprecise (Raggio and Schreiner 1999, 2003). These researchers suggest that diminished cortical inhibition could explain some of these characteristics. Their in vivo recordings do not distinguish between changes in the brainstem (Snyder et al. 2000; Moore et al. 2002) and those that have occurred locally in the cortex. Extracellular potentials also cannot tell us which cortical synapses or intrinsic properties have been altered, and in what manner.

Even unilateral deafferentation induces an increase in sound-evoked activity in the ipsilateral IC and ACx, and such properties may reflect an imbalanced interaction between inhibition and excitation (Kitzes and Semple 1985; McAlpine et al. 1997; Mossop et al. 2000). Following unilateral deafness, the ipsilateral IC exhibits far more excitatory responses than normal, suggesting that inhibition has been weakened (Kitzes and Semple 1985; McAlpine et al. 1997). In fact, there is a marked decrease in GABA release from the Central nucleus of the IC (CIC) following bilateral deafness (Bledsoe et al. 1995; but see Suneja et al. 1998). Our experiment on unilaterally deafened animals suggests that decreased inhibition following hearing loss may explain such an imbalance (Vale et al. 2004).

Inhibitory short-term plasticity shifts significantly from a facilitating to a depressing mode following hearing loss (Fig. 4.5b), and this is in agreement with a previously reported shift of inhibitory short-term plasticity in the IC (Vale and Sanes 2000). In the visual cortex, deprivation leads to increased steady-state depression of IPSCs during trains of extracellular stimuli (Tang et al 2007) and during trains evoked by regular-spiking interneurons (Maffei et al 2004).

During age-related hearing loss (i.e., presbycusis), there is a profound loss of presynaptic GABA in the inferior colliculus, and an associated change in GABA_A and GABA_B receptor expression (Caspary et al., 1995; Milbrandt et al. 1994, 1997). Each of these changes may contribute to age-related deficits in performance on auditory tasks. For example, in the dorsal cochlear nucleus, aging fusiform neurons respond with a greater maximum discharge to tones than those recorded from young adults; this finding is consistent with an age-related loss of glycinergic inhibition. Therefore,- clinically observed age-related central sensory processing deficits may be attributable to compromised function of inhibitory synapses (Caspary et al. 2005).

Following cochlear trauma, there are also signs from in vivo recordings that decreased inhibition may contribute to processing deficits. For example, spontaneous action potentials in ACx increase following cochlear trauma (Salvi et al. 2000; Wang et al. 2002a; Norena and Eggermont 2003; Seki and Eggermont 2003). The decreased inhibition discussed above could account, in part, for these in vivo changes (Kotak et al. 2005, 2008).

Using in vivo manipulations and whole-cell recordings in auditory brain slices in combination, we have directly assessed the mechanisms that govern inhibitory synaptic strength. Together, these findings suggest that the perceptual deficits that attend hearing loss are not solely attributable to peripheral factors, as is often assumed. Hearing loss-induced alterations to CNS inhibitory synapses must now be considered as a principal basis for diminished behavioral performance. Furthermore, these cellular findings could offer clues to the design of strategies for ameliorating the effects of early hearing loss. It may be possible to restore compromised auditory deficits in the hearing impaired by drugs that potentiate GABA_A receptor function. For example, gap detection thresholds are elevated in aging gerbils, but normal performance can be rescued with a drug that elevates GABA levels (Gleich et al. 2003). Similarly, orientation and direction sensitivity of neurons in the visual cortex of aging primates can be reinstated by the administration of GABA agonists (Leventhal et al., 2000). We propose that processing deficits following hearing loss are, in part, due to diminished inhibitory strength along the entire ascending auditory pathway. Therefore, synaptic inhibition is a plausible candidate mechanism for clinical interventions to enhance perceptual skills.

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Chapter 5 Developmental Plasticity of Inhibitory Receptive Field Properties in the Auditory and Visual Systems

Khaleel A. Razak, Zoltan M. Fuzessery, and Sarah L. Pallas

5.1 Introduction

Following the pioneering work of Hubel and Wiesel on developmental plasticity in the visual cortex, a considerable progress has been made in determining the relative contributions of experience-dependent and -independent mechanisms to the development of neural response properties (Katz and Shatz 1996, for review). During postnatal development in particular, neural activity driven by sensory inputs is critical for the refinement of response properties. Studies on the mechanisms, through which experience influences response selectivity, have focused on excitatory properties and connectivity. Only recently has the focus shifted toward inhibitory mechanisms (see Pallas et al, 2006; Huang et al. 2007; for recent reviews).

The appropriate balance between excitatory and inhibitory neural activity is critical for normal brain function. It is now well established that a number of response properties depend on the interactions between the inhibitory and excitatory portions of receptive fields (iRF and eRF). It is also known that inhibitory synapses show activity-dependent changes during development (Chattopadhyaya et al. 2004; Chen et al. 2001; Hensch and Fagiolini 2005; Kim and Kandler 2003; Morales et al. 2002; Turrigiano 1999; Vale et al. 2003). Whether such plasticity is adaptive or not depends on how changes in the inhibitory circuitry affect the response properties. However, few studies have addressed how activity-dependent plasticity of inhibitory synapses influences response selectivity (see e.g., Zheng and Knudsen 1999; Shoykhet et al., 2005; Razak and Pallas 2006 for supporting examples). This chapter

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summarizes our work on the role of inhibition in shaping selectivity for dynamic stimuli in the superior colliculus (SC) of hamsters and the auditory cortex (A1) of pallid bats, under normal and altered developmental conditions. The main conclusion is that the strength and timing of surround inhibition can be an important substrate upon which sensory experience acts to modify behaviorally relevant response selectivity.

5.1.1 Inhibitory Plasticity in the Hamster Superior Colliculus

The retinotectal/retinocollicular pathway of vertebrates has long been a model of choice for the studies of developmental plasticity (Sperry 1963; reviewed in Udin and Fawcett 1988; Constantine-Paton et al. 1990; Debski and Cline 2002). The superior colliculus (SC) is a midbrain structure involved in motion processing, and, as such, its retinorecipient neurons are selective for stimulus velocity (Rhoades and Chalupa 1978a; Stein and Dixon 1979; Razak et al. 2003). It is particularly rich in GABAergic terminals (Mize 1992, for review). The retinocollicular projection exhibits both activity-dependent and -independent forms of plasticity during development. We have shown that inhibition is important in velocity tuning in hamsters (Razak and Pallas 2005). Velocity-tuned neurons in the retinorecipient layers of hamster SC thus provide a suitable model for studying inhibitory plasticity of visual response properties. Here, we review findings on the role of inhibition in the development and plasticity of velocity tuning in the SC.

5.1.2 Surround Inhibition Shapes Velocity Tuning in the SC

Velocity tuning is a major characteristic of superficial SC (sSC) neurons, as expected in a structure involved in orienting attention to visual targets. Velocity tuning is remarkably resistant to developmental manipulations of activity and changes in afferent/target convergence ratio (Pallas and Finlay 1989; Huang and Pallas 2001; see below). Previous models of velocity tuning incorporated a directional component (Barlow et al. 1964). Because most sSC neurons are not directional except through cortical feedback (Rhoades and Chalupa 1978b), we undertook a study to uncover the circuitry underlying their velocity tuning.

An inhibitory region encircling the excitatory receptive field area is a common feature of visual system neurons. The majority of retinorecipient SC neurons exhibit such surround inhibition (Razak and Pallas 2005), meaning that the response to a stimulus in the RF is suppressed by a stimulus presented in the surround (Fig. 5.1a). Surround inhibition can be asymmetric (e.g., Fig. 5.1b) or symmetric (e.g., Fig. 5.1d) around the RF center. We found that the symmetry of the inhibitory surround and the type of velocity tuning in hamster SC are correlated, suggesting that there may be distinct classes of neurons as observed in cat SC (Waleszczyk, et al. 1999).



Fig. 5.1 Surround inhibition shapes velocity tuning in the SC. (a) Schematic of methodology used to determine surround inhibition and mechanisms of velocity tuning. The *gray square* represents the excitatory RF. The *white square* represents the surround. The surround was mapped using a two-spot stimulus. One spot was swept vertically through the center of the RF, as a second, simultaneous spot was swept at progressively greater distances from the first at 2.6° increments. *TS* temporal surround, *NS* nasal surround. Velocity tuning was determined using a stimulus moving in a temporal to nasal direction at velocities between 5 and 45° . The contribution of surround inhibition to velocity tuning was determined by masking different parts of the inhibitory surround. (b) A typical neuron with asymmetric surround. The black rectangle denotes the extent of the eRF. This neuron exhibited inhibition on the NS, but not on the TS. (c) The NS contributes to velocity tuning in this LP neuron because masking the nasal NS reduces velocity selectivity. In most LP neurons blocking the NS, but not the TS virtually eliminates selectivity. In most HP neurons, blocking the TS, but not the NS reduces velocity tuning. * p<0.05. Figure adapted from Razak and Pallas (2005)

All neurons with asymmetric surround inhibition were selective for slowly moving stimuli (low-pass-LP neurons, e.g., Fig. 5.1c). LP tuning can be accounted for, at least in part, by a form of temporal asymmetry called backward masking, i.e. inhibition

arising from the surround traversed after the stimulus leaves the RF and suppresses responses to rapidly moving stimuli (Fig. 5.1c). On the other hand, most SC neurons with symmetric surrounds (Fig. 5.1d) prefer rapidly moving stimuli (high-pass-HP neurons). Despite the symmetry, only the surround location traversed before the stimulus enters the RF (forward masking) contributes to HP tuning, by reducing responses to slowly moving stimuli before they enter the eRF (Fig. 5.1e)

The masking data, while supporting the importance of inhibition in creating velocity tuning, did not reveal where that inhibition is located. To address this issue, we applied GABA-A receptor antagonists iontophoretically on SC neurons during electrophysiological recordings and found that velocity tuning is shaped by intra-SC GABA in nearly half the population (Khoryevin, Razak and Pallas, in preparation). These data suggest that the surround inhibition shaping velocity tuning arises, at least partly, from neurons intrinsic to the SC.

Taken together, these data suggest that the spatiotemporal interactions between the inhibitory surround and the eRF shape the velocity tuning in the SC. The amount of time a moving stimulus spends in the different spatial components of the visual field (NS, RF, TS, see Fig. 5.1) depends on both the size of the components and the velocity of the movement. For a given stimulus velocity, it can be predicted that the timing of excitatory and inhibitory inputs triggered by a moving stimulus will depend on the spatial extent of the RF components. Thus, we expected that velocity tuning would be altered by changes in the RF size.

5.1.3 Effects of Modifying Retinocollicular Convergence on Surround Inhibition During Development

Retinocollicular convergence ratios decrease during normal development in hamsters (Schneider 1973; Huang and Pallas 2001). Hamsters open their eyes at approximately P12, and at this age, RFs are large and diffuse. Between P25 and P50, the average eRF diameter of SC neurons becomes smaller, revealing a postnatal refinement process (Carrasco et al. 2005). This refinement is NMDA receptor (NMDAR)-activity dependent in rodents (Simon et al., 1992; Huang and Pallas 2001; Colonnese and Constantine-Paton 2006), although visual experience is not necessary (Carrasco et al. 2005), suggesting that spontaneous glutamatergic activity is the critical factor. Chronic postnatal blockade of NMDAR using the selective antagonist D-APV results in increased RF diameters of 50%, on average (Huang and Pallas 2001). Thus, a light spot moving through the RF will spend more time in the RF in D-APV-exposed neurons than in normal neurons. Contrary to our prediction that this alteration in the time course over which the inhibitory and excitatory inputs interact would result in altered velocity tuning, however, the velocity tuning showed no difference between the normal and the D-APV groups (Razak et al. 2003). How can this be explained?

We hypothesized that the spatiotemporal relationships underlying velocity tuning were maintained through concomitant changes in surround inhibition, following an increase in the RF size (Razak and Pallas 2007). This predicts first that the surround inhibition increases in spatial extent, and secondly, that it makes a larger contribution to velocity tuning in the D-APV group than in the normal group. We tested the first prediction by comparing the surround inhibition between normal and D-APV groups. We tested the second prediction by comparing the percentage reduction in velocity tuning following masking of surround inhibition between the two groups. We found that chronic postnatal NMDAR blockade increased the strength of surround inhibition in SC neurons (schematized in Fig. 5.2a, b). The size of the RF of individual neurons was correlated with the size of the inhibitory surround in the D-APV group, suggesting a matching change in both excitatory and inhibitory RF regions, following NMDAR blockade.



Fig. 5.2 Chronic NMDAR blockade increases the spatial extent and strength of surround inhibition in the SC. (**a**, **b**) Schematic illustration showing that both excitatory RF and surround increase in size following chronic NMDAR blockade. The strength of inhibition also increases in the D-APV group (indicated by the *darker shade of gray*). (**c**, **d**) There was a larger increase in response to non-optimal velocities following masking of the surround in the D-APV group compared to normal. (**e**, **f**) Possible mechanism underlying increased strength and spatial extent of surround inhibition. See text for details. Figure adapted from Razak et al. (2003) and Razak and Pallas (2007)

5.1.4 Surround Inhibition Plays a Larger Role in Velocity Tuning After Chronic NMDAR Blockade

Similar to normal SC neurons, in the D-APV group backward masking shapes LP and forward masking shapes HP velocity tuning. However, masking the surround resulted in a greater reduction of velocity tuning in the D-APV group compared to the normal group in both LP and HP neurons (Fig. 5.2c, d). These results suggest that the increased strength and extent of surround inhibition in the D-APV group contributes to the maintenance of LP/HP velocity tuning following experimental increases in eRF diameter. Thus, it appears that NMDAR activity plays an indirect role in shaping the velocity tuning in the SC by refining the spatial extents of the interacting excitatory and inhibitory RF components (Fig. 5.2e, f). This counterbalancing change results in the maintenance of velocity tuning despite variation in the extent of inputs resulting from manipulations of activity. Thus, we see that when the spatial extent of excitation is increased, inhibitory plasticity preserves the function of the circuit, presumably allowing the animal to continue making appropriate visual discriminations of the moving targets. Not only is this process in operation after experimental manipulations, but is, likely, also important in the normal refinement of receptive fields during development.

5.1.5 Plasticity of Inhibition Underlying Vocalization Selectivity in the Auditory Cortex

Frequency-modulated (FM) sweeps are analogous to moving visual stimuli in that both classes of stimuli contain movements across the sensory epithelium, allowing a comparison of how different sensory modalities solve analogous problems. FM sweeps are common in species-specific vocalizations, including human speech. Abnormalities in FM sweep processing may underlie the deficits in speech processing (Merzenich et al. 1996). Neurons selective for FM sweep direction and rate have been found in every species examined (Suga 1969; Heil et al. 1992; Mendelson et al. 1993; Nelken and Versnel 2000; Tian and Rauschecker 2004), but the role of experience in the development of FM sweep selectivity has not been examined. An understanding of how FM sweeps are represented and how such representation develops will provide important insights into the development of vocalization representation in general.

The pallid bat is suited to address this issue due to the relatively simple FM sweep (downward sweep, 60–30 kHz, 2-5 ms duration) it uses to echolocate, and the strong selectivity in the auditory system for the downward direction and a narrow range of FM sweep rates (See Fig. 5.3a, for an example). We studied the role of inhibition in shaping the selectivity for FM sweeps and how such inhibitory mechanisms are modified by developmental experience. Relevant to this section are



Fig. 5.3 Mechanisms and development of FM rate and direction-selectivity in the pallid bat auditory cortex. (a) A typical FM rate and direction selective neuron. A neuron was classified as rate selective if the response fell to less than 50% of the maximum response for decreasing FM rates. Direction selectivity was determined by comparing responses to FM sweeps of the same bandwidth, but sweeping in opposite directions. A neuron was considered to be direction selective if the maximum response to one-sweep direction was lower than 25% of the maximum response to the opposite-sweep direction. The neuron shown here clearly responded better to the 60–30 kHz downward sweep, than to the 30-60 kHz upward sweep. (b) A two-tone inhibition over time (TTI) plot. The arrival time of inhibition was determined by presenting two tones with various delays between them. One tone was excitatory, while the other tone was inhibitory. The delay of the excitatory tone relative to the inhibitory tone is shown on the y-axis, with positive (negative) delays indicating that the excitatory tone was delayed (advanced). In the sample TTI plot shown, low-frequency inhibition (LFI) arrives early. High-frequency inhibition (HFI) is delayed. (c) In the pallid bat auditory cortex, LFI arrived early and HFI was delayed in the majority of neurons. (d) During normal development, the percentage of rate-selective neurons is adult-like from P14 onwards. Direction selectivity is slow to mature, with only 25% of neurons exhibiting direction selectivity at P14. An adult-like percentage of direction-selective neurons is observed after P90. (e) Development of arrival times of inhibition. HFI is adult-like from P14, and underlies adult-like rate selectivity from P14. LFI arrival time is delayed in pups. On average, LFI arrives progressively earlier with age, matching the development of adult-like direction selectivity. Figure adapted from Razak and Fuzessery (2006) and Razak and Fuzessery (2007)

the findings that FM sweep selectivity is shaped by inhibitory RF properties, and these inhibitory properties are strongly influenced by developmental experience. An important finding is that experience is required for the maintenance of innately specified response properties.

5.1.6 Asymmetries in Sideband Inhibition Shape FM Rate and Direction Selectivity in Adults

Auditory cortical neurons are similar to other sensory cortical neurons in that they have a center-surround excitatory-inhibitory RF organization. Suga (1969) first proposed that asymmetries in surround (sideband) inhibition underlie FM sweep selectivity. In addition to the presence or absence of a sideband inhibition, a key determinant of sweep selectivity is the relative arrival time of inhibition (Razak and Fuzessery 2006). If excitatory and inhibitory tones are presented simultaneously, the ipsp may occur before, together with, or after the epsp, depending on the effective arrival time of each input. The arrival time of inhibition can be inferred by presenting tone frequencies that evoke inhibition or excitation at different delays with respect to each other. If a response suppression occurs even if the inhibitory tone is delayed relative to the excitatory tone (backward masking), then it can be inferred that the inhibition arrived early. If it occurs only when the inhibitory tone is presented before the excitatory tone (forward masking), then it hibition is delayed.

Consider the hypothetical RF structure shown in Fig. 5.3b. In this neuron, low-frequency inhibition (LFI) arrives earlier than excitation by 1 ms (shown as starting at negative delays), whereas high-frequency inhibition (HFI) arrives 3 ms later than excitation (shown as arriving only at positive delays). During an upward FM sweep that includes the frequencies evoking LFI, inhibition will be generated first, suppressing excitation. For a downward FM sweep that includes frequencies evoking the HFI, the delayed HFI allows the neuron to respond to downward sweeps, if the sweep is fast enough and reaches the eRF before inhibition can catch up. If the sweep is slow, the HFI will arrive first and will suppress responses. The result is selectivity for the rate of downward FM sweeps. Thus, early LFI and late HFI can theoretically generate direction and rate selectivity, respectively, for downward sweeps.

In adult pallid bat auditory cortex, where neurons tuned in the echolocation range of frequencies, LFI arrives early, whereas HFI is delayed (Fig. 5.3c, Razak and Fuzessery 2006). This gives rise to direction and rate selectivity according to the model presented in Fig. 5.3b. Direction selectivity in these neurons was reduced when the LFI was excluded from the upward sweep by starting the sweep inside the RF (analgous to visual RF masking experiments). Rate-selectivity for downward sweeps is eliminated when the downward FM sweep excludes the HFI. Neurons without HFI were not rate selective for downward sweeps. Taken together, these data show that FM rate and direction selectivity are shaped by temporal asymmetries in the sideband inhibition. Thus, the question of how direction and rate selectivity mature during normal development can be reformulated as how the timing of LFI and HFI change during a development.

5.1.7 Developmental Plasticity of Inhibition Underlying FM Rate and Direction Selectivity

Pallid bats begin to develop hearing sensitivity to frequencies used in echolocation after P11 (Brown 1976). We found that FM rate selectivity (Fig. 5.3d) and the

underlying HFI arrival time (Fig. 5.3e) were similar between P14 pups and adults (Razak and Fuzessery 2007). Because rate selectivity is adult-like at the time when the bat's audiogram is first adult-like (~P14), we conclude that rate selectivity develops in an experience-independent manner. Direction selectivity, however, was present only in ~25% of neurons at P14 (Fig. 5.3d). Direction selectivity and the underlying mechanism (LFI arrival time) become adult-like after 12 weeks (Fig. 5.3d, e). These data show that the adult-like complement of direction-selective neurons develops well after the onset of hearing in the echolocation range and arises through a developmental advancement of LFI arrival time. Thus, it appears that the pallid bat is born with an innate selectivity for the rate of change of frequencies present in the adult echolocation call. A part of this template is the delayed HFI. Direction selectivity and the underlying LFI arrival time develop slowly, and may be shaped by experience.

5.1.8 Experience-Dependent Plasticity of Inhibition Shaping Rate and Direction Selectivity

To test the role of experience in the development of FM rate and direction selectivity, we eliminated normal experience with echolocation calls during development (Razak et al. 2008). Pups were muted before P13 either by lesioning the laryngeal muscles with heat or by injecting botulinum toxin A (Botox) into the laryngeal muscles. The muted pups were acoustically and physically isolated from other bats. We compared rate and direction selectivity and the underlying inhibitory mechanisms between the normal and muted pups at P30 and P90. To control for the isolation in the muted group, we also included a group of pups that were isolated, but not muted. These control pups also served to determine if self-vocalizations were sufficient to generate normal calls and response properties.

Laryngeal manipulations altered, but did not eliminate, the production of echolocation calls. During development, normal and control pups produced adult-like calls from P20 onward. However, the muted pups produced calls with significantly lower frequencies and rate of change of frequencies, at all ages up to P90. Thus, muted pups were deprived of normal experience with echolocation calls, until the day of electrophysiological recordings, allowing us to ask how altered experience influenced response selectivity for echolocation calls and timing of inhibition.

5.1.9 Normal Experience is Required for the Maintenance of FM Rate Selectivity and HFI

The muted pups showed a significantly lower percentage of FM rate selective neurons compared to age-matched control and normal pups (Fig. 5.4a). Because rate selectivity is adult-like in P14 normal pups, the muted group data suggest that



Fig. 5.4 Experience-dependent plasticity of inhibitory mechanisms underlying FM sweep selectivity. (a) The percentage of rate-selective neurons was significantly reduced in the muted pups compared with normal and control pups at P30 and P90. The percentage was also lower compared to P14 normal pups (*dashed horizontal line*) and adults (*solid horizontal line*). (b) Direction selectivity was similar across the three groups at P30. A dramatic decrease in the percentage of direction-selective neurons was observed in the P90-muted group. (c) In the normal and control groups, LFI arrives significantly earlier at P90 compared to P30. However, in the mute group, LFI arrival time is delayed at P90 compared to P30. Figure adapted from Razak et al. (2008)

normal experience is required not for the initial development of FM rate selectivity, but for its maintenance. A higher percentage of neurons in the muted group lack HFI compared to neurons in the normal and control groups (shown as HFI absent in Fig. 5.5). Thus, normal experience is required for the maintenance of HFI underlying FM rate selectivity.

5.1.10 Experience is Required for Development and Maintenance of Direction Selectivity and LFI

At P30, the percentage of direction-selective neurons in the muted pups was similar to that observed in the normal and control pups (Fig. 5.4b). However, a dramatic decrease in the percentage of direction-selective neurons was observed in the muted group at P90 (Fig. 5.4c), resulting from both a failure of complete development and a loss of direction selectivity compared to initial levels. These data show that both development and maintenance of direction selectivity requires normal experience with echolocation calls.

The reduction in direction selectivity in muted pups was either due to a loss of LFI (shown as absent LFI in Fig. 5.5) or a delay in its arrival time (Fig. 5.4c and Fig. 5.5). A significantly higher percentage of neurons in the P90-muted pups exhibited either delayed LFI or lacked LFI, altogether when compared to control and normal pups. Because an early-arriving LFI is critical for direction selectivity, these data show that experience-dependent changes of timing of inhibition in the millisecond range can have a significant impact on refinement and maintenance of response selectivity.



Fig. 5.5 Normal echolocation experience is required for the development of inhibitory mechanisms underlying FM sweep selectivity. Electrophysiological recordings from normal P14–P90 pups show that the timing of HFI is adult-like from p14 resulting in adult-like FM-rate selectivity from the time the pups first hear echolocation frequencies. LFI, however, is on average delayed at P14 and P30 compared to adults. This results in a lower incidence of direction-selective neurons. Only at P90 does LFI timing and direction selectivity become adult-like. In pups developing without normal echolocation experience, LFI timing and direction selectivity are similar to the normal pups at P30. HFI and rate selectivity are absent in a larger percentage of neurons in P30 mutes. At P90, LFI is either absent or delayed in a greater percentage of neurons in the muted pups. These data show that rate and direction selectivity are shaped by echolocation experience through modification of sideband inhibition

5.2 Discussion

5.2.1 The Contribution of Surround Inhibition to RF Properties Across Sensory Systems

Surround (or sideband) inhibition is important for direction and velocity (rate) selectivity in the visual and auditory systems. Both systems exhibit asymmetries in surround inhibition, indicating similar solutions to the construction of spatio/ spectro-temporal filters (Razak and Fuzessery 2008). In the SC, nearly a third of the neurons exhibited spatial asymmetries in the surround, with stronger inhibition on the nasal than the temporal side of the RF. Temporal asymmetries can also be inferred based on the backward/forward masking data. In the auditory cortex, there was an asymmetry in timing, with LFI arriving earlier than HFI, relative to excitation. Spectral bandwidth (the cochlear analogue to retinal space) was also asymmetric with LFI being broader than HFI (data not shown, but see Razak and Fuzessery 2006).

The presence of spatially asymmetric surround inhibition has also been reported in the visual cortex of cats (Walker et al. 1999), although the role of this asymmetry remains unclear. In the auditory cortex and SC, asymmetries in surround inhibition shape direction and velocity (rate) selectivity, as evidenced by the reduction or loss of selectivity if the influence from specific surround locations/frequencies is removed.

In both SC and auditory cortex, surround inhibition is plastic during development. In the auditory cortex, the data taken between P14 and adulthood show that the temporal asymmetries in the arrival time of HFI and LFI become more pronounced with age and experience, particularly due to changes in the arrival time of LFI. LFI starts out delayed and advances systematically throughout development. Bats without exposure to normal echolocation calls show either a loss of or a delay in the arrival time of sideband inhibition. In the SC, surround inhibition is altered in its strength and spatial extent by activity-dependent changes in the size of the eRF, presumably through increased retinocollicular convergence ratios. It is unknown if there is a change in the timing of inhibition from the surround as well. In both the auditory and the visual systems, the change in surround inhibition leads to measurable effects on neural selectivity to dynamic properties of stimuli. Thus, the changes in surround inhibition may be a common substrate for experience-dependent plasticity.

5.2.2 Previous Studies on the Role of Inhibitory Plasticity in the Development of Response Selectivity

A role for inhibition in adaptive plasticity during development was first shown by Zheng and Knudsen (1999) based on their work in prism-reared barn owls. Auditory space-tuned neurons in the external nucleus of the inferior colliculus project to the optic tectum, where maps of auditory and visual space are arranged in spatial register. Space-tuned neurons depend, in part, on sensitivity to interaural time differences (ITD) for azimuth tuning. The layout of the auditory space map in the tectum is under the direction of the visual map (reviewed in Kundsen 2002). Altering the visual map during development by raising owls with prisms over their eyes causes an adaptive shift in the auditory map to follow the movement of the visual map. Zheng and Knudsen (1999) showed that the ITD sensitivity of auditory neurons in the ICx of owls shifts in an adaptive direction dictated by prism-induced changes in visual locations. Application of GABA-A receptor antagonists unmasks ITD sensitivity corresponding to pre-prism spatial locations, suggesting that the original ITD sensitivity was masked by the plasticity of inhibitory synapses. Thus, inhibitory plasticity underlies the adaptive change.

The role of experience in shaping RF structure through inhibitory plasticity has been tested in the somatosensory, visual, and auditory systems. In rat somatosensory cortex, whisker trimming during early stages of development causes a reduction in suppressive interactions in adults after whisker regrowth, suggesting that early experience shapes the inhibitory–excitatory balance necessary for RF refinement (Shoyket et al., 2005; Sun, this volume). In the hamster SC, dark-rearing reduces surround inhibition, leading to an increase in the RF size (Carrasco et al. 2005, 2009). There is also a reduction of inhibition inside the RF, leading to a broadening of stimulus size tuning (Razak and Pallas 2006). In the auditory cortex of rats, rearing in a continuous, moderately noisy environment caused a disruption in the maturation of both spectral and temporal properties of the inhibitory surround (Chang et al. 2005). Taken together with our results, these data suggest that inhibitory plasticity underlies activity-dependent plasticity, resulting in both adaptive and abnormal changes in RF properties.

5.2.3 Homeostatic Plasticity of Inhibition: Beyond Response Magnitude Stability

Based on the studies of the visual system, it has been proposed that the development of response properties progresses in two stages (reviewed in Constantine-Paton et al. 1990) The initial establishment of underlying circuits is experience-independent. The second stage involves experience-dependent refinement of these circuits. Plasticity of inhibition during the period of refinement has primarily been discussed in terms of homeostatic balance of response magnitude (Turrigiano and Nelson 2004; Akerman and Cline 2007). Based on this view, inhibitory synaptic strength is altered to match activity-dependent changes in excitatory inputs or intrinsic excitability (Karmarkar and Buonomano 2006). For example, visual deprivation causes a decrease in the excitatory synaptic drive from the retina, resulting in a decrease in the inhibitory drive and thus maintaining stable levels of activity in the developing visual cortex (Turrigiano 1999; Maffei et al. 2004, 2006). A similar homeostatic shift to maintain the balance between excitation and inhibition occurs in the developing auditory cortex, following hearing loss (Kotak et al. 2005; this volume) and in the neuromuscular system of activity-deprived chick embryos (Gonzalez-Islas and Wenner 2006; this volume).

Our results extend these findings by showing that factors in addition to stability of response magnitude drive the plasticity of inhibition. In the auditory cortex, development involves appropriate matching of arrival times of inhibition and excitation. In the SC, the size of the inhibitory surround changes with the excitatory RF size. Thus, inhibitory plasticity may also function in balancing the timing and spatial/spectral extents of excitatory and inhibitory inputs. These results further suggest that the response magnitude is not always the conserved commodity, when homeostatic plasticity occurs following developmental manipulation of activity. In hamster SC, light-evoked activity is primarily due to AMPA receptor currents, and chronic NMDAR blockade does not significantly alter the levels of glutamate-evoked or light-evoked activity (Huang and Pallas 2001). Therefore, the

animals reared with chronic NMDAR blockade may not experience reductions in excitatory SC activity during development; yet, we observed an increase in the strength of surround inhibition. The comparison of results from the SC of NMDAR-blocked (Razak and Pallas 2007) and dark-reared (Carrasco et al. 2005, 2006, and submitted) hamsters shows different directions of plasticity of surround inhibition. The former shows an increase in surround inhibition, while the latter shows a decrease, illustrating the complexity of factors shaping the development of the balance between inhibition and excitation.

5.2.4 Possible Synaptic Mechanisms of Plasticity in Strength and Timing of Inhibition

In the SC, although it is possible that NMDAR blockade directly increases the effectiveness of GABAergic synapses (Shi et al. 1997), an alternative explanation for our results may be that the increase in the strength and spatial extent of the inhibitory surround is an indirect effect of chronic NMDAR blockade. In rodent SC, GABAergic interneurons are themselves likely to have a larger eRF as a result of the D-APV-induced increase in the retinal convergence. A visual stimulus would, thus, excite more inhibitory neurons in the D-APV group than normal, and could result in the observed increase in the strength and extent of the inhibitory surround (Fig. 5.2e, f). This compensation mechanism could function during development or evolution, or as a mechanism for recovery from abnormal experience or trauma in sensory circuits, in general.

The reduction in the strength of sideband inhibition in the auditory cortex of bats raised with abnormal echolocation experience may result from reducing the strength of synaptic inhibition, possibly through a loss of $GABA_A$ receptors from the synapse (Kilman et al. 2002), modulation of chloride transporter function (Vale et al. 2003), phosphorylation of $GABA_A$ receptors (reviewed in Mody 2005), changes in subunit composition of $GABA_A$ receptors (Ortinski et al. 2004), changes in the number of synaptic vesicles (Murthy et al. 2001), or other forms of presynaptic modulation of GABA release (Morales et al. 2002; Misgeld et al. 2007). Future studies will attempt to determine the cellular mechanisms underlying altered strength of surround inhibition.

Abnormal echolocation experience also results in the delay in the arrival time of LFI. How the timing of inhibition is altered by experience remains unclear. One possibility is that the strength and timing of inhibitory inputs are related. That is, a delay in arrival time may be caused by a reduction in the strength of the inhibitory input. Wu et al., (2006) showed that in the rat auditory cortex, the timing of inhibitory currents varied monotonically with the intensity of tones. Preliminary data from the Razak lab show that increasing the intensity of the inhibitory tones, with respect to the excitatory tones in the two-tone inhibition protocol, can cause significant

changes in the arrival times. Thus, a critical developmental event across sensory systems may be the matching of amplitudes of inhibitory and excitatory inputs.

Another possible mechanism for changes in the timing of inhibition and its effect on FM direction selectivity is spike-timing dependent plasticity (STDP). During the development of the pallid bat auditory system, the dominant-patterned input to neurons involved in echolocation, is likely to be downward FM sweeps. Consistent exposure to downward sweeps, with a small range of FM rates (rate of change of frequencies in the sweep), may cause neurons to favor inputs that are coactivated with the spectrotemporal relationships of inhibition and excitation naturally present in those sweeps (Engert et al. 2002). The slower FM sweep rates and the reduced high frequencies that the muted pups experience have a different spectrotemporal sequence compared to normal echolocation calls, and the coincident pre-synaptic events may not be driven by the same combination of inputs that drive neurons in the normal group. This would result in weakening of synapses established in an experience-independent manner and/or prevention of experience-dependent refinement (for detail, see Razak and Fuzessery 2007).

5.2.5 Role of Experience During Development: Maintenance Versus Refinement

Experience is typically thought to play an important role in the refinement of neural response selectivity in sensory systems. Our data suggest that experience can also be important for maintaining response selectivity that was originally created in an experience-independent manner. Few other studies have looked at the role of experience in the maintenance of response properties. In ferrets, blocking retinal activity after eye-specific segregation has occurred in the lateral geniculate nucleus causes desegregation (Chapman 2000), suggesting that activity is required for the maintenance of connectivity. We have shown that in the SC of hamsters, receptive fields refine in the absence of light during development (Carrasco et al. 2005). However, continued maintenance of the animals in the dark causes RF diameters to broaden, suggesting that light input is required for the maintenance of RF size. The reduction in surround inhibition in hamsters maintained in the dark is suggestive of a role for inhibitory mechanisms; and indeed, the blunted response to GABA agonist and antagonists in the dark-reared animals supports this interpretation (Carrasco and Pallas 2007, submitted).

Therefore, data from the visual and auditory systems together suggest that experience plays a key role in maintaining response properties in sensory systems, and often acts through modifications of inhibitory properties. One implication of these findings is that previous studies on the effects of sensory deprivation during early development on response properties in adults may have confused effects on refinement with effects on maintenance.

5.2.6 Future Directions

The hamster SC and pallid bat auditory cortex are suitable models to study the plasticity of inhibitory RF properties within a behaviorally relevant context. The findings reported here raise several key questions that need to be addressed in the future:

- 1. Although the presence of surround inhibition is common in visual system neurons, the development of spatiotemporal properties of surround inhibition and the role of corticofugal connections have not been widely studied.
- 2. To determine if the timing of inhibition is related to inhibitory synaptic strength, and why LFI is specifically delayed by altered echolocation experience, *in vivo* intracellular recordings are needed to elucidate the inhibitory and excitatory inputs at various sound frequencies in normal and muted pallid bats. These studies will provide clues about the synaptic mechanisms of response selectivity and plasticity in the auditory cortex.
- 3. The origin of plasticity observed in the auditory cortex is unclear. FM sweep selectivity is similar in the auditory cortex and inferior colliculus (IC) of the pallid bat. Whether changes in the cortex are inherited from the IC, or whether cortical changes influence the IC is not known.
- 4. An issue of considerable interest is the role of inhibition in establishing the critical period for experience-dependent circuit refinement. A certain threshold of tonic inhibition is required to trigger the onset of critical periods, and the onset can be advanced or postponed by manipulations of inhibition (Huang et al. 1999; Iwai et al. 2003). It must be noted that we have studied the development of phasic (stimulus driven) inhibition. It remains unclear how tonic and phasic inhibition interact during development to establish multiple critical-period windows.
- 5. Whether critical periods for plasticity of excitatory and inhibitory mechanisms are similar remains unclear.
- 6. Perhaps the most fundamental question about inhibitory plasticity is the underlying mechanism. While Hebbian and STDP-based mechanisms predict plasticity at excitatory synapses, it remains unclear whether they can explain plasticity at inhibitory synapses (but see Woodin et al. 2003 and Nugent et al. 2007). The synaptic mechanisms of inhibitory plasticity need to be addressed.

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Chapter 6 Postnatal Maturation and Experience-Dependent Plasticity of Inhibitory Circuits in Barrel Cortex

Qian-Quan Sun

Sensory experience drives the refinement of sensory maps in developing adult sensory cortices (Wiesel and Hubel 1974; Stryker 1978; Crair et al. 1998; Feldman and Brecht 2005). Tremendous progress has been made toward understanding the process of maturation of excitatory networks. Cortical inhibition has also been shown to play a vital role in the regulation of critical periods for sensory plasticity (Hensch 2005). However, it is unclear whether neocortical inhibitory networks exhibit experiencedependent postnatal maturation. In my laboratory, we employ the so-called "barrel cortex" (Woolsey and Van der 1970) that, represents the individual whiskers on the snout of rodents. The map exhibits plasticity throughout life, in that under- or overstimulation of a whisker is reflected by contraction or expansion, respectively, of the barrel representing it in the primary somatosensory cortex (Simons and Land 1987). This review focuses on the mechanisms underlying activity-dependent regulation of neocortical inhibitory circuits and the roles of inhibition in somatosensory cortical map plasticity during postnatal development. The focus will be placed on the following questions related to experience-dependent plasticity of neocortical inhibitory networks. (1) How do intrinsic and synaptic properties of inhibitory circuits in barrel cortex change during postnatal maturation? (2) How does sensory stimulation or deprivation affect the maturation of inhibitory circuits? (3) Does the maturation of neocortical inhibitory circuits proceed in an activity-dependent manner or do they develop independently of sensory inputs? (4) What are the molecular and cellular mechanisms that underlie the activity-dependent or -independent maturation of inhibitory networks?

To understand how barrel cortex plasticity happens at a synaptic level, a linkage has to be made between previous sensory experiences in vivo and intracortical synaptic plasticity recorded in vitro (Jiao et al. 2006). Changes in synaptic strength underlying cortical plasticity can be measured from interneurons in the GAD67-GFP mice, after they have been subjected to alterations in whisker experience during their early postnatal development (e.g. Figs. 6.1–6.3, see Simons and Land 1987).

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Fig. 6.1 Whole cell recording from interneuron expressing eGFP in barrel cortex of GAD67-GFP mouse. (a1) Barrels are visible in a TC slice. White arrowheads: barrel walls. (a2) EGFP expressing neurons are abundant in layers 4 barrels. (b1) IR-DIC image of a FS neuron. (b2) The same neurons visualized under fluorescent microscopy. *: a FS cell

In order to facilitate this approach, we have recently developed a method to obtain dual recordings between excitatory and GAD-GFP-labeled inhibitory neurons in rodent barrel cortex (Jiao et al. 2006; Sun et al. 2006). Individual barrels representing deprived and non-deprived whiskers can be identified in a cortical brain slice preparation, permitting an analysis of the development of excitatory and inhibitory synaptic connections and the underlying synaptic mechanisms that control communication between specific neuronal pairs in vitro (Figs. 6.1 and 6.2). Furthermore, the cortical changes attributable to the selective stimulation of individual whiskers can be investigated.

6.1 Postnatal Maturation and Plasticity of Electrical Properties of Interneurons in the Barrel Cortex

6.1.1 Postnatal Maturation of Electrical Properties in Neocortical Interneurons

Based on electrical properties, interneurons can be separated into three broadly defined groups termed regular spiking (RS), bursting (BS) and fast-spiking (FS) (Connors et al. 1982; McCormick et al. 1985); (Wang et al. 2002; Wang et al. 2004). BS cells



Fig. 6.2 Experimental paradigms for experience dependent plasticities in the barrel cortex. (a) Experimental procedure for studying experience-dependent plasticity in barrel cortex. (b) Image showing tangential section of layer IV of a flattened barrel cortex. WT was performed for row-D. Sites of intracellular recording and extra cellular stimulation in a thalamocortical brain slice across the barrel field. WT: Whisker trimmig

have also been named low-threshold spiking cells (LTS) (Kawaguchi et al. 1995; Xiang et al. 1998). In addition, there is a subset of FS interneurons that has been characterized as 'irregular spiking, or stuttering' (Ma et al. 2006). Interneurons that are involved in experience-dependent plasticity are likely to be a key component of sensory processing circuits because they modulate temporal and spatial properties of sensory-mediated cortical activities. Agmon and colleagues have shown that diverse groups of interneurons, including both FS and RS inhibitory cells, fired on thalamocortical (TC) stimulation (Porter et al. 2001). They also reported that the characteristic firing patterns of cortical interneurons seen in adults are absent in neonates. In earlier years, David Prince and colleagues documented the electrical properties of immature neocortical neurons of rats. They found that immature cells (including interneurons) have more positive resting potentials, lower spike amplitude and longer spike duration, higher input resistance, and longer membrane time constants (McCormick and Prince 1987; Kriegstein et al. 1987; Luhmann and Prince 1991). Recent studies have focused on developmental changes in specific interneuronal subtypes, as described next.

6.1.1.1 Maturation of FS and RS-Type Firing Phenotypes

Massengill et al. (1997) reported that RS and FS cells are derived from immature multiple-spiking (IMS) neurons. They found that increased expression of the Kv3.1



Fig. 6.3 Developmental maturation of GABAergic transmission in barrel cortex layer IV. (a) Schematic graph showing paired recordings from SS–FS pair, note that the inhibitory synaptic boutons (from a single basket cell) on a spiny neuron outnumber the glutamatergic boutons from a single spiny neuron on the basket cell (see Sun et al. 2006). (b) Paired recording from a SS–FS pair at P10 (b1) and P20 (b2), uIPSCs elicited by a trin of spikes in the FS neuron show short-term plasticity (b1: paired-pulse facilitation [PPF] at P10; b2; paired pulse depression [PPD] at P21), APs: action potentials. (c1) Relationship between prired pulse ration (PPR) and the inter-spike interval in young (P10, open circles) and older (P21, filled circles) animals, (c2) uIPSC conductance recorded from spiny neurons showed developmental maturation

gene contributes to the maturation of electrical phenotypes of FS cells. Other important genes in the maturation of FS firing phenotypes are the Kv3.2 group (Lau et al. 2000). In Kv3.2 knock-out mice, the ability to fire spikes at high frequencies was impaired (Lau et al. 2000). These two studies demonstrated that changes in the

expression level of distinct Kv3 channels contribute to postnatal maturation of the electrical properties of FS cells.

6.1.1.2 Maturation of BS or LTS Firing Phenotypes

Interneurons with BS or LTS firing patterns were not recorded in barrel cortex of juvenile animals (Ali et al. 2007), indicating a late maturation of these cells. In a recent study, Connors and colleagues (Long et al. 2005) reported that the synchronous firing among LTS cells was absent at postnatal day 12 (P12) but appeared abruptly shortly after P12. Because developmental transformation of LTS cells into a synchronous, oscillatory network overlaps with the onset of active whisker exploration, Connors and colleagues suggested that there is a potential role for this synchronizing system in development of sensory processing (Long et al. 2005).

6.1.2 Increases in Dendritic Gap Junction (GJ) Coupling During Postnatal Maturation

FS cells form GJ coupled networks (Galarreta and Hestrin 2002; Gibson et al. 2005). Recently, anatomical studies have shown that the GJs are located discretely in the dendrites (Liu and Jones 2003; Fukuda et al. 2006). Connors and colleagues proposed that due to their low pass filtering electrical properties of the GJ, the main functional role for GJs is to effectively propagate small, slow signals, such as after-hyperpolarizations, burst envelopes, or subthreshold oscillations (Mancilla et al. 2007). In barrel cortex, as well as in other neocortical regions, different connexin (Cx) isoforms show distinct maturation patterns. Between postnatal days 0–28, Cx43 and Cx32 expression increases exponentially, whereas Cx26 expression peaks at around P14 (Nadarajah et al. 1996; Nadarajah and Parnavelas 1999; Montoro and Yuste 2004). Overall, the increased expression level of Cx during the second postnatal week of highly enhanced excitatory activity and critical developmental events is consistent with the need for recruitment of interneuronal networks by TC activity and the promotion of spike synchronization in spiny stellate neurons (Sun et al. 2006).

6.1.3 Experience-Dependent Maturation of Electrophysiological Properties of Inhibitory Interneurons

Simons and Land first showed that sensory experiences are crucial for forming normal response properties of single neurons in the adult barrel cortex (Simons and Land 1987).

Simons and colleagues later reported that the firing rates of FS cells are also modified by sensory experiences in vivo. FS inhibitory cells fire less robustly when by whiskertrimming is performed early in life and the re-grown whiskers are stimulated (Lee et al. 2007). They pointed out that it is unknown whether the reduction in sensory-induced layer IV FS interneuron firing is due to changes in intrinsic firing properties, such as increased firing threshold (Barth et al. 2004; Lee et al. 2007), or to synaptic changes, such as reduced intracortical inhibition (Jiao et al. 2006). So far, it remains unclear whether maturation of intrinsic properties of specific interneuron classes undergoes experience-dependent or independent (or both) change. Recently, the term 'intrinsic plasticity' has been used to describe changes in intrinsic firing properties. We have recently been conducting research in this area and our preliminary data indicate that the intrinsic plasticity is cell-type specific, i.e. while FS exhibited intrinsic plasticity in response to whisker trimming, intrinsic properties of RSNP cells does not (Sun QQ, unpublished observations).

6.2 Postnatal Maturation of Intracortical Inhibitory Synaptic Transmission in the Barrel Cortex

In addition to being the major inhibitory neurotransmitter, GABA is thought to play a morphogenetic role in embryonic development. The role of GABA as a trophic factor during neurogenesis at early embryonic stages has already been reviewed in a number of excellent articles (Varju et al. 2001), and thus I only focus on the role of GABA in circuit formation in barrel cortex.

6.2.1 Early Postnatal Development of the GABA System and its Role in Circuit Formation in the Barrel Cortex

6.2.1.1 Synthetic Enzymes for GABA Exhibit Different Expression Patterns

Distinct genes encode two isoforms of the GABA-synthesizing enzyme glutamic acid decarboxylase (GAD: GAD65 and 67). In the barrel cortex, the distribution of GAD65 and GAD67 in the early circuit formation period and the late experience-dependent circuit refinement stage shows a different pattern. Jones and colleagues reported that GAD67 mRNA was highest in layer I at birth and developmentally upregulated in other layers shortly after birth (Golshani et al. 1997). Kiser et al. (1998) showed that between P3 and P6 GAD67-IR coincide with the barrel pattern in layer IV, this pattern are maintained throughout the postnatal period to adulthood. Similar results have also been reported in another study showing that the appearance of GAD67 slightly precedes the onset of barrel

formation (Rice and Van der 1977). Thus the enhanced expression of GAD67 from P3-P6 through P9 coincides with the formation of barrels and early critical periods of structural plasticity. In contrast, development of GAD65-IR was delayed relative to GAD67. GAD65-IR, which was scarcely evident before P6, increased markedly in density within cell bodies over the next several weeks. During this prolonged developmental process, GAD65-IR first formed a negative image of the barrels. Later, GAD65-IR was distributed uniformly across layer IV (Kiser et al. 1998a). Based on the above results, Mower and colleagues suggested that the developmental maturation of the barrel cortex involves the following steps: the disappearance of an early GAD67 pattern, mature GAD67 system take over in an inside-outside fashion, and a delayed and prolonged expansion of the GAD65 system (Kiser et al. 1998). Overall, the spatiotemporal differences in postnatal expression of the two GAD isoforms in the barrel cortex indicate different roles of GAD isoforms in early circuit formation and late circuit maturation. It is unclear whether the roles of GAD65 and GAD67 in barrel circuit formation are related to their distinctive contribution to cellular and synaptic GABA levels, respectively.

6.2.1.2 GABA-Mediated Synaptic Transmission in the Early Postnatal Period

I present studies focused on the spatiotemporal relationships between GABA, GAD, GABAR and barrel formation. I will also use this approach to answer questions raised in Sects. 2.2 and 2.3. In barrel cortical neurons from neonatal mice, GABA-mediated IPSPs are recorded as early as postnatal days 0-2. However, the immature postsynaptic potentials (PSPs) are very different from mature IPSPs in reversal potential and latency (Agmon et al. 1996). During postnatal brain development, the reversal potential for GABA,-mediated responses is shifted from -46 mV (postnatal day 0) to -82 mV (>postnatal day 12) (Owens et al. 1999). The upregulation of a K⁺-Cl⁻ coupled co-transporter (KCC₂) is primarily responsible for this shift (Rivera et al. 1999). The patterns of gene expression for the $\alpha 1$, $\alpha 2$, $\alpha 4$, $\alpha 5$, $\beta 1$, $\beta 2$, and $\gamma 2$ subunits of mRNAs of GABA_A receptors have also been studied. The $\alpha 1$, $\beta 2$, and $\gamma 2$ subunit mRNAs were highly expressed in the dense cortical plate at birth and increased substantially with age, especially in deep layers (Golshani et al. 1997). Together with the electrophysiology studies, these results suggest that the GABA synthesizing enzymes, specific GABA, receptors, and GABA-mediated synaptic potentials coexist prior to the formation of visible barrels. Furthermore, the expression of GAD67 and GABA, receptors showed barrel-like patterns and co-regulated with the barrel formation during development in a similar manner. Therefore, GABA and its GABA,-mediated depolarizing signals may play a role in the early formation of barrel circuits, however, a causal relationship between GABA and barrel formation is yet to be established.

6.2.2 Late Postnatal and Experience-Dependent Maturation of Inhibitory Circuits in the Barrel Cortex

6.2.2.1 Presynaptic Maturation

Experience-dependent synaptic plasticity requires precise timing between pre and postsynaptic excitatory cortical neurons (Feldman and Brecht 2005). Intracortical inhibition promotes the temporal precision of information relay by shunting recurrent cortical excitation. This idea is supported by recordings in vivo in the somatosensory and other sensory cortices (Moore and Nelson 1998; Kelly et al. 1999; Bruno and Simons 2002). To serve a role in experience-dependent plasticity of neural circuits, the weight of inhibitory synapses must be regulated during postnatal period. Indeed this is the case, for example, enhancing whisker activity increases the number of GABAergic synapses formed on dendritic spines (Knott et al. 2002). On the other hand, regulation of NMDA receptor subtype composition has no effect on the critical period for barrel formation (Lu et al. 2001a). In the barrel cortex, GAD65 expression increases late in the critical period (Kiser et al. 1998b). Together, these evidences support GABA's role in the refinement of barrel structure. Additional experiments that thoroughly examine the roles of GABA in barrel plasticity are necessary for a more complete understanding of the roles of inhibition in cortical development.

6.2.2.2 Postsynaptic maturation

In an in situ hybridization study, GABA_A receptor subunits (α 1, β 2, β 1 and γ 2) increased substantially with age in the barrel circuits (Golshani et al. 1997). A patch clamp study by Agmon and colleagues also noted an increase in conductance of evoked GABA_A PSPs in the first postnatal week (Agmon et al. 1996). We (Jiao et al. 2006) have recently found similar results, in addition, we showed that the presynaptic properties (e.g. quantal content and paired-pulse properties) of IPSCs of immature (P7) neurons are different from mature cells (P30, cf. Fig. 6.3).

6.2.2.3 Experience-Dependent Postnatal Maturation

Simons and Land first reported that functional plasticity is a fundamental aspect of cortical development in barrel cortex (Simons and Land 1987). In a subsequent study, Woolsey, McCasland and colleagues reported important role of afferent sensory activities in the structural maturation of cortical circuits (McCasland et al. 1992). They found that local cortical axons (excitatory and inhibitory) do not mature after early deafferentation. Recent studies focused specifically on GABAergic transmission. Sun et al. (Sun et al. 2006) studied intracortical inhibitory transmission onto spiny stellate cells in rat TC slices. We reported that unitary conductances

of IPSCs produced by a single FS cell are about 10 times larger than unitary conductances of excitatory neurons and are 10 nS in P20-P35 animals (Sun et al. 2006). Interestingly, in sensory-deprived mature barrel cortex, the properties of evoked and miniature IPSCs in mature brain are similar to those recorded in immature brain (e.g. Fig. 6.3) (Jiao et al. 2006; Sun et al. 2006). In summary, these results suggest that GABAergic synaptic transmission undergoes rapid developmental maturation and that this process is fine tuned by sensory experience.

6.2.3 Interneurons involved in sensory feed-forward inhibition in the barrel cortex and the consequences of their functional maturation to network processing

Strong and reliable unitary feed-forward inhibition onto excitatory neurons in layer IV serves to effectively "shunt" recurrent excitation and preserve discrete signaling in cortical networks (Castro-Alamancos 2000; Wilent and Contreras 2005; Cruikshank et al. 2007). Swadlow and colleagues were the first to propose that FS interneurons are major candidates for providing feed-forward inhibition (Swadlow 2002, 2003). Using paired recording techniques in thalamocortical (TC) slices, we (Sun et al. 2006) tested Swadlow's proposition by examining interactions between synaptically connected excitatory and inhibitory neurons in layer IV of barrel cortex. We demonstrated that small clusters of FS cells can be reliably and precisely activated by TC inputs and provide feed-forward inhibition onto excitatory neurons (Sun et al. 2006). Connors and colleagues elucidated the synaptic mechanisms underlying selective activation of layer IV FS interneurons (Cruikshank et al. 2007). They found that synaptic mechanisms are responsible for the greater responsiveness in interneurons vs. excitatory cells. As a result, response properties of excitatory neurons correlate well with sensory inputs and thus allow spike-timing dependent plasticity. In the neonate, GABA is depolarizing and believed to have a different role than in adults. How does the transformation of the functional role of inhibitory GABAergic transmission occur in barrel cortex? Issac and colleagues (Daw et al. 2007) showed that the $GABA_A$ receptor conductance is depolarizing in neonates (postnatal days 3-5), but GABAergic transmission at this age is not elicited by TC input and has no detectable circuit function. However, recruitment of GABA synapses occurs at the end of first postnatal period as a result of coordinated increases in TC drive to FS cells. Thus, GABAergic circuits are not engaged by TC input in the neonate, but are abruptly involved in the feedforward inhibitory circuit at the end of the first postnatal week (Daw et al. 2007). Surprisingly, this transformation occurs apparently coincidentally within the time window of the disappearance of silent synapses (i.e. synapses only exhibiting NMDA receptor-mediated responses) and the critical period for TC dependent long-term glutamatergic synaptic plasticity (Feldman et al. 1999). A logic step toward future investigation is to understand how such an abrupt maturation occur at specific GABAergic cells.

6.3 Does the Maturation of Neocortical Inhibitory Networks Proceed in an Activity-Dependent Manner or Independently of Sensory Inputs (or Both)?

As in visual cortex, early postnatal sensory experiences are crucial for forming mature functional cortical circuits in the barrel cortex (McCasland et al. 1992). In the visual cortex, deletion of synaptic GAD (i.e. GAD65) can abolish critical periods (Hensch and Stryker 2004; reviewed by Hensch 2005). How does inhibition contribute to the initiation and closure of the neocortical critical periods? A very compelling hypothesis about the role of inhibition in the initiation and closure of critical periods is that it can modulate Hebbian-type plasticity (Hebb 1955) by enhancing correlative neuronal firing among adjacent cells and anti-correlative firing in distal cells (Hensch and Stryker 2004). To serve this role, i.e. modulating the spike-timing and lateral spread of excitation, the strength of inhibitory synapses needs to be developmentally regulated as well. Prior to the closure of neocortical critical periods, TC and intracortical glutamatergic synapses undergo drastic morphological, molecular and functional changes (Feldman et al. 1998). Disturbances in the balance of excitation and inhibition in the neocortex induce cortical epileptic seizure (Prince 1999). Therefore, a key requirement for the maturation of sensory cortices, based on a Hebbian-rule, is that excitation and inhibition must be delicately balanced to achieve appropriate functioning at the level of local cortical microcircuit.

6.3.1 Experience-Dependent Plasticity of GABAergic Circuits in the Barrel Cortex

In the barrel cortex, there is considerable evidence suggesting that the amount of inhibitory neurotransmitter (GABA), GABA receptors, and the number of GABAergic synapses are correlated with levels of neuronal activity (Micheva and Beaulieu 1997; Jiao et al. 2006; Knott et al. 2006). Here, I review studies focused on the effects of whisker trimming or stimulation on inhibitory circuits of the barrel cortex.

6.3.1.1 Sensory Deprivation (Whisker-Trimming)

In vivo electrophysiological studies: In an earlier study, it was shown that whisker removal produces immediate disinhibition in the neighboring whisker barrels (Kelly et al. 1999b). In more recent studies, Simons and colleagues examined how this process is regulated in cortices representing the trimmed whiskers. They reported that excitatory neurons in deprived barrels displayed higher spontaneous firing rates, more robust responses to whisker stimulation, and weaker inhibitory interactions between neurons representing neighboring whiskers (Simons and Land 1987;

Silberberg et al. 2004). In contrast, recordings from FS neurons indicate that these cells fire less robustly under the same conditions (Shoykhet et al. 2005; Lee et al. 2007). More intriguingly, the deprivation effects persist even after months of whisker re-growth, (Shoykhet et al. 2005). These result suggests that whisker-dependent structural alterations may have occurred in cortical circuits during postnatal developmental period. Similar effects (i.e. disinhibition) are seen in deafferented developing visual and auditory centers (Pallas et al. 2006; Razak and Pallas 2006). Simons and colleagues proposed that the contrasting effects in excitatory and inhibitory neurons may reflect altered patterns of TC input to excitatory versus inhibitory cells or changes in the strength of intracortical connections.

In vitro electrophysiological and neuroanatomical studies: In an earlier study on barrel cortex in rats, Micheva and Beaulieu showed that unilateral whisker trimming induces highly selective changes in cortical GABA circuitry of both hemispheres (Micheva and Beaulieu 1995). As indicated earlier in this chapter (Figs. 6.1–6.3), brain slice preparations allow a linkage to be made between synaptic properties recorded *in vitro* and previous sensory experiences *in vivo*. Using this approach, we (Jiao et al. 2006) showed that row D whisker trimming begun at P7, but not after P15, induced a reduction in the number of inhibitory perisomatic varicosities, and reduced synaptic GAD65/67 immunoreactivity in spiny neurons of the deprived barrels (Fig. 6.4). Patch-clamp recording from



Fig. 6.4 Perisomatic GABAergic innervation of excitatory neurons by basket cells is modified by sensory experience in vivo. A reconstructed basket cell (FS type) recorded from barrel cortex layer IV (Q.Q., Sun). The location of the FS cells in the barrel (*cylinder*) is shown. Note that the axons (*gray*) of the basket cell are predominantly confined within the barrel. Perisomatic GABergic synaptic contacts (*white circles* and digitally enhanced micrograph in the lower right corner) were formed in the some area of the principal neuron (a star pyramidal neuron, *black triangly*). Whisker trimming induced reduction of the number of perisomatic boutons (two micrographs on the right)



Fig. 6.5 Effects of sensory deprivation on inhibitory synaptic transmission on spiny neurons. (a1) IPSCs were recorded in a spiny newron located in the 'deprived' row. the IPSCs were evoked by an adjacent extracellular stimulating electrode. (a2) The amplitudes of the second evoked IPSCs were plotted against the amplitudes of the first IPSCs. Solid line: linear regression fit. (b1) IPSCs were evoked in a spiny neuron located in a 'spared' row. (b2) Scatter plot of the amplitudes of IPSC1 vs. IPSC2. Solid line: linear regression fit. CV: Coefficient of Vairance for the evoked IPSCs (first evoked IPSCs, second evoked IPSCs). This figure was modified from Jiao et al. 2006

spiny cells showed a 1.5-fold reduction of intracortical evoked IPSCs (eIPSCs) in deprived versus spared cortices (Fig. 6.5). The reduction in eIPSCs occurred via changes in presynaptic properties (i.e. quantal content, paired pulse ratio and synaptic numbers) and unitary IPSC amplitudes (Fig. 6.5). Miniature IPSCs showed subtle but significant differences in the quantal amplitudes between the two experimental conditions. In addition, properties of the IPSCs in deprived barrels of adults resembled those of IPSCs recorded in immature brains (P7). We concluded that the perisomatic inhibition mediated by PV-positive basket cells is pruned by sensory deprivation (Jiao et al. 2006). In addition, the dendritic GABAergic synapses were reduced in number with sensory deprivation (Micheva and Beaulieu 1995). Together, these results strongly suggest that the properties of local intracortical inhibitory networks are modified by sensory experience.

6.3.1.2 Whisker Stimulation

Combining high-resolution 2-deoxyglucose (2DG) and immunohistochemical staining for GABA specific antibodies, McCasland and Hibbard (1997), McCasland et al. (1997) reported that putative inhibitory neurons in barrel cortex of behaving animals are much more heavily labeled than presumed excitatory cells. This metabolic activation is dependent specifically on sensory inputs from the whiskers, because acute trimming of most whiskers greatly reduces 2DG labeling in both cell classes in columns corresponding to trimmed whiskers (McCasland and Hibbard 1997; McCasland et al. 1997). In addition, the same group has reported that PV cells were metabolically more active than other interneurons (Maier and McCasland 1997). In a histological study, Knott et al. (2006) reported that chronic stimulation of a mystacial whisker follicle induces structural and functional changes in layer IV of the corresponding barrel. The changes include insertion of new inhibitory synapses onto spines in an excitatory cell and a depression of neuronal firing rate to the stimulated whisker. In another anatomical study, active whisking was found to accelerate the appearance of mature inhibition (Kiser et al. 1998a). Welker and colleagues (Quairiaux et al. 2007) analyzed how sensory responses of single units are affected in different layers of the stimulated and adjacent barrel columns. They reported that an increased inhibition within the stimulated barrel, a reduction of flow of excitation toward superficial layers and reduction of subsequent spread of excitation toward adjacent columns (Quairiaux et al. 2007). The opposing effects of whisker stimulation (Knott et al. 2006; Quairiaux et al. 2007) compared to whisker trimming (Shoykhet et al. 2005; Jiao et al. 2006) on strength of intracortical inhibitory networks suggest that the strength of intracortical inhibition is fine tuned to balance the amount of intracortical excitation during the critical periods of postnatal development. Disturbances in the activity pattern shift the balance of inhibition and excitation to facilitate the functional and structural lateral intracortical re-organization.

6.3.2 Activity-Independent Maturation and Plasticity of GABAergic Circuits

Activity-independent mechanisms regulate mainly postsynaptic aspects of network maturation. In addition to clearly defined activity-dependent processes that underlie GABAergic maturation described above, activity-independent plasticity has been reported in sensory cortices by determining what aspects of maturation occur despite deafferentation. In the barrel cortex, the density of GABA_A receptors is reduced in layer IV following complete loss of peripheral afferent input. However, less severe tactile deprivation had little or no effect on GABA_A receptor distribution (Land et al. 1995). In a similar study, Fuchs and Salazar (1998) reported that intact whisker input is not required for the developmental increase in GABA(A) receptors.

These results are similar to a result obtained in the visual cortex, where a lack of extrinsic input to the visual cortex does not affect the overall developmental regulation of synaptic functioning of GABA, receptors (Heinen et al. 2004). In a few studies, both activity-dependent and -independent mechanisms were shown to contribute to GABAergic maturation. Itami et al. (2007) reported that the characteristic electrophysiological properties of FS cells were underdeveloped or did not appear at all in BDNF(-/-) mice. Similar results have been reported in the visual cortex, where over-expression of BDNF promotes the maturation of GABA transmission in the absence of activity (via dark rearing) in the visual cortex (Gianfranceschi et al. 2003) and other cortical regions (see reviews by Lu et al. 2005; Woo and Lu 2006). Thus, neurotrophic factors such as BDNF appear to regulate the maturation of the GABAergic system in an activity-independent manner. However, the transcription of BDNF gene is controlled by four promoters, which drive the expression of four transcripts coding for the same protein. Promoter-IV mediates activity-dependent BDNF transcription. It remains unclear how these different transcriptional components contribute to the total effects of BDNF during postnatal cortical maturation. Future studies, using refined molecular approaches to selectively silent a specific BDNF transcriptional pathway (e.g. Promoter-IV) will help to determine whether BDNF acts in parallel with or mediates the activity-dependent regulation of cortical circuits in vivo (Lu B and Sun OO, unpublished observations. Review by Lu 2003). In summary, a thorough understanding of postnatal maturation process requires not only knowledge of how these different components (pre- vs. postsynaptic) of the GABAergic system change during maturation, but also how they interact with a variety of environmental factors and neurotrophic factors.

6.4 Molecular Mechanisms Underlying Experience-Dependent Plasticity of Inhibitory Circuits in the Barrel Cortex

6.4.1 The Roles of Metabotropic and Ionotropic Glutamate Receptors

6.4.1.1 N-Methyl-D-Aspartate Receptors (NMDARs)

Like experience-dependent plasticity in excitatory networks, NMDARs appear to play an important role in the plasticity of GABAergic synapses. However, direct evidence linking sensory-specific activation of NMDARs with maturation of specific GABAergic circuits is lacking. The cellular mechanisms by which NMDARs regulate GABAergic synapses also appear to differ from those observed in excitatory synapses, in that their actions may take place in presynaptic terminals (Fiszman et al. 2005). In the developing Xenopus retinotectal system, repetitive stimulation of the optic nerve induces LTP of excitatory inputs, but LTD of inhibitory inputs (Lien et al. 2006). The LTD is due to a reduction in presynaptic GABA release and requires activation of presynaptic NMDARs and simultaneous high-level GABAergic activity.

Thus, the presynaptic NMDAR may function as a coincidence detector for adjacent glutamatergic and GABAergic activities, leading to coordinated synaptic modification by sensory experience. In the barrel cortex, in a few studies in which NMDA receptor subunits were knocked out NR1, (Iwasato et al. 1997; Iwasato et al. 2000); NR2A, (Lu et al. 2001), it was shown that intact cortical NMDARs are essential for the aggregation of layer IV cells into barrels and for the development of the full complement of TC patterning, however, there was no effect of a loss of NR2A on the critical periods in barrel cortex (Lu et al. 2001). It remains to be determined whether there is any contribution of specific NMDA receptors to the experience-dependent plasticity of inhibitory cortical networks. To achieve this goal, the next step involves characterization of NMDARs in developing interneurons, for example, developmental switch of NMDAR subunits (e.g. NR2A, NR2B) has been documented in excitatory neurons, whether a similar switch exists in specific cortical interneurons remains to be determined.

6.4.1.2 Metabotropic Glutamate Receptors (mGluRs)

In a recent study (Liu et al. 1998), mGluR_{1a}, mGluR₅, and mGluR_{2/3} were found to be concentrated in layer IV of barrel cortex, particularly in the barrel hollows. This pattern peaks between P4 and P9, a time when intense NMDAR,-IR was also present (cf. (Rema and Ebner 1996)). This finding supports the involvement of mGluRs in the developmental plasticity of TC synapses during the establishment of the somatotopic whisker maps in SI. In addition, an interaction between mGluRs and NMDARs has been demonstrated (Liu et al. 1998). A key component of this interaction may result from synergistic changes in intracellular calcium signaling. For example, mGluRs, via the phospholipase C-b1 (PLC-b1) signaling pathway, regulate intracellular calcium signaling. Indeed, in both PLC-b1 and mGluR, knockout mice, barrel formation was disrupted (Spires et al. 2005). Expression of several mGluR isoforms has been reported in GABA releasing interneurons in neocortex (Baude et al. 1993; Lujan et al. 1997; Dalezios et al. 2002). However, the exact role of specific mGluRs in regulation of sensory-dependent plasticity of inhibitory circuits remains to be determined. In a recent study (Sun et al. 2009), our group investigated cell specific expression and modulation by mGluRs. We found that whereas activation of group I, II and III mGluRs inhibited glutmatergic transmission in RSNP interneurons, group I mGluR activation depolarizes FS cells only. Thus, there are cell-type and circuit specific roles for mGluR in modulation and plasticity of inhibitory circuits.

6.4.2 Transcriptional Factors and Maturation of Inhibitory Circuits

Activity-dependent signaling pathways induce neuronal gene transcription by modulating transcriptional activators and repressors that are important for neuronal survival and differentiation, synaptogenesis, and plasticity (West et al. 2002). It is
now generally agreed upon that activity – transcription coupling is an important step leading to permanent plastic changes in neuronal structure and function. Recent work has shown that sensory information processing is accompanied by the induction of several transcription factors in the barrel cortex. Using in situ hybridization, several groups investigated the effects of whisker stimulation in freely moving rats on the expression of immediate-early genes in the barrel cortex. These studies have consistently reported enhanced *zif* 268 and *c-fos* expression that was largely restricted to radial columns across the barrels representing the stimulated whiskers, especially in layer IV. They reported that the majority of activated cells are excitatory, however, GABAergic interneurons were also activated (Filipkowski et al. 2000, 2001; Staiger et al. 2002). A number of studies also indirectly addressed the issue of activation of transcriptional factors and maturation of inhibitory circuits. For example, BDNF has been reported to be critical for the development of cortical inhibitory neurons. In a recent gene expression profiling study using oligonucleotide microarrays performed in cortical tissue from mice with inducible deletions of BDNF, Glorioso et al. (Glorioso et al. 2006) studied the role of BDNF in the expression of transcripts whose protein products are involved in GABA transmission. In this study, loss of BDNF in both embryonic and adult stages gave rise to many shared transcriptome changes. BDNF appeared to be required to maintain gene expression in the SST-NPY-TAC1 subclass of GABA neurons. They have observed BDNF-dependent alterations in genes encoding early-immediate genes (ARC, EGR1, EGR2, FOS, DUSP1, DUSP6) and critical cellular signaling systems (CDKN1c, CCND2, CAMK1g, RGS4) (Glorioso et al. 2006). However, it is unclear which component of these BDNF-dependent gene expression changes is involved in the activity-dependent transcriptome changes underlying experience-dependent plasticity of barrel inhibitory circuits.

6.4.3 The Roles of GABA and GAD

 $GABA_A$ agonist infusion in visual cortex *in vivo* restores critical period formation in GAD65 knockout mice (Fagiolini et al. 2003; Hensch et al. 1998). Suppressing GABA reuptake or applying GABA_A agonist in cultured cortical neurons can rescue cell autonomous deficits in axon branching and synapse formation (Chattopadhyaya et al. 2007). These studies indicate that GAD-mediated GABA synthesis regulates the formation of inhibitory synapses in pyramidal neurons. In the barrel cortex, GAD expression decreases during deprivation (Akhtar and Land 1991) and increases following sensory stimulation (Welker et al. 1989), consistent with the idea that GABA and its enzyme GAD is actively involved in activity-dependent maturation of inhibitory circuits. Because the whisker trimming-induced plasticity occurs in the second to fourth postnatal weeks, the GABA_A-mediated currents during this period are already hyperpolarizing. How GABA contributes to the activation of transcriptional regulation remains to be determined.

6.5 Concluding Remarks

Studies carried out in the barrel cortex complement the progress made in the auditory and visual systems. They have provided additional new insights into the role of inhibition in remodeling neural circuits in neocortical layer IV and II/III and the role of sensory experience in the maturation of GABAergic networks. The following paragraph is a biased summary of major progress made in this regard and the remaining issues that need to be addressed in future studies. (1) Inhibition plays a critical role in the experience-dependent refinement of cortical circuits. However, the mechanisms underlying the maturation of inhibitory networks and plasticity at GABAergic synapses have remained elusive. Current evidence indicates that, like the maturation of excitatory circuits, synaptic reorganization by elimination and strengthening also occurs at GABAergic synapses. However, it is largely unknown how this process is regulated (other chapters address this issue). For example, in the barrel cortex, it is unknown whether there is a critical period for experience-dependent regulation of inhibitory synapses. Information on the role of experience in the maturation of inhibitory networks in the supragranular layers (II/III) and layer V is not available. (2) While inhibition is known to be critical for visual cortex reorganization, much less is known about how sensory experience modifies the structure and function of inhibitory networks themselves. Recent studies (Micheva and Beaulieu 1997; Jiao et al. 2006; Knott et al. 2006) using the barrel sensory system and examining the effects of sensory deprivation or enhancement suggest that the strength of intracortical inhibition is fine tuned to balance the amount of intracortical excitation during the critical periods of postnatal development. Disturbances in the activity pattern shift the balance of inhibition and excitation to facilitate the functional and structural intracortical re-organization. However, information about mechanisms underlying the experience-dependent plasticity of inhibitory circuits is still sketchy at this point. Although several key players has been identified (e.g. BDNF, NMDA receptors, mGluRs, and GABA), how these different players act in concert to modulate the experience-dependent plasticity that occurrs in vivo is unknown. (3) At the network level, the consequences of reduced intracortical inhibitory synaptic transmission upon sensory deprivation to the columnar propagation of sensory-mediated activities have not been completely understood. Implementation of computational simulations, which can incorporate results obtained in electrophysiological studies, can lead to a better understanding about network mechanisms. (4) Finally, a more direct way to assess the roles of GABA in promoting the maturation of barrel circuits would be to manipulate the level of GABA within circuits. This could be done using a combination of pharmacological means and genetic manipulation of GAD. Such experiments would yield important insights into the role of GABA in the formation and plasticity of barrel circuits.

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Most of work dealing with the properties of excitatory neurons and excitatory synapses in the barrel cortex could not be cited here due to the focus of this book on the GABAergic system. I apologize to my colleagues for such necessary omissions.

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Chapter 7 GABAergic Transmission and Neuronal Network Events During Hippocampal Development

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7.1 Introduction

Until the middle of the last century, serious doubts were frequently expressed concerning the capability of the central nervous system (CNS) to produce endogenously generated patterns of activity, such as those postulated by the early Darwinian student of animal physiology and behavior, Thomas Henry Huxley. In his delightful book on the crayfish as a model organism in biology, Huxley (1891) writes

If the nervous system were a mere bundle of nerve fibres extending between sensory organs and muscles, every muscular contraction would require the stimulation of that special point of the surface on which the appropriate sensory nerve ended. The contraction of several muscles at the same time, that is, the combination of movements towards one end, would be possible only if the appropriate nerves would be stimulated in the proper order, and every movement would be the direct result of external changes. The organism would be like a piano, which may be made to give out the most complicated harmonies, but is dependent on the depression of a separate key for every note that is sounded. But it is obvious that the crayfish needs no such separate impulses for the performance of highly complicated actions. ... To carry the analogy of the musical instrument further, striking a single key gives rise, not to a single note, but to a more or less elaborate tune; as if the hammer struck not a single string, but pressed down the stop of a musical box

Huxley's musical box obviously produces "motor tunes" (or "motor melodies"), which in current terminology would be synonymous to central pattern generators that produce motor programs. However, endogenous ("self-organized") activity with a core structure that is shaped without a role for momentary sensory input was viewed as something mysterious, and the mainstream behaviorist Zeitgeist sought to explain all kinds of CNS activity (not only movement related) as "chain reflexes" (Clower 1998), which corresponds to Huxley's piano. However, subsequent empirical

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K.K. Kaila(⊠) Department of Biosciences and Neuroscience Center, University of Helsinki, POB 65FIN-00014, Helsinki, Finland e-mail: Kaila@Helsinki.Fi work carried out on the motor systems of invertebrates and vertebrates provided unequivocal evidence to support the conclusion that central pattern generators do exist (Hinde 1970; von Holst 1935, 1954; Wiersma and Ikeda 1964; Grillner 2006; Grillner and Zangger 1975). Later on, the concept of endogenous or spontaneous activity has conquered a much wider scope, and so-called "self-organized" patterns of activity have been extensively described in both the developing and adult CNS (Grillner 2006; Buzsaki 2006; Vanhatalo and Kaila 2006). Here, the term selforganized includes complex events generated and shaped by a neuronal network in the absence of any input, or in response to some information-poor stimulus (e.g., a brief phasic "trigger" input; to a tonic temporally non-patterned input; or to a transient relief from inhibition).

Much of the experimental work on endogenous pattern generation relied on experiments where incoming sensory input was blocked by deafferentation (Hamburger 1963; von Holst 1935, 1954). In this respect, demonstrating the presence of endogenous activity is more straightforward in the immature than in the mature CNS, especially if conclusive experiments are to be done in vivo. This is because complex patterns of activity are seen in immature neuronal networks at a stage of development where no sensory input is available. For example, mammalian ganglion cells fire periodic bursts of action potentials several weeks before eye opening and before maturation of the photoreceptors (Galli and Maffei 1988; Meister et al. 1991). Recent work based on full-band EEG has demonstrated the presence of endogenous intermittent activity in the immature human cortex that is particularly salient in preterm babies, and which largely disappears by the time of full-term birth (Vanhatalo and Kaila 2006; Vanhatalo et al. 2002).

Characteristically, early network activity is highly discontinuous, consisting of discrete events (e.g., retinal waves) rather than the ongoing oscillatory activity that is typical for the adult brain (Feller 1999; Ben-Ari 2001). At the cellular level, the network events are based on spatially and temporally correlated bursts of activity, and hence they have been postulated to play a key role in the formation of neuronal circuits by reinforcing connections among coactive cells (Galli and Maffei 1988; Katz and Shatz 1996). The development of GABAergic transmission is generally thought to have a crucial influence on these Hebbian mechanisms (Hensch 2005; Kanold and Shatz 2006; Katz and Crowley 2002; Zhou and Poo 2004). Notably, a tight cross-talk between GABAergic transmission and activity-dependent release of trophic factors, such as brain-derived neurotrophic factor (BDNF; see below), is likely to take place in developing hippocampal circuits (Mohajerani et al. 2007; Mohajerani and Cherubini 2006; Marty et al. 2000; Rivera et al. 2005). Early network events may also trigger endocannabinoid synthesis via elevation of intracellular Ca²⁺ (Bernard et al. 2005; Freund et al. 2003; Leinekugel et al. 1997), thereby providing a feedback mechanism to control the gross excitability of immature cortical structures (cf. Bernard et al. 2005).

In the immature hippocampus, spontaneous network events are seen in in vitro slice preparations and termed Giant Depolarizing Potentials (GDPs) (Ben-Ari et al. 1989). However, endogenous, correlated bursts of activity are not restricted to the immature hippocampus. A major class of discrete events, the Sharp Positive

Waves (SPWs), are seen throughout life in rodents, and a similar situation may hold for other mammals, including humans (Staba et al. 2004; Skaggs et al. 2007; Ulanovsky and Moss 2007; Buzsaki 1986; Buzsaki et al. 2003; Freemon and Walter 1970; Freemon et al. 1969). In the adult, these events may have several roles, including functions related to learning and memory as well as to the maintenance of neuronal circuitry. We will devote most of the present chapter to a discussion of the cellular, synaptic, and network mechanisms that generate SPWs in vivo (Leinekugel et al. 2002) as well as their putative in vitro counterparts, the GDPs, during the development of the hippocampus. The question of whether developing networks play mechanistically similar Huxleyan tunes will be briefly addressed (cf. Ben-Ari 2001).

7.2 GABAergic Transmission in the Immature Hippocampus

In light of the available information, it is clear that GABAergic mechanisms play a key role in the ontogeny of hippocampal network functions. However, the cause–effect relationships here are highly bidirectional, because various properties of GABAergic signaling themselves undergo dramatic, qualitative changes during development. A specific point that has received a large amount of attention is the "ontogenetic shift" in GABA_A receptor (GABA_AR)-mediated transmission; in immature neurons, GABA_AR-mediated responses are depolarizing and sometimes even excitatory, and a shift in the reversal potential of GABA_AR responses (E_{GABA}) toward more negative values takes place during neuronal maturation. The time window of this developmental shift is both neuron-type and species-specific (Kaila et al. 2008; Rivera et al. 1999; Blaesse et al. 2009), and the underlying ion-regulatory mechanisms will be reviewed below. First, however, we will describe some of the basic mechanisms and consequences of GABA_AR actions in immature hippocampal and neocortical neurons.

7.2.1 Tonic Actions of GABA

Prior to the formation of functional GABAergic synapses, a tonic GABA_AR conductance is present in cortical neurons (Serafini et al. 1995; LoTurco et al. 1995; Owens et al. 1999; Demarque et al. 2002). The cellular sources of the interstitial GABA that activates the tonic conductance under physiological conditions appear to be heterogenous, and include axonal growth cones that release GABA in a vesicular manner (Gao and van den Pol 2000). GABA released by astrocytes has also been shown to activate GABA_A receptors at least in cultures of embryonic rat hippocampal neurons (Liu et al. 2000). Another potential source of GABA is nonvesicular release via reversal of the GABA transporters, GATs (Richerson and Wu 2003; Wu et al. 2007).

Much of the present review deals with network events that take place during the early postnatal period in rats. During this developmental stage, a pronounced tonic $GABA_A$ current persists in immature cortical pyramidal neurons, even under conditions where neuronal vesicular release is strongly suppressed (Demarque et al. 2002; Sipilä et al. 2007; Valeyev et al. 1993). Notably, pharmacological inhibition of GAT-1 leads to an increase in the magnitude of the tonic GABA_A conductance. It also prolongs the decay of the slow GABAergic current component associated with the GDPs (see above) in rat hippocampal neurons (Sipilä et al. 2004, 2007). These findings indicate that GABA transport is functional and already operates in net uptake mode by birth.

7.2.2 Trophic Actions of GABA

In immature neurons, depolarizing GABAergic signaling promotes action potential activity, opening of voltage-gated Ca²⁺ channels, and activation of NMDA receptors (Ben-Ari 2002; Yuste and Katz 1991; Fukuda et al. 1998). The consequent transient elevations of the intracellular Ca²⁺ level lead to activation of a wide spectrum of signaling cascades that control various aspects of neuronal maturation and differentiation including DNA synthesis, migration, morphological maturation of individual neurons, and synaptogenesis (Wang and Kriegstein 2009). BDNF has been ascribed a key role in the trophic actions of GABA (Marty et al. 2000). However, much of the available data has been obtained in vitro, and their significance for normal neuronal development in vivo has remained somewhat unclear. Rather surprisingly, synaptogenesis and early brain development are hardly affected in knockout (KO) mice where GABA synthesis, vesicular transport, or vesicular release are eliminated (Ji et al. 1999; Wojcik et al. 2006; Verhage et al. 2000; Varoqueaux et al. 2002). Clearly, more in vivo work is needed in order to solve these discrepancies, and to elucidate the specific effects of early network events on the maturation of hippocampal neurons and networks.

7.2.3 Ion Transport and the Control of E_{GABA} in Hippocampal Neurons

Neuronal plasma membranes are equipped with a variety of ion transporters, and several of them are involved in the translocation of anions, thereby affecting E_{GABA} . These transporters have been described in recent reviews (Farrant and Kaila 2007; Blaesse et al. 2009) and hence, we will restrict the present discussion to two cation-chloride transporters, NKCC1 and KCC2, which are the major players in the developmental shift of the action of GABA in cortical neurons.

7.2.3.1 Uptake of Chloride: NKCC1

Immature neurons typically have a high internal Cl⁻ concentration maintained by specific uptake mechanisms. This leads to a rather positive value of E_{GABA} and to the depolarizing and sometimes even excitatory actions of GABA, some of which are described above. While the identity of these transporters is not clear in a wide range of neurons including the auditory brainstem and the retina (Balakrishnan et al. 2003; Vardi et al. 2000; Zhang et al. 2007), there is substantial evidence that in immature hippocampal and neocortical neurons, Cl⁻ uptake is mediated by the NKCC1 isoform of Na–K–2Cl cotransporters (Blaesse et al. 2009). Both NKCC1 and the Cl⁻ extruding K–Cl cotransporter KCC2 (see below) are secondary active transporters; they do not directly consume ATP, but take the energy for Cl⁻ uptake and extrusion from the Na⁺ and K⁺ gradients, respectively, generated and maintained by the ubiquitous Na–K ATPase.

Depolarizing GABA actions in hippocampal neurons are blocked by bumetanide (Sipilä et al. 2006b), a drug that at low concentrations $(1-10 \,\mu\text{M})$ selectively blocks NKCCs (Isenring et al. 1998; Payne et al. 2003). Interestingly, NKCC1 knockout mice are viable (Delpire et al. 1999; Flagella et al. 1999; Pace et al. 2000) and they do not have a conspicuous brain phenotype. Their major problems at the level of behavior seem to arise from the non-functional inner ear.

7.2.3.2 Extrusion of Chloride: KCC2

In adult CA3 and CA1 pyramidal neurons, GABA_A-mediated transmission is hyperpolarizing, and the extrusion of Cl⁻ needed to achieve an E_{GABA} that is more negative than the resting membrane potential ($V_{\rm m}$) is attributable to Cl⁻ extrusion by the K–Cl cotransporter KCC2. KCC2 has not been detected in any other cells apart from central neurons, and even among mature CNS neurons some do not express this transporter (Blaesse et al. 2009).

Recent work has shown that KCC2 is expressed as two splice variants, KCC2a and KCC2b (Uvarov et al. 2007). Disruption of the KCC2-coding gene, *Slc12A5*, inhibits KCC2 expression completely and results in mice that die immediately after birth due to severe motor defects, including respiratory failure (Hubner et al. 2001). In another transgenic mouse, exon 1 of the known *Slc12A5* sequence was targeted, which was originally thought to produce a full knockout (Woo et al. 2002). For reasons that were initially unclear, 5–8% of KCC2 expression was retained. In contrast to the full knockout by Hubner et al (2001), these mice are viable after birth, but they show pronounced generalized seizures and die at an age of about 2 weeks (Woo et al. 2002). It has now become apparent that the residual KCC2 expression represents the KCC2a isoform which contains, compared to the previously described KCC2b, an alternative exon 1 (Uvarov et al. 2007). KCC2a is expressed in the neonatal brainstem and spinal cord at a level similar to KCC2b and appears to be important for some of the basic functions

of these structures. In cortical neurons, KCC2b is the dominant isoform (Uvarov et al. 2007). Notably, KCC2b is responsible for the "developmental shift," as can be concluded from previous data on auditory brainstem and cortical neurons (Balakrishnan et al. 2003; Zhu et al. 2005) from mice that are now known to be KCC2b KOs (Uvarov et al. 2007).

In the present chapter, we will use "KCC2" as the term that refers to the main K–Cl cotransporter in cortical neurons, because there are no data available that would enable one to dissect the actions of the two isoforms. In addition to this, yet another neuronal K–Cl cotransporter (KCC3) has been identified in the hippocampus (Boettger et al. 2003). However, there is little information on the roles of KCC3 in the development and function of cortical neurons.

7.2.3.3 Bicarbonate and E_{GABA}

In addition to Cl⁻, HCO₃⁻ ions are physiologically relevant carriers of current across GABA_A receptors (Kaila and Voipio 1987; Kaila 1994). The quantitative influence of HCO₃⁻ on E_{GABA} can be readily estimated using the Goldman–Hodgkin–Katz voltage equation (Kaila 1994; Farrant and Kaila 2007). As a rule of thumb, the intracellular concentration of HCO₃⁻ in neurons (about 15 mM at a pH of 7.1–7.2) has an influence on E_{GABA} that is equal to about 3–5 mM Cl⁻. Hence, the depolarizing influence of HCO₃⁻ on E_{GABA} is significant in neurons with a low internal Cl⁻ concentration such as adult cortical neurons (Kaila et al. 1993), but it can be largely ignored in immature neurons because of their relatively high intracellular chloride levels.

7.3 Ontogeny of Hippocampal Network Events

Distinct types of network rhythms are seen in extracellular field potential recordings in the adult rodent hippocampus depending on the behavioral state of the animal. During exploratory behavior and REM sleep, the most prominent network rhythm is the theta oscillation (see Buzsaki 2006) that exerts a modulatory action on the faster gamma rhythm (Soltesz and Deschênes 1993). On the other hand, during immobile wakefulness, consummatory behaviors (such as feeding and drinking), and slow-wave sleep, a more irregular pattern is observed (Buzsaki et al. 1983). This irregular pattern contains SPWs that are associated with "ripples" (~140– 200 Hz) (O'Keefe and Nadel 1978). SPWs are thought to be generated endogenously within the hippocampus by the interconnected network of CA3 pyramidal neurons (Buzsaki 1986). The SPW seems to have similar characteristics across different (perhaps all) mammalian species (Staba et al. 2004; Skaggs et al. 2007; Ulanovsky and Moss 2007; Buzsaki 1986; Buzsaki et al. 2003; Freemon and Walter 1970; Freemon et al. 1969). This is intriguing, given the fact that SPWs can be detected in both neonatal and adult rodent hippocampus (Buhl and Buzsaki 2005; Karlsson and Blumberg 2003; Leinekugel et al. 2002; Mohns et al. 2007; Sipilä et al. 2006b), which implies a cortical time span that in the human would correspond to one which covers the last trimester of gestation and lasts for the entire life (Avishai-Eliner et al. 2002; Clancy et al. 2001). As already noted above, the presence of SPWs during such a wide time window suggests that they have several functions. In addition to their likely role in the development of neuronal circuits, SPWs are generally thought to be involved in learning and memory in the adult, especially in the transfer of hippocampally acquired information to the neocortex (Buzsaki 1989).

SPWs are the first large-scale network pattern that is seen during hippocampal development in vivo as studied in rats (Leinekugel et al. 2002; Buhl and Buzsaki 2005; Karlsson and Blumberg 2003; Mohns et al. 2007; Sipilä et al. 2006b; Leblanc and Bland 1979). Thereafter, within the first three postnatal weeks, adult-like theta and gamma oscillations emerge (Leblanc and Bland 1979; Karlsson and Blumberg 2003; Mohns et al. 2007; Lahtinen et al. 2002). SPWs are often associated with a "tail" event consisting of multi-unit bursts (Leinekugel et al. 2002), and during development, they become associated with ripples (see above).

The cellular and synaptic mechanisms generating early network rhythms have been extensively studied under in vitro conditions, mainly using hippocampal slice preparations (Ben-Ari 2001). During cortical ontogeny, large-scale population activity is preceded by local events detected as intracellular Ca²⁺ transients that involve only a few neurons as shown in embryonic and neonatal mice (Dupont et al. 2006; Crepel et al. 2007; Yuste et al. 1992). In the hippocampus, these events have been termed synchronous plateau assemblies (SPAs), that take place in the absence of chemical synaptic transmission pointing to a role of gap junctions and intrinsic membrane currents (Crepel et al. 2007). SPAs were reported to disappear at the time when GDPs emerge, and it was proposed that a transient, oxytocin-mediated shift from depolarizing to hyperpolarizing action of GABA occurring at birth promotes the emergence of SPA activity in mice. However, this is unlikely to be a common characteristic across different mammalian species, as GDPs are already seen in fetal monkey hippocampal slices (Khazipov et al. 2001).

GDPs were first described by Ben-Ari et al. (1989) at the cellular level in work on hippocampal slices from neonatal rats, where GDPs disappear by the end of the second postnatal week (Khazipov et al. 2004a; Ben-Ari et al. 1989). The temporal correlation of the disappearance of GDPs in rat slices with the development of hyperpolarizing inhibition (the ontogenetic shift in GABA action) has been one of the cornerstones of the widespread hypothesis that depolarizing GABAergic activity "sets the tune" not only for GDPs, but also for other endogenous events in the immature central nervous system (Ben-Ari et al. 2004; Ben-Ari et al. 2007). More recently, however, other groups have seen network events (termed in vitro sharp waves; Maier et al. 2003; Kubota et al. 2003; Wu et al. 2005; Foffani et al. 2007) in the mature hippocampus that share many characteristics with GDPs. Hence, it has become clear that spontaneous intermittent network events are present in postnatal slices of all ages including those from adult rats and mice, and that they are particularly prominent in the latter species. A conclusion that requires the least number of ad hoc assumptions is that GDPs are the in vitro counterparts of in vivo SPWs. The evidence for this conclusion is reviewed below.

7.4 Characteristics of "Giant Depolarizing Potentials" in the Rat Hippocampus In Vitro

"Giant Depolarizing Potentials" are named so because these spontaneous events were originally detected in intracellular recordings in the neonatal rat hippocampal CA3 pyramidal neurons in slice preparations (Ben-Ari et al. 1989, 2007). A major component of the intracellular voltage signal had a rather positive reversal potential, which resulted in a large depolarization – hence the attribute "giant." In this pioneering work, lots of attention was paid to the fact that the reversal potential of the slow depolarizing phase of the intracellular GDP was similar to that of voltage responses elicited by exogenous GABA, agonists. Furthermore, the visually dominant depolarizing component of intracellularly recorded GDPs was blocked by GABA, receptor antagonists. As already mentioned above, GDPs were found to disappear gradually during ontogeny in parallel with the maturation of hyperpolarizing GABAergic transmission that takes place during the first two postnatal weeks in the rat. On the basis of these observations, it was straightforward to conclude that GDPs are GABAergic events, i.e., network events paced by a phasic, excitatory action of GABA. In other words, the generation of GDPs and hence, their rhythmicity, was thought to be set by a synchronous excitatory action of the GABAergic interneuronal network.

As is evident from above, the acronym GDP is ambiguous; it refers both to a single-cell response that can be recorded during a network event, and also to the network event itself. This problem has been discussed elsewhere (Sipilä et al. 2005), and the context where the term GDP is used below should make it clear whether we refer to these events at the single-cell or network level.

In slices, the CA3 is considered the GDP "pacemaker region" (Ben-Ari 2001), but various other subregions of the hippocampus (CA1 and the dentate gyrus) can generate GDP-like network events in isolation (Khazipov et al. 1997; Garaschuk et al. 1998; Menendez de la Prida et al. 1998; Bolea et al. 2006). In intracellular voltage-clamp recordings in CA3 pyramidal neurons, a burst of ionotropic GABAergic and glutamatergic currents is seen during GDPs (Lamsa et al. 2000; Leinekugel et al. 1998, 2002), which are readily detected in parallel field potential recordings as a slow negative shift that is often associated with a burst of spikes. The majority of CA3 pyramidal cell spikes are confined to a 500-ms time window around the peak field potential deflection, whereas the GABAergic burst has a somewhat longer time course (Sipilä et al. 2005). Typically, GDPs occur at irregular intervals lasting from seconds to minutes. They are each followed by a refractory period of \sim 2–3 s, during which very little unit spike activity is seen (Sipilä et al. 2005, 2006a). GDPs are also generated by the whole-hippocampus preparation

(Leinekugel et al. 1998), where the septal pole has the highest propensity for triggering the events and acts as the pacemaker region along the longitudinal axis of the hippocampus.

7.5 Synaptic and Cellular Mechanisms Underlying GDP Generation

In the analysis of the roles of glutamatergic and GABAergic mechanisms in the generation of GDPs, we will first focus on GABA.

7.5.1 GDPs and the Developmental Shift in GABA Action in Rat Hippocampal Slices

The developmental expression of KCC2 in the rat hippocampus starts around birth, and an adult-like expression pattern is seen by the end of the second postnatal week. However, the temporal link between the expression of KCC2 protein and functional K–Cl extrusion that is evident in native cortical neurons (Rivera et al. 1999; Lu et al. 1999; Yamada et al. 2004) cannot be generalized to other types of neurons. For example, cultured cortical neurons show abundant KCC2 protein expression levels well in advance of the functional activation of the transporter (Khirug et al. 2005) and, interestingly, a similar situation holds for native auditory brainstem neurons (Blaesse et al. 2006). At the moment, there is only limited information available on the steps (e.g., trafficking and kinetic activation of the membrane-bound transporter) that are required for functional activation of KCC2 (Blaesse et al. 2009).

Quite unexpectedly, recent work has uncovered a role for KCC2 in synaptic transmission that is unrelated to its K–Cl cotransport activity (Li et al. 2007). A high level of KCC2 was detected in the spines of cortical neurons (Gulyas et al. 2001). In view of the role of KCC2 in GABAergic transmission, this was a rather surprising observation. However, subsequent experiments on primary cultures showed that KCC2 has a structural role in spine formation (Li et al. 2007). These results suggest that the expression of KCC2 synchronizes the development of GABAergic and glutamatergic transmission in cortical networks.

The latter part of the second postnatal week appears to be the key time point when qualitative changes in postsynaptic GABAergic responses occur in the developing rat hippocampus (Ben-Ari et al. 1989; Khazipov et al. 2004a; Tyzio et al. 2007; Rivera et al. 1999), although it should be kept in mind that there is marked heterogeneity in the actions of GABA at the level of individual neurons (Duebel et al. 2006; Szabadics et al. 2006; Khirug et al. 2008). The changes in the postsynaptic actions of GABA have been studied with various methods to examine E_{GBBA}

and the driving force of GABAergic currents, or GABA's effect on the probability of spike generation (i.e., whether GABA is inhibitory or excitatory). In the current literature on the developmental shift of E_{GABA} and its consequences, however, it is often erroneously stated that depolarizing GABA actions imply excitation, and that a necessary condition for a genuinely inhibitory GABA action is an E_{GABA} that is more negative than resting V_{m} , i.e., hyperpolarizing. This topic has recently been discussed elsewhere (Kaila et al. 2008; Blaesse et al. 2009), but a summary is provided here:

- 1. Regardless of the value of E_{GABA} , the opening of the anion channels of GABA_ARs will have a shunting action. Hence, moderately depolarizing GABA actions can be functionally inhibitory, and even more effective as hyperpolarizing responses, because the intrinsic *I–V* relationship of GABA_A currents shows outward rectification. In addition, even a small depolarization can lead to substantial inactivation of Na⁺ channels and activation of K⁺ channels. For example, adult dentate granule cells have depolarizing and strongly inhibitory GABA responses (Staley et al. 1992).
- 2. GABAergic transmission is not necessarily excitatory even if E_{GABA} would be more positive than what is observed as the threshold of spiking in standard somatic intracellular recordings. This is because the spike voltage threshold is not a fixed parameter, but depends on the rate of change of the membrane potential, and also on the background conductance.

The gramicidin-perforated patch clamp technique (Kyrozis and Reichling 1995) is often thought to be an ideal technique to study neuronal Cl⁻ extrusion, because in these measurements, intracellular Cl⁻ concentration is not affected by the pipette filling solution. However, measuring E_{GABA} with this (or any other) technique (Tyzio et al. 2006) in resting neurons can, at best, verify the presence of Cl⁻ extrusion. Notably, even a very inefficient Cl⁻ extrusion mechanism can be sufficient to maintain a hyperpolarizing $E_{\rm GABA}$ in a resting slice preparation. In the intact brain in which neurons are involved in ongoing activity and varying chloride loads, it is the capacity of neuronal Cl⁻ extrusion rather than the steady-state E_{GABA} that has a direct impact on the efficacy of inhibition. When assessing the capacity of a neuron to maintain [Cl⁻] in a range that provides a basis for inhibitory GABA action (i.e., reduces excitability), a defined Cl⁻ load is imposed on a cell. From such data it is possible to obtain a physiologically valid estimate of the efficacy of chloride regulation (Khirug et al. 2005; Rivera et al. 2004; Jarolimek et al. 1999). A technical point worth to emphasize here is that in experiments on EGARA or on Cl⁻ extrusion, recording electrodes filled with Cs⁺ must be avoided, because Cs⁺ is a very poor substrate for KCC2 (Williams and Payne 2004) and blocks K-Cl cotransport in mammalian neurons (Thompson and Gahwiler 1989).

As emphasized elsewhere, the actions of GABA are context-dependent (Farrant and Kaila 2007; Buzsaki et al. 2007) and, notably, GABA can have "dual" actions (both excitatory and inhibitory) in an individual neuron. In a modeling study on the effects of depolarizing IPSPs (dIPSPs), Jean-Xavier et al. (2007) showed that dIPSPs were able to facilitate spike triggering by subthreshold excitatory events in their late phase.

This is because the depolarization outlasts the local, shunting conductance increase which is associated with a dIPSP. Furthermore, the time window for the enhancement of excitability by dIPSPs became wider as E_{CI} was more depolarized, and the proexcitatory effects started earlier when the site of dIPSP generation was further away from the excitatory input. It is obvious from these and many other observations that a dichotomous depolarizing-to-hyperpolarizing "switch" that would control the excitatory *vs.* inhibitory postsynaptic actions during the development of GABAergic transmission (see below) is a profound oversimplification.

7.5.2 Glutamatergic Transmission and GDPs

As stated above, the pacemaker region for GDPs in rat hippocampal slice preparations is area CA3. One of the most characteristic properties of the CA3 area is its network of glutamatergic pyramidal neurons which shows an unusually high level of interconnectivity via excitatory collaterals (Lebovitz et al. 1971; MacVicar and Dudek 1980). This structural property is likely to be a key feature for the propensity of the CA3 area to generate various types of network events, ranging from GDPs to SPWs and to interictal events. Notably, all of them show a high sensitivity to AMPA antagonists (Cohen et al. 2002; Bolea et al. 1999; Wu et al. 2005).

During the perinatal period in the rat hippocampus, development of functional GABAergic synapses occurs prior to that of glutamatergic synapses. Indeed, a vast majority of hippocampal neurons express no functional glutamatergic synapses around birth (Danglot et al. 2006; Hennou et al. 2002; Tyzio et al. 1999). This has often been taken as indirect evidence for the view that network events in early hippocampal development are driven by interneurons. However, at the network level, competitive AMPA-receptor antagonists (CNQX, NBQX, DNQX, etc) strongly inhibit GDP occurrence (Ben-Ari et al. 1989; Lamsa et al. 2000; Hollrigel et al. 1998; Bolea et al. 1999). When these drugs are combined with NMDA receptor inhibitors, GDPs are abolished (Hollrigel et al. 1998; Sipilä et al. 2005; Bolea et al. 1999; Khazipov et al. 2001). Notably, the selective AMPA blocker, GYKI 53655, completely blocks spontaneous and evoked GDPs, demonstrating a crucial role for AMPA receptor-mediated transmission in GDP generation (Bolea et al. 1999). The periodic, rhythmic activation of interneurons during GDPs is blurred into an irregular pattern by glutamatergic antagonists (Fig. 7.1), indicating that the GDPassociated interneuronal activity is a *consequence* and not a cause of pyramidal cell firing (Sipilä et al. 2005).

7.5.3 Intrinsic Bursting of CA3 Pyramidal Neurons

The belief that immature CA3 pyramidal neurons are not bursters is prevalent in the earlier literature on GDPs (e.g., Ben-Ari et al. 1989; see also Ben-Ari et al. 2007).



Fig. 7.1 Ionotropic glutamate-receptor mediated transmission drives patterned interneuronal activity during GDPs. (a) Simultaneous field potential (*upper two traces; top trace:* 100–600 Hz, *middle trace:* 0.05–5 Hz) and intracellular voltage-clamp recordings (*lower trace:* 0 mV, low-chloride filling solution) show that field GDPs (fGDPs) and the associated GABAergic bursts reflecting network activity of interneurons are blocked by a combined application of the glutamate receptor blockers NBQX and AP-5. Spontaneous bursting activity (unit bursts) persists in the absence of glutamatergic transmission and GDPs. (b) Autocorrelation histograms of spontaneous post-synaptic GABA_A receptor-mediated current (GABA-PSC) intervals show that the rhythmic GABAergic activity (*left*) (at ~0.3 Hz) is abolished by the glutamate receptor antagonists (*right*). The figure is modified from Sipilä et al. (2005).

To the contrary, more recently it has been shown that immature CA3 pyramidal neurons are able to generate intrinsic bursts (Menendez de la Prida and Sanchez-Andres 2000), and that this voltage-dependent bursting takes place in the absence of synaptic transmission (Sipilä et al. 2005; Safiulina et al. 2008). The neurons are silent at negative membrane potentials, whereas a depolarization above $\sim -60 \text{ mV}$ activates a persistent Na⁺ current that generates a slow regenerative depolarization leading to a burst of action potentials (Sipilä et al. 2006a). During a burst, Ca²⁺ enters the cell and activates a K⁺ current that generates a slow afterhyperpolarization (sAHP), and the sAHP accounts for burst termination (Sipilä et al. 2006a). The neuron is in a relative refractory state during the sAHP (see below). Notably, while the membrane voltage is within the activation range of the hyperpolarization-activated cation current $I_{\rm h}$ during the post-burst sAHP, blocking $I_{\rm h}$ has little effect on the intrinsic pyramidal bursts (Sipilä et al. 2006a). At threshold level, the burst frequency is around 0.2 Hz and can increase up to ~1.5 Hz in response to a tonic depolarization (Sipilä et al. 2005; Menendez de la Prida and Sanchez-Andres 2000). Each burst consists of 2–8 spikes that occur at a rate of 10–50 Hz. Mature CA3 pyramidal cells also generate voltage-dependent intrinsic bursts that occur at a similar frequency range as the bursts seen in the immature neurons (Hablitz and Johnston 1981; Kandel and Spencer 1961; Kandel et al. 1961; Wong and Prince 1981). However, a notable difference between the mature vs. the immature CA3 pyramidal neurons is that the former can fire at a much higher frequency (50–400 Hz) during a burst (Kandel and Spencer 1961; Kandel et al. 1961; Ranck 1973).

7.5.4 CA3 Pyramidal Neurons as Conditional Pacemakers in GDP Generation

There is a striking similarity between the temporal patterns of single-unit CA3 pyramidal cell bursts and GDPs; both have a preferred (modal) frequency at ~0.2–0.5 Hz, and a tonic depolarization increases the frequency of both the single-cell and network events within a similar (~0.2–1.5 Hz) frequency range (Sipilä et al. 2005). These observations are consistent with the idea that the temporal pattern of GDP activity has its roots in the intrinsic properties of the CA3 pyramidal neurons. The data reviewed below provide further evidence for this view.

The actions of ionotropic GABA and glutamate receptor antagonists on the burst activity of immature CA3 pyramidal neurons are qualitatively different. A blockade of glutamate receptors desynchronizes pyramidal bursts but does not abolish them. On the other hand, GABA, receptor antagonists reduce the frequency of the synchronous events and sometimes completely block them (Sipilä et al. 2005). Whereas the frequency of field GDPs is reduced, their amplitude is typically enhanced (Lamsa et al. 2000). The inhibitory effect of GABA, receptor antagonists on the network events is readily explained by the finding that these drugs inhibit the burst activity of the pyramidal neurons. This effect takes place also in the absence of glutamatergic transmission (i.e., in the absence of GDPs), because (as explained above) the immature CA3 pyramidal neurons are subject to an endogenous, strongly depolarizing input mediated by synaptic and tonic GABA, receptors, which is blocked by GABA, receptor antagonists. It should be re-emphasized that because the interneuronal network activity in itself is temporally non-patterned, the above suppressing actions of GABA_A antagonists are caused by a hyperpolarization and a consequent decrease in the overall excitability of the CA3 neurons.

The threshold for the activation of the persistent Na⁺ current is ~10 mV negative compared to the threshold of action potential generation, and any depolarization that activates the persistent Na⁺ current is able to promote the cellular bursts (Sipilä et al. 2005, 2006a). Taking advantage of this fact, more evidence for the conclusion that the "cellular GDP pacemakers" reside within the CA3 pyramidal neuron network was based on the observation that GDP occurrence is triggered by a pharmacologically induced tonic GABAergic depolarization in the complete absence of synaptic GABA_A receptor transmission. Even more strikingly, we observed that GDPs were also promoted by a tonic depolarization imposed by elevation of extracellular K⁺ concentration in the complete absence of both synaptic and tonic GABA_A receptor-mediated signaling (Sipilä et al. 2005).

The relative refractory period of GDPs, defined as the minimum inter-GDP interval under standard experimental conditions, is similar to that of the intrinsic bursts of immature CA3 pyramidal neurons (Sipilä et al. 2005, 2006a; see also

Agmon and Wells 2003). In light of the present mechanistic scheme of GDP generation, this similarity is to be expected, since a large number of pyramidal neurons that are co-activated during a GDP will become simultaneously refractory due to the sAHP during the post-GDP period. Hence, they cannot contribute to a network event during this period. The refractory period is not absolute, however, because a strong enough depolarizing input can trigger both the cellular and network events. Furthermore, various blockers of the Ca²⁺-activated K⁺ current abolish the refractory period seen at the level of individual neurons and the network (Sipilä et al. 2006a). GDP inter-event intervals are often longer than the relative refractory period, which means that other, as yet unidentified mechanisms in addition to the Ca²⁺-activated K⁺ current determine the duration of the inter-GDP interval.

7.6 Conclusions

In the present chapter, our focus has been on hippocampal GDPs, because they offer an excellent opportunity to examine the validity and scope of "general rules" that are proposed to underlie the functional development of neuronal networks (Ben-Ari 2006; Ben-Ari et al. 2007). Two major properties that have been attributed to GDPs are that (1) they are present during a restricted window of development corresponding to the period when GABA has a depolarizing action and (2) interneurons are crucial in setting the pace of the events (Ben-Ari et al. 2007). In light of the available evidence reviewed above, neither of these properties is a defining characteristic for GDPs. Paradoxically, in contrast to e.g., retinal waves, it now seems that hippocampal GDPs, which were originally considered a prototype of early network events, are an exceptional type of early event in that their in vivo counterparts - the SPWs - are retained throughout life (Leinekugel et al. 2002; Sipilä et al. 2006b; Mohns et al. 2007; Buzsaki 1986). Consistent with this observation, work carried out on rodent slices shows that bursting activity of the pyramidal cell population drives hippocampal network events throughout life (Sipilä et al. 2005; Miles and Wong 1983; Traub et al. 1989). SPWs have been recorded invasively in early neonatal rats (postnatal day 2), and while the SPWs become associated with high-frequency ripples during development (Buhl and Buzsaki 2005; Mohns et al. 2007), their basic appearance remains largely unchanged throughout the postnatal life of rodents. Undoubtedly, depolarizing GABA plays a facilitatory or permissive role in the generation of both GDPs and neonatal SPWs. It would be difficult to envisage a scenario, however, where these events would initially be paced by the interneuronal network and thereafter, at some time point that has never been specified, the pacing role would be taken over by the pyramidal neuronal network.

In light of the above discussion, it is evident that depolarizing GABA actions do not set the "melody" played by the developing hippocampus. Here, a comparison between the immature hippocampus and neocortex is interesting. The dominant pattern of neocortical spontaneous activity in vitro, a sharp potential that is reminiscent of hippocampal GDP/SPWs, is sensitive to GABA, receptor antagonists (Rheims et al. 2008) in a manner similar to hippocampal GDPs (see above). In contrast to this, the dominant pattern in vivo, the "spindle-bursts" (Khazipov et al. 2004b; Khazipov and Luhmann 2006), are not markedly affected by complete block of GABA_AR transmission by a receptor antagonist or by blocking the depolarizing action of GABA with bumetanide (Minlebaev et al. 2007). The block of GABAergic transmission produces a slight increase in the occurrence of both evoked and spontaneous spindle-bursts. However, their spatial extent is increased, suggesting that GABA_AR transmission exerts "surround inhibition" and thereby plays a role in the spatial compartmentalization of these events. Although GABAergic transmission contributes minimally to the pacing of the spindle-bursts, it is notable that the AMPA/kainate receptor antagonist CNQX completely eliminates the events (Minlebaev et al. 2007).

To summarize, the neonatal pyramidal CA3 neurons are cellular pacemakers, and when a sufficient number of them fire within a confined time window, a critical level of network excitation is attained and a GDP is generated in its well-known all-or-none manner. Unlike the glutamatergic network, the interneuronal network is not capable of producing robustly patterned activity by itself. Hence, it can be concluded that glutamatergic transmission plays an instructive role in hippocampal GDP generation, while GABA has a facilitatory or permissive mode of action. At a more general level, it seems that some of the broad generalizations and "rules" regarding the role of depolarizing GABA actions in the generation of endogenous activity in the developing brain need to be re-evaluated.

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Chapter 8 Endocannabinoids and Inhibitory Synaptic Plasticity in Hippocampus and Cerebellum

Bradley E. Alger

8.1 Introduction

Endogenous cannabinoids (eCBs) are the natural ligands for the cannabinoid receptors in the brain. ECBs influence inhibitory synaptic plasticity through their receptors by shaping the formation of neuronal circuits, regulating the expression of synaptic plasticity, and influencing postsynaptic excitability. This chapter focuses on the cellular neurophysiology of eCB actions in inhibitory synaptic plasticity, although their effects at excitatory synapses will be touched upon.

8.1.1 Introduction to eCBs: History and Pharmacology

The story of the discoveries of eCBs, their receptors, and their functional roles, has been reviewed (see e.g., Howlett et al. 2002; Pertwee 2005; Di Marzo et al. 1998; Alger 2002; Freund et al. 2003; Piomelli 2003; Chevaleyre et al. 2006). Critical milestones included the isolation of delta-9 tetrahydrocannabinol (THC) as the major psychoactive component of the plant *Cannabis sativa* (Gaoni and Mechoulam 1964). Pharmacological agonist binding properties implied the existence of a specific cannabinoid receptor. The first cloned cannabinoid receptor, CB1R (Matsuda et al. 1990), proved to be the major CB receptor in the brain (CB2R is mainly present in certain glia (van Sickle et al. 2005) and is associated with the immune system). A new CB receptor, GPR55 (Ryberg et al. 2007), has been characterized pharmacologically, but not yet physiologically. CB1R is the most abundant heterotrimeric $G_{i/o}$ -protein coupled receptor (GPCR) in the brain. The major intercellular

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endogenous ligands for CB1R are N-arachidonyl ethanolamine (anandamide or AEA; Devane et al. 1992) and 2-arachidonyl glycerol (2-AG; Mechoulam et al. 1995; Sugiura et al. 1995), although other candidates exist. It is not known why there are two endogenous ligands for CB1R. AEA has high affinity for CB1R but is a partial agonist; 2-AG is a full agonist with lower affinity. AEA is also a TRPV1 agonist that can directly affect 2-AG metabolism (Maccarrone et al. 2008). CB1R and its endogenous ligands constitute the cannabinoid system. Specific pharmacological tools, especially the receptor "antagonists" (actually inverse agonists) SR141617A (also called "rimonabant") and AM251, and agonists WIN55212-2 and CP55940, were vital to the discovery and elucidation of the numerous neurophysiological roles of the eCB system. Nevertheless, the one-time gold standard, rimonabant, is a TRPV1 antagonist as well (Gibson et al. 2008), and AM251 may be an agonist at GPR55 (Ryberg et al. 2007). Several lines of CB1R^{-/-} mice have been developed, and suspected CB1R-mediated effects must be checked in a mutant mouse.

Compared with the elaborate vesicular secretory machinery for conventional neurotransmitters, the proposed signaling process employed by eCBs is simple. AEA and 2-AG are produced by enzymatic cleavage of lipid precursors in the outer membrane lipid bilayer of nerve cells. AEA is formed from N-arachidonyl phosphatidyl ethanolamine by the action of a phospholipase D, whereas 2-AG is derived from diacylglyercol by the action of diacylglycerol lipase (DGL). Controversy exists as to whether or not phospholipase C (PLC) activation is mandatory for the formation of 2-AG. PLC activity appears to be important when 2-AG levels are assayed neurochemically and in physiologically assays of eCBs produced via the activation of muscarinic cholinergic and metabotropic glutamatergic receptors (Hashimotodani et al. 2005). Neither AEA nor 2-AG are pre-packaged in membrane-bound structures, or stored in identified depots, and are said to be produced "on-demand." The analogy with modern manufacturing methods is widely used, but there are questions about how literally it should be interpreted. The process of eCB release is not understood.

AEA actions are terminated by the enzyme fatty-acid amide hydrolase (FAAH), and those of 2-AG are terminated by monoglyceride lipase (MGL). Both eCBs are taken up into cells by an as yet uncloned eCB-transporter. The uptake and degradation systems are very effective, and the natural agonists have only weak effects when bath-applied to brain slices. Synthetic agonists that are immune to transport and degradation are widely used instead.

The ubiquity of eCBs and their receptors, and the rapid ascent of the eCB system to a prominent place in modern neurophysiological research may obscure some fundamental, unresolved issues. A central problem is identifying the particular eCB that is active at a given synapse. Present technologies have limited spatial and temporal resolution. A general solution will probably have to await the development of new methods that permit real-time, in situ analyses of lipid signals.

8.2 Basic Neurophysiology of eCBs

8.2.1 Retrograde Signaling

The first suggestion that eCBs could be retrograde signals seems to have been based on theoretical rather than experimental grounds in prescient reports by Elphick and colleagues (Egertova et al. 1998; Elphick and Egertova 2001). Retrograde signals are produced in and released from a postsynaptic cell and then travel backwards across synaptic junctions where they activate receptors on presynaptic nerve terminals and alter synaptic transmitter release. Elphick and Ergetova noted that two major components of the eCB system, CB1Rs and the degradative enzyme for anandamide, FAAH, were expressed independently, with FAAH present in postsynaptic cells receiving inputs from pre-synaptic terminals bearing CB1R. They recognized that this organization was ideal for a retrograde signal system. Only the absence of an efficient means for testing this remarkable insight can explain the fact that it was not more widely recognized at first. In fact, the neurophysiological complement for their idea was being developed in parallel, but the physiological link was also unrecognized.

8.2.2 Depolarization-Induced Suppression of Inhibition

In the early 1990s an unusual mode of synaptic communication was discovered in in vitro cerebellar and hippocampal slices (Llano et al. 1991; Pitler and Alger 1992). It was found that increases in intracellular calcium ion concentration ($[Ca^{2+}]_i$) in the principal projection neurons cause a transient (tens of seconds at experimental temperatures) decrease in the amplitude of incoming, GABA-mediated inhibitory postsynaptic potentials or currents (IPSP/Cs). Repetitive action potential firing of the postsynaptic cell, or a brief postsynaptic depolarization, readily suppress the IPSP/Cs. This phenomenon became known as depolarization-induced suppression of inhibition, or DSI (Alger and Pitler 1995). DSI could not be accounted for by down-regulation or other modification of post-synaptic GABA_A receptors. Instead, many studies suggested that the decreased IPSP/Cs reflect a decrease in GABA release, and that a presynaptic, pertussis toxin-sensitive G-protein coupled receptor (GPCR) is involved (Pitler and Alger 1994). The combination of postsynaptic induction and presynaptic expression imply that there must be a retrograde messenger from the principal cells to the interneurons.

The DSI messenger remained unknown until 2001 when several groups showed that it is an eCB (Wilson and Nicoll 2001; Ohno-Shosaku et al. 2001; Diana et al. 2002). Agonists of CB1R mimic and occlude DSI, and CB1R antagonists prevent it. A blocker of the eCB transporter suppresses IPSCs in a CB1R-dependent manner (Wilson and Nicoll 2001), suggesting that a low tonic level of CB1R activation is

present. CB1R-dependent IPSC suppression is triggered by photo-uncaging of calcium in the postsynaptic cell. There is a tight correlation between the susceptibility of IPSC depression to DSI and suppression by the CB1R agonist WIN55212-2 in tissue cultured cells (Ohno-Shosaku et al. 2001). ECBs inhibit presynaptic release from GABAergic boutons measured by the FM1-43 destaining method (Brager et al. 2003). DSI is absent in two strains of CB1R^{-/-} mice (Wilson et al. 2001; Varma et al. 2001), providing firm evidence for the involvement of eCBs in DSI.

The proposal that eCBs are the retrograde messengers in DSI fits well with the morphological localization of CB1Rs, which are expressed on the axon terminals of GABAergic interneurons in both cerebellum and hippocampus (Freund et al. 2003). In the hippocampus, the highest density of CB1Rs is on a subclass of GABAergic interneuron that also expresses the neuropeptide cholecystokinin (CCK) (Marsicano and Lutz 1999; Katona et al. 1999). The CCK cells comprise both basket cells and dendrite-targeting cells, and have distinctive properties, including an exclusive dependence on the conotoxin-sensitive, N-type voltagegated calcium channels (VGCCs) for release of GABA. Coupled cell pair recordings revealed that conotoxin blocks all IPSCs that are susceptible to DSI or eCBs (Wilson et al. 2001). Presynaptic CB1Rs regulate release mainly by blocking VGCCs and reducing calcium influx into nerve terminals (Kreitzer and Regehr 2001b; Diana et al. 2002), although K channel activation and direct interference with the release processes can also contribute (Varma et al. 2002; Diana and Marty 2004). In cerebellum, inhibition of interneuron firing (Kreitzer et al. 2002), probably by increasing activity of a K channel, partly accounts for DSI.

ECB signaling is usually studied under non-physiological conditions, and it has been suggested that normal action potential firing patterns of CA1 cells are insufficient to cause eCB release (Hampson et al. 2003), although convergent, synchronous synaptic inputs from multiple sources are effective (Zhuang et al. 2005). Other physiologically relevant factors are considered below.

8.2.3 GPCR-Dependent eCB Mobilization

The evidence that DSI is initiated by a post-synaptic rise in $[Ca^{2+}]_i$ is persuasive: DSI is blocked by high concentrations of Ca^{2+} chelators, and is induced by stimulation that increases $[Ca^{2+}]_i$ in post-synaptic cells (Glitsch et al. 2000; Brenowitz and Regehr 2003; Wang and Zucker 2001), including photolytic uncaging of Ca^{2+} in these cells (Wilson and Nicoll 2001; Wang and Zucker 2001). NMDAR activation can also trigger Ca^{2+} -dependent eCB mobilization (Ohno-Shosaku et al. 2007). Early evidence had pointed to a close relationship between DSI and activation of certain G-protein coupled receptors (Pitler and Alger, 1994; Morishita et al. 1997; Martin and Alger 1999). After the discovery that DSI is mediated by eCBs, it was found that high concentrations of mGluR or mAChR agonists directly stimulate eCB mobilization (Maejima et al. 2001; Varma et al. 2001; Kim et al. 2002; Galante and Diana 2004). In addition, concentrations of GPCR agonists that are too low to stimulate detectable eCB effects directly, can enhance DSI (Varma et al. 2001, Kim et al. 2002; Brenowitz and Regehr 2005). The various forms of eCB mobilization are mediated by different intracellular biochemical cascades. Ca²⁺-dependent eCB processes (DSI or depolarization-induced suppression of excitation (DSE), see below) are referred to as eCB_{Ca}, and mGluR- or mAChR-dependent forms are designated eCB_{mGluR} and eCB_{mAChR} (generally, eCB_{GPCR}).

It is not known if DSI enhancement by GPCRs is Ca2+-dependent, because DSI itself requires Ca2+. eCB_{GPCR} is relatively independent of [Ca2+], and can be initiated even when principal cells are loaded with Ca2+ chelators (Maejima et al. 2001; Kim et al. 2002). eCB_{GPCR} is not completely Ca2+ independent, however, and two models have been put forward to account for the role of Ca2+ in eCB_{GPCR}. The coincidencedetection model proposes that hippocampal PLC_{R1} (or PLC_{R4} in cerebellum, Maejima et al. 2005) integrates Ca2+ with a GPCR-induced intracellular messenger to generate eCBs (Hashimotodani et al. 2005). PLC_{β}^{-/-} mice lose eCB_{GPCR} but not $eCB_{C_{a}}$. PLC_B isoforms are Ca²⁺-dependent, and in this model [Ca²⁺]_i at levels $\geq 10^{-7}$ M is required to mobilize eCBs. A narrow window of time, set by the duration of $[Ca^{2+}]$. elevation, determines when eCBs are mobilized. The coincidence-detection model posits a single final common biochemical pathway for all eCB_{GPCP}. In the other model, the priming model, a transient rise in [Ca²⁺], is required to "prime" the initiation of eCB_{mGluR}, however, once the pathway is primed, a sustained elevation of $[Ca^{2+}]_{i}$ is not required, and eCB_{mGluR} can be generated at very low $[Ca^{2+}]_{i}$ (Edwards et al. 2008). In the priming model, PLC is upstream of the eCB_{GPCR} signaling step. Blockers of eCB mobilization, such as DGL inhibitors, prevent eCB_{mACbR} without reducing DSI enhancement by either mAChR or mGluR agonists, suggesting that the DSI enhancement and direct mobilization pathways do not share the same intracellular biochemical pathways. eCB_{mAChR} and eCB_{mGluR} can be distinguished in other ways as well (Edwards et al. 2006). In both models, Ca^{2+} and the products of GPCR activation interact non-linearly to mobilize eCBs (see also Brenowitz and Regehr 2005). Differences between mAChRs and mGluRs argue against the concept of a final common pathway for eCB mobilization (Edwards et al. 2008; see summary diagram in Fig. 8.1).

Regardless of the details, the synergistic interactions between $[Ca^{2+}]_i$ and GPCR products considerably broaden the scope and impact of eCBs in the brain. Although vigorous bursts of action potentials or depolarizations lasting hundreds of milliseconds and producing large increases in $[Ca^{2+}]_i$ undoubtedly occur, these are probably rare, whereas it is likely that moderate increases in $[Ca^{2+}]_i$ often overlap in time with GPCR activation. Hence, the integration of Ca^{2+} and GPCR products may be the most common mode of eCB mobilization.

8.2.4 Are eCBs Really Retrograde Messengers?

The answer to this question is almost certainly yes, nevertheless, the definitive evidence is not yet in. Unlike many conventional neurotransmitters which are



Fig. 8.1 Distinctions among DSI and eCB_{mAChr} and eCB_{mGluR} in hippocampus. *Arrows* denote known steps in signaling pathways for eCBs; unknown steps may be included within the *arrows*. DSI (eCB_{Ca}) and Ca^{2+} enhancement of eCB_{GPCR} (*dotted arrows*) are independent of PLC. Intracellular injection of a PLC inhibitor does not affect any response. DSI is normal in $PLC_{\beta1}^{-/-}$ mice, but eCB_{mAChR} and eCB_{mGluR} are absent, suggesting $PLC_{\beta1}$ is upstream of eCB signaling. Intracellular DGL inhibitors have little effect on DSI or eCB_{mGluR} but strongly reduce eCB_{mAChR} . Extracellular PLC or DGL inhibition prevents eCB-iLTD induction. Evidently different pathways are used for eCB mobilization under different conditions. Summary of data from several sources; see text

small, generally water-soluble molecules (glutamate, GABA, ACh, etc.), eCBs are hydrophobic lipids. They stick to membranes (and plastic tubing, glassware, and experimental chambers). They are effective in miniscule quantities, do not diffuse great distances in brain tissue (because of their hydrophobicity, as well as the aggressive uptake and degradation systems), and generally cannot be collected in the superfusate of stimulated physiological tissue. Direct methods of assaying eCBs rely on disruptive bulk treatment methods: e.g., lipid extraction followed by tandem mass spectroscopy. Relatively large quantities of brain tissue are required, and so temporal resolution and cellular specificity are lost. Furthermore, eCBs can be by-products of reactions that are unrelated to intercellular signaling. Indeed, a large proportion of the 2-AG detected by bulk assay methods probably serves functions other than signaling. Tissue stimulation increases the quantity of 2-AG that is detected neurochemically, but the signaling fraction cannot be separated from other fractions. The increases in 2-AG could reflect topping-up of the cellular reservoirs, and the on-demand production of eCBs might not be directly linked to signaling.

At the single cell level, the problems in assaying the eCB system are acute. Does cellular stimulation truly induce the de novo synthesis of eCBs, or does it only help to make eCBs available in some other way, e.g., by facilitating their exit from the cell of origin? Although appropriate stimulation of a postsynaptic cell clearly leads to a retrograde signaling process, which in turn leads to the activation of presynap-

tic CB1Rs, direct evidence of de novo postsynaptic synthesis of an eCB followed by its transit across the synapse is not yet available.

8.2.5 ECB Mobilization

The uncertainties alluded to above argue for caution. In particular, the implication that single cell stimulation "produces" or "releases" eCBs should probably be avoided until these processes can be directly measured. When stimulation of a postsynaptic cell initiates retrograde signaling that involves presynaptic CB1R receptors, the term "mobilization" can be used. This is descriptive of what is going on (the analogy is to antigenic mobilization of the immune response), but neutral as to the specific mechanisms (synthesis, release, transport, etc.) that are being triggered. As the details emerge, more specific terms will replace this general one.

8.2.6 Pre-endocannabinoid DSI and eCBs

Much was known about DSI before it was found to be mediated by eCBs (Alger 2002). Yet, to date, all of the discoveries made regarding DSI in the pre-eCB period have been verified by subsequent work. It is likely that all of the physiological evidence that DSI is expressed presynaptically applies also to demonstrated eCB-mediated processes, although not all of the experiments done on DSI have been repeated on the eCB actions. This chapter will not distinguish between pre-eCB DSI and eCB-mediated DSI when it is clear that pre-eCB phenomena translate readily to the eCB-mediated events.

8.2.7 Timing of eCB Mobilization

Timing of neuronal interactions is critical to the proper operation of the brain. Understanding the roles of any signaling system, including eCBs, requires understanding its temporal parameters. The physiological eCB action that is expressed as DSI does not peak until hundreds of milliseconds after a voltage step that causes Ca^{2+} -influx (Pitler and Alger 1994; Wilson and Nicoll; 2001). This is orders of magnitude longer than needed for conventional neurotransmission. DSI comprises all of the steps of eCB mobilization, and the activation of CB1Rs, which like all GPCRs, have comparatively slow actions. CB1Rs primarily target the downstream modulation of neurotransmitter release. To estimate the actual eCB mobilization time itself, Heinbockel et al. (2005) created a "caged" form of AEA. Caged AEA is biologically inactive until it is exposed to a brief flash of laser light that instantly (μ s) disrupts the bond joining the chemical caging group with AEA, liberating bona

fide AEA. Caged AEA can be applied to slices where, prior to laser flash, it equilibrates throughout the tissue immediately adjacent to CB1Rs. The diffusion time between photolytic AEA generation and CB1Rs is negligible, and therefore, the interval between the laser flash and the onset of IPSC suppression represents the time for binding of AEA to CB1R and downstream steps. This turns out to constitute a major fraction of the delay in IPSC depression that is seen in DSI or eCB_{GPCR}. The remaining steps normally leading to AEA synthesis and release must be relatively fast. It was concluded that eCBs are mobilized experimentally within 100 ms (at physiological temperatures ~50 ms) after the start of cellular stimulation. The brevity of this interval will influence the physiological roles played by eCBs, and constrain hypotheses about the underlying mobilization mechanisms.

8.2.8 2-AG is Probably the Main eCB in Hippocampus and Cerebellum

Determining whether or not eCBs are involved in a particular process is straightforward. Identifying which eCB is involved is more complicated. In the hippocampus, the first evidence that the signaling eCB was likely to be 2-AG came from chemical analyses of stimulated hippocampal slices showing selectively increased 2-AG levels (Stella et al. 1997). Kim and Alger (2004) found that in hippocampal slices an inhibitor of the AEA degradative enzyme, FAAH, did not affect DSI. Cyclooxygenase-2 (COX-2) (Kozak et al. 2000), a key inducible enzyme in prostaglandin synthesis, also degrades 2-AG. Inhibitors of COX-2 enhance DSI (Kim and Alger 2004; Sang et al. 2006; Hashimotodani et al. 2007) as expected if the enzyme helps to keep the 2-AG levels low. Increasing COX-2 levels decreases DSI (Sang et al. 2006). The effects of bath-applied 2-AG are not enhanced by COX-2 inhibition (Kim and Alger 2004; Hashimotodani et al. 2007), implying that COX-2 acts within the pyramidal cells, probably regulating the actual production of 2-AG, rather than serving as an elimination step for released 2-AG. The ineffectiveness of FAAH inhibition compared with the efficacy of the COX-2 inhibitors suggests that 2-AG mediates DSI.

Monoglyceride lipase (MGL) is a major degradative enzyme for 2-AG (Dinh et al. 2002), but not AEA, and its inhibition, therefore, should increase 2-AG mediated phenomena. MGL inhibitors enhance DSI (Makara et al. 2005; Hashimotodani et al. 2007). Bath-application of an inhibitor of DGL (the final enzyme in 2-AG synthesis), tetrahydrolipstatin, THL, also inhibits DSI (Hashimotodani et al. 2007), without affecting actions of applied 2-AG.

DGL is often localized at post-synaptic sites where eCBs may be liberated (Uchigashima et al. 2007; Yoshida et al. 2006). The main DGL isoform, DGL_{α} , is found in proximity to mGluRs in dendritic spines onto which CB1R-expressing excitatory terminals synapse in striatum (Uchigashima et al. 2007), cerebellum (Yoshida et al. 2006) and hippocampus (Yoshida et al. 2006; Katona et al. 2006).
PLC_{β4} (implicated in cerebellar eCB mobilization, Maejima et al. 2005) is also present in Purkinje cells (Yoshida et al. 2006). The pharmacological data, together with evidence of clustering of the major players in the eCB pathway, fulfill major criteria for identification of 2-AG as the eCB. DGL_α is apposed to inhibitory terminals in Purkinje cells, and in ventral tegmental area cells (Matyas et al. 2008). Caveats persist however. The arrangement just described does not always exist at inhibitory synapses. Yet, in the hippocampus and striatum, inhibitory synapses are much more sensitive to eCB actions than are the excitatory synapses. Activation of CB1Rs on glutamatergic terminals is proposed to be homosynaptic, whereas activation of CB1R on inhibitory terminals (i.e., by eCB_{mGluR}) would be heterosynaptic. Thus, the morphological arrangement raises key questions concerning eCB signaling at hippocampal inhibitory synapses, and suggests that different mechanisms underlie eCB mobilization in different parts of the brain (Fig. 8.2).

8.2.9 CB1R on Glutamatergic Terminals: Depolarization-Induced Suppression of Excitation

Although this chapter focuses on eCBs in inhibitory synaptic plasticity, eCBs also powerfully modulate glutamate transmission in many brain regions. Kreitzer and Regehr (2001b) reported that the retrograde suppression of glutamatergic synapses in the cerebellum, DSE, is mediated by an eCB. Both parallel and climbing fiber synapses are affected by DSE, and $[Ca^{2+}]_i$ -imaging experiments show that the



Fig. 8.2 Arrangements of the molecular components eCB_{mGluR} in cerebellum (**a**) and hippocampal pyramidal cell dendrites (**b**) modified from Yoshida et al. (2006). In both regions interneuron terminals are more heavily invested with CB1Rs than excitatory terminals. Phospholipase Cs (β4 in cerebellum and β1 in hippocampus) are present in dendritic spines and shafts. In cerebellum, the 2-AG synthetic enzyme, DAGL_α, is at the base of the spine and along the shaft. The metabotropic mGluR1 receptor is at the excitatory, PF, synapse, but is not near the inhibitory synapse. In the hippocampal pyramidal cell dendrite (PyD) DAGL_α, is present throughout the spine, but not the dendritic shaft. mGluR5 is in hippocampus but not cerebellum, and both mGluR1 and mGluR5 are near the PyD excitatory synapses. The hippocampal CCK-In terminal bears CB1Rs, although the parvalbumin (PV-In) terminal does not. In both structures eCBs act homosynaptically on excitatory synapses

eCBs depress glutamate release by depressing calcium influx into the synaptic terminals. DSE is induced by the same kinds of stimulation that induce DSI, and therefore, can participate in normal cerebellar network activity.

In the hippocampus, DSE is not produced by the same stimuli that induce DSI (Wagner and Alger 1996), except in autaptic culture (Straiker and Mackie 2005). Instead, much stronger postsynaptic stimulation (depolarization lasting ~10 s) is required to bring about a weak DSE (~15% EPSC reduction (Ohno-Shosaku et al. 2002; Chen et al. 2007). There is a much lower density of CB1Rs on excitatory terminals (Kawamura et al. 2006) than on inhibitory ones, although the greater number of excitatory terminals means that a significant fraction of the CB1Rs in the hippocampus could be on them. The diffuse but massive distribution of CB1Rs on glutamate terminals in hippocampus and neocortex may be primarily related to neuroprotection, as discussed below.

8.2.10 eCBs and Brain Development

8.2.10.1 eCBs Affect Interneuronal Connectivity

CB1Rs are heavily expressed in axonal growth cones of GABAergic interneurons in the rodent cortex during late gestation, where they help establish accurate connections between the interneurons and other cells. Interneuronal circuits are miswired in the CB1R^{-/-} mouse (Berghuis et al. 2007), and AEA application inhibits interneuron neurite extension and opposes BDNF-induced neurite outgrowth (Berghuis et al. 2005). AEA also triggers CB1R internalization and elimination from filopodia of tissue-cultured GABAergic neurons (Berghuis et al. 2007). In tissue culture, AEA or WIN55212-2 induces chemorepulsion and collapse of the axonal growth cones of these GABAergic interneurons. Rho kinase inhibition prevents the effects caused by CB1R agonists. Interestingly, however, when a CB1R agonist is applied in the presence of the CB1R antagonist AM251, not only is axonal repulsion prevented, but the agonist becomes an attractant for axonal turning. Similarly, the Rho K antagonist converts AEA from chemo-repulsant to chemo-attractant. Neither effect has been explained. Nevertheless, eCBs can regulate synaptogenesis and target selection in vivo.

8.2.10.2 In Early Development eCBs Decrease Network Excitability

The high CB1R density on inhibitory nerve terminals, and the general rule that CB1R activation depresses transmitter release, strongly implies that eCBs will disinhibit network properties. (CB1R on excitatory terminals will have an opposite effect, but in hippocampus and neocortex these receptors are not easily activated by physiological stimuli.) However, at early postnatal developmental

stages, up to about PN10 in rodents, postsynaptic GABA_A receptors cause membrane depolarization (see Kaila et al. this volume), and have different roles than they do in adult tissue. Preventing the release of GABA would therefore decrease network excitability at these stages. Indeed, during early development, eCB-mediated retrograde signaling depresses network excitability by suppressing the excitatory GABA responses (Bernard et al. 2005). Conversely, CB1R antagonists cause epileptic discharges in the immature hippocampus. eCBs have, therefore, been proposed as mechanisms of homeostatic control of synaptic transmission in this tissue, capable of reducing or increasing network activity depending on the extent to which CB1Rs are activated. Since network activity is a crucial factor for the correct wiring of the brain, simple imbalances in the eCB system could adversely affect proper neuronal development.

These results raise concerns that activation of the eCB system by cannabis use during development could disturb synaptic development. Similar concerns would accompany the use of the CB1R antagonist (marketed as *Acomplia* in Europe) for weight loss. On the other hand, many centuries of experience with cannabis use by millions of people have evidently not lead to widespread major abnormalities attributable to brain mis-wiring (Iversen 2003). Cannabis consumption even during pregnancy was not associated with increased perinatal mortality or morbidity in a recent trial, although it was associated with a small, statistically detectable decrease in birthweight (Fergusson et al. 2002). The possibility of subtle effects cannot be dismissed, and such issues demand continued monitoring.

8.2.11 Interneurons Release eCBs

8.2.11.1 Interneuronal DSE and DSI

GABAergic interneurons also regulate their inputs by releasing eCBs. Cerebellar stellate and basket cells receive excitatory inputs from parallel fibers that express CB1Rs near their synaptic zones. Stimulation of the interneurons mobilizes eCBs and produces DSE (Beierlein and Regehr 2006). The phenomena in interneurons were essentially the same as in Purkinje cells, except that the stellate cell eCBresponse is also triggered by NMDARs. Stellate cells inhibit Purkinje cells, hence stellate cell DSE influences feedforward inhibition onto the Purkinje cells. Decreasing the stellate cell inhibition in this way causes a much more widespread disinhibition than would be accomplished by DSI. In the hippocampus, the dendrite-targeting, Schaffer-Collateral Associated, CCK-expressing interneurons are electrically and chemically coupled. Their synapses express CB1Rs, and the SCA interneurons can induce DSI on each other's inputs (Ali 2007). Reducing inhibition of an inhibitory cell should result in a net stimulation of the principal cells, i.e., an influence opposite to that seen in cerebellum. Because a given interneuron typically activates hundreds of principal cells, eCB actions that alter interneuronal firing may be more globally dispersed than those that affect only principal cell firing.

8.2.11.2 eCB Mediated Self-Inhibition of Interneurons

Cortical low-threshold spiking (LTS) interneurons can release eCBs when vigorously stimulated (Bacci et al. 2004). Evidently, the eCBs act on CB1Rs on the LTS somata and increase a very long-lasting (>20 min) Ca²⁺-dependent K⁺-channel conductance, thus hyperpolarizing and inhibiting the cells. The implications of this effect for regulation of cortical networks are not understood, and the phenomenon has not been seen in other regions (e.g., Beierlein and Regehr 2006).

8.3 Basic Neurophysiology of eCBs and Synaptic Plasticity

8.3.1 Use-Dependent Regulation of eCB Effects on Inhibition

8.3.1.1 Increases in Probability of GABA Release Decrease Presynaptic eCB Effects

eCBs do not invariably and uniformly switch off GABA release. Their efficacy is a function of the activity in the interneuron, specifically in the synaptic terminal [Ca²⁺]. Inhibition of voltage-gated N-type VGCCs, and the consequent decrease in [Ca²⁺] in the terminal, is the primary mechanism by which eCBs inhibit transmitter release in the short term. Increases in terminal [Ca2+], resulting from decreased K+ conductance caused, e.g., by 4-aminopyridine (4-AP) (Alger et al. 1996; Morishita et al. 1998; Morishita and Alger 1999; Varma et al. 2002), can overcome DSI or eCB-induced IPSC suppression. 4-AP prolongs the terminal action potential, keeps presynaptic VGCCs open longer, and increases terminal [Ca²⁺]. At low concentrations, $\leq 100 \,\mu$ M, 4-AP blocks only a few types of K⁺ channels, which are often situated near nerve terminals. Extracellular application of other K⁺ channel antagonists, i.e., TEA, Cs⁺ or selective K⁺-channel toxins, do not abolish DSI, suggesting that 4-AP sensitive channels have a privileged position in the CB1R-expressing inhibitory nerve terminals. As predicted, if Ca²⁺ influx via VGCCs is decreased, then 4-AP no longer abolishes DSI (Varma et al. 2002). Inhibition of GABA release caused by WIN55212-2 can also be overcome by 4-AP or barium in a $[Ca^{2+}]$ dependent way (Hoffman and Lupica 2000). Diana and Marty (2003) directly loaded the K^+ pore blocker Cs^+ into presynaptic interneurons, and observed a Ca^{2+} sensitive reduction in cerebellar DSI. These data show that increasing the probability of GABA release can overcome the inhibitory effects of CB1R activation.

8.3.1.2 Tonic CB1R Activation

Physiological evidence of use-dependence of eCB effects comes from studies of inhibitory transmission between CCK-expressing mossy fiber associated

interneurons and CA3 pyramidal cells (Losonczy and Nusser 2004). If stimulated at frequencies <25 Hz, the interneurons are essentially "mute," i.e., they produce almost no postsynaptic responses, but stimulation from 50 to 100 Hz elicits progressively more robust responses. Generally, the probability (Pr) of transmitter release is a function of presynaptic action potential firing frequency, and Pr increases with higher frequencies that cause higher [Ca2+]. The muted interneuron synapses in CA3 have a low Pr at low stimulus frequencies. In this case, low Pr is not an intrinsic property of the synapses, rather it is caused by tonic activation of the CB1Rs on the presynaptic terminals. Inhibiting CB1Rs enables the cells to release GABA with a high Pr even at low stimulation frequencies. Either constitutive activation of the CB1R on the interneurons, or tonic release of eCBs in their vicinity, prevents them from releasing GABA. The output of nearby CCK-basket cells in CA3 is not similarly muted, implying that the eCB effects are somehow directed at the mossy fiber associated interneurons. In CA1, the output of CCKbasket cells in CA1 is also suppressed by tonic eCB actions (Neu et al. 2007). In CA1, increasing the presynaptic action potential firing to >20 Hz entirely reverses the DSI or inhibition of GABA release caused by a CB1R agonist. Apparently this frequency dependence, like the block of DSI produced by 4-AP, is attributable to increases in terminal [Ca2+], that accompany repetitive firing. Use-dependence of tonic eCB effects adds a new dimension to their ability to regulate the plasticity of inhibitory transmission.

8.3.1.3 Activity-Dependent Increases in eCB Responses

A different form of eCB use-dependence can be induced by low-frequency repetitive stimulation given for 5 min to afferent fibers in CA1 (Zhu and Lovinger 2007). Under this protocol, a slight degree of DSI is markedly and persistently enhanced by the activation of mGluRs during the stimulation. Edwards et al. (2008) found that a single DSI trial, causing a brief increase in pyramidal cell [Ca²⁺], enhances subsequent mGluR-mediated eCB-mobilization. Transient activation of mGluRs persistently enhances DSI, although prior activation of mAChRs does not. Therefore, the eCB system itself is plastic and subject to higher levels of regulation.

8.3.2 DSI in LTP

To appreciate the physiological roles of eCBs, it is necessary to consider their hydrophobic nature, and the powerful uptake and degradation systems that rapidly terminate their actions. Both factors retard their diffusion in the aqueous extracellular milieu, and severely limit the spread of eCBs from their source. Indeed, whether or not eCB released from one cell affects synaptic inputs to other cells near the source cell, is controversial (cf. Pitler and Alger 1994; Wilson and Nicoll 2001).

In general, eCBs act locally. This enables a single pyramidal cell to influence its own behavior without affecting other cells. Synaptic inhibition affects neuronal networks in many ways. For example, local disinhibition caused by DSI enables cells sourcing eCBs to opt out of communal activities and do things not being done by their neighbors. Reich et al. (2005) recorded from two pyramidal cells simultaneously, and observed that rhythmic IPSPs in one cell are not inhibited when the DSI is induced in the other cell (and vice versa) (Fig. 8.3a).

By hyperpolarizing cells, IPSPs help maintain the electrostatic Mg^{2+} ion plug of the NMDAR pore, keeping it in a non-conducting state. Disinhibition allows a given excitatory synaptic input to elicit greater than normal membrane depolarization, relief of the Mg^{2+} block, and expression of NMDAR-mediated responses. By restricting inhibition, eCBs should act as gating agents, and by acting locally in a time-limited way, contribute dimensions of temporal and spatial selectivity not provided by global modulation of GABA transmission. This model was tested by Carlson et al. (2002), who showed that DSI can facilitate LTP induction in CA1 pyramidal cells with simultaneous single whole-cell and field potential recordings. If timed to occur during a DSI period (disinhibition) in the single cell, a weak stimulus train of extracellular stimuli induces LTP only in the single cell, but not in the field potential. This shows that the disinhibition caused by DSI allows unblocking of NMDA receptors and LTP induction in a single cell, without affecting the population. In this way, DSI can target LTP to specific cells.

8.3.3 Inhibitory Long-Term Depression

Regulation of LTP by DSI (above) shows that eCBs can cause long-term effects indirectly, but does not rule out the possibility that eCBs might cause long-term synaptic modifications through a direct action. In the nucleus accumbens (Robbe et al. 2002) and striatum (Gerdeman et al. 2002), a form of long-term depression (LTD) is mediated by an eCB acting as a retrograde messenger at glutamatergic terminals. Both phenomena require activation of mGluRs and increases in $[Ca^{2+}]_{i}$ in the postsynaptic cells. An inhibitory LTD (iLTD) could be induced via a similar mechanism at GABAergic synapses in CA1 (Chevaleyre and Castillo 2003; Edwards et al. 2006). In the experiments, brief repetitive trains of afferent pathway stimulation release glutamate that activates mGluRs (ionotropic glutamate receptors were pharmacologically blocked; see Fig. 8.3b and c). Application of the group I mGluR-selective agonist DHPG for 10 min fully substitutes for afferent stimulation, and induces a chemical eCB-iLTD. iLTD induction is blocked by either mGluR or CB1R antagonists, but iLTD expression tested ~10 min post-induction is independent of both receptors. High concentrations of intracellular BAPTA in the pyramidal cells or extracellular (not intracellular, Edwards et al. 2006) application of either a PLC or a DGL inhibitor prevents iLTD induction. Importantly, LTP of EPSP-spike (E-S) coupling, i.e., of the ability of a given EPSP to trigger spikes, is



Fig. 8.3 eCBs depress inhibition and facilitate LTP induction. (a) A weak (0.4 s/50 Hz) stimulus train given to excitatory axons in s. radiatum of the hippocampal CA1 region does not induce LTP in the population field potential EPSP (fEPSP, *triangles* in graph). The EPSC recorded under voltage-clamp from a single cell in the same CA1 population undergoes LTP if the weak train is timed to coincide with the peak suppression of IPSCs produced by DSI in the cell (*open circles* in graph). Carlson et al. (2002). (b) (1) Initiation of iLTD in CA1 pyramidal cell by two strong 1-s/100 Hz stimulus trains in s. rad.; pairs of stimuli given througought. (b) (2) group data showing iLTD of first response of stimulus pair. (b) (3) Paired-pulse facilitation of the pair of IPSCs before and after iLTD induction. (c) A CB1R antagonist given before an iLTD protocol prevents iLTD induction (c) (1), but has no effect if given 10 min after induction (c) (2). (b) and (c) modified from Chevaleyre and Castillo (2003). (d) Diagram from Chevaleyre et al. (2007) illustrating the molecular sequelae of activating CB1R on the presynaptic interneuron terminal during DSI (a), and iLTD (b and c)

enhanced during iLTD (Chevaleyre and Castillo 2003). Thus, as with DSI-induced LTD, iLTD promotes LTP by suppressing IPSPs.

In order to investigate the spread of iLTD induction, Chevaleyre and Castillo (2004) focally stimulated in the CA1 dendritic region. Relatively weak stimulus trains delivered near a dendrite induced iLTD of DSI-sensitive IPSCs. If two stimulating electrodes were used, one to elicit the test IPSC, and the other to deliver the stimulus trains, the spread of the iLTD effect could be estimated by the distance along the

dendrites between the two electrodes. iLTD induction affected inhibitory synapses only within ~10 μ m of the stimulation site. By varying the stimulation protocol, the authors discovered conditions that produce iLTD, but not LTP of EPSCs. The data revealed that LTP of E-S coupling can be induced in the absence of EPSC LTP; i.e., iLTD is the most important factor in E-S coupling LTP (Fig. 8.4).

The iLTD induction process itself raises interesting questions. Bursts of synaptic stimulation lasting only seconds suffice for induction, but if bath-application of DHPG is used, it must be given for ~10 min before iLTD is established (Chevaleyre and Castillo 2003; Edwards et al. 2006). A similar prolonged activation of CB1R following synaptic stimulation probably takes place, because application of a CB1R antagonist beginning 3 min after stimulus trains lasting only seconds can completely prevent iLTD induction. Even 7 min afterwards, an antagonist partially reduces the iLTD magnitude (Chevaleyre and Castillo 2003; cf Ronesi et al. 2004), demonstrating that the CB1Rs must be activated for many minutes.



Fig. 8.4 Long-lasting and CB1R-dependent facilitatory effect on surrounding synapses. (**a**) Two stimulating electrodes were placed 10 μ m apart in the middle third of s. radiatum along the apical dendrite of CA1 pyramidal cells and synaptic responses were recorded extracellularly. Stimulus strength was set to evoke identical synaptic responses in both pathways. Theta-burst stimulation (TBS) was first applied to one pathway (S1) and then to the other pathway (S2) 35 min later. (**b**) Group data (*n*=5) from experiments performed as described in (**a**). The first tetanus was delivered either to the proximal (*n*=2) or distal (*n*=3) stimulating pipettes. (**c**) Group data showing that LTP facilitation was abolished when TBS to S1 was delivered in the presence of 2 μ M AM251 (*n*=5 slices). (**d**) Model that summarizes the local facilitatory effects of iLTD on LTP induction at Sch-CA1 synapses. Excitatory (e, *white*) and inhibitory (i, *black*) inputs impinge on the apical dendrite of a pyramidal cell. Local activation of excitatory inputs triggers LTP in a highly restricted area (10 μ m from the stimulating site) and at the same time, it triggers iLTD in a slightly larger area. The spread of iLTD facilitates induction of LTP at neighboring excitatory inputs. Modified from Chevaleyre and Castillo (2004)

How does brief train stimulation give rise to prolonged eCB mobilization? In principle, a reduction in MGL activity could cause prolonged eCB effects (Hashimotodani et al. 2007). Alternatively perhaps, reversal of the eCB transporter (that normally mediates eCB uptake from the extracellular space) could result in secretion of eCBs into the extracellular space for an extended period of time. Ronesi et al. (2004) found that eCBs experimentally loaded into medium spiny neurons in the striatum are released in a transporter-dependent way. Indeed, the pattern of afferent stimulation determines the extent to which transporter-aided eCB secretion occurs (Adermark and Lovinger 2007). Double-pulse stimulation is much more effective than single-pulse stimulation in causing the transporter-dependent responses. How increasing the stimulation of the presynaptic cell would improve release of eCBs from the postsynaptic cell, or even if that happens, is unclear. Nevertheless, once transporter-dependent release is triggered, continued afferent stimulation is no longer required, suggesting that a long-lasting facilitation of eCB release is set into motion. Somewhat surprisingly, this protocol does not induce LTD or iLTD.

Is minutes-long stimulation of CB1R per se sufficient for iLTD induction? This is a controversial topic. Bath-application of WIN55212-2 alone reportedly induces iLTD, suggesting the CB1R activation alone is sufficient (Chevaleyre and Castillo 2003), but WIN55212-2 washes out only very slowly from tissue. On the other hand, the steady release of eCBs and minutes-long IPSC suppression resulting from injection of the G-protein activator GTP_sS into cells does not cause iLTD; as soon as AM251 is applied, the IPSCs return to control levels (Kim et al. 2002; Ronesi et al. 2004). Moreover, while an mGluR agonist induces eCB-iLTD, application of an mAChR agonist for ~20 min causes only a reversible, eCB-dependent IPSC suppression (Edwards et al. (2006). Finally, persistent activation of CB1Rs by a series of DSI trials that lasts for 10 min also fails to induce iLTD (Edwards et al. 2006). A similar controversy in the striatum regarding induction of eCB-LTD of glutamate synapses (cf. Ronesi et al. 2004 and Kreitzer and Malenka 2005), has been resolved by the report (Singla et al. 2007) that stimulation of the presynaptic neuron during the CB1R activation is mandatory for eCB-LTD induction. Hippocampal iLTD induction by repetitive DSI trials also requires simultaneous interneuron stimulation (Chevaleyre et al. 2007). Hence, a consensus seems to be emerging that activation of CB1R alone is insufficient to cause long-term synaptic plasticity, and that co-factors, perhaps in the nerve terminals, are required (cf. Edwards et al. 2006).

iLTD, but not DSI, requires presynaptic cAMP/PKA signaling, because it is inhibited by global inhibition of PKA, but not by injection of PKA inhibitors into the post-synaptic cells (Chevaleyre et al. 2007). iLTD, as well as the chemical eCBiLTD induced by DHPG application, is absent in mutant mice lacking the active zone protein RIM1 α . WIN55212-2 cannot induce iLTD in RIM1 $\alpha^{-/-}$ mice, however, RIM1 α is not required for basal synaptic transmission or DSI. Similar results are obtained in amygdala and hippocampus. Although appealingly simple, the model summarized in Fig. 8.3d already requires updating. In a mouse in which RIM1 α cannot be phosphorylated by PKA, iLTD is not blocked Kaeser et al (2008). Hence, the roles that RIM1 α and PKA play in iLTD remain undetermined. The presynaptic activity of the serine/threonine phosphatase calcineurin (CaN) could be involved (Heifets et al. 2008). CaN activity is essential for iLTD expression, but whether or how it coordinates with the PKA/RIM1 α scenario is also a mystery.

8.3.4 Relationship of the eCB System to Exogenous Cannabinoids

LTP is probably the neurophysiological underpinning for behavioral learning, and therefore agents that facilitate LTP should enhance learning. By inducing DSI and iLTD, eCBs facilitate LTP, yet cannabis use commonly impedes or disrupts learning, and exogenous cannabinoids can suppress LTP, e.g., (Sullivan 2000). Learning and LTP are highly complex phenomenoa, so resolution of these paradoxical findings will be multifaceted. Nevertheless, part of the explanation probably lies in the very different ways in which endogenous and exogenous cannabinoids affect the brain. The precisely localized, temporally and spatially constrained actions of the eCBs can be contrasted with cannabis use, in which CB1Rs are activated globally without regard to temporal or spatial limitations. Processes like LTP could even be facilitated at the cellular or synaptic level during cannabis use, but disruption of normal cellular and network patterning would alter normal storage and retrieval processes.

8.3.5 Spike-Timing Dependent Plasticity

The induction of many forms of synaptic plasticity depends on correlated spiking activity in presynaptic and postsynaptic cells. Whether spike-timing dependent plasticity (STDP) causes increases or decreases in synaptic strength depends critically on the temporal relationship between presynaptic and postsynaptic activation. Generally, if postsynaptic spikes repeatedly precede presynaptic transmitter release, then LTD is produced, and if transmitter release precedes the postsynaptic spikes, LTP occurs. Mobilization of eCBs is a major factor in STDP control at single dendritic spines from layer 2/3 pyramidal cells (Nevian and Sakmann 2006). A spike-timing dependent form of LTD (tLTD) at glutamate synapses in layer 5 pyramidal cells in the neocortex requires coincident postsynaptic and presynaptic activity as well as activation of presynaptic NMDARs (Sjostrom et al. 2003). Timing-dependent LTD induction depends on CB1R activation, but only within a narrow range of stimulation frequencies. The combined actions of presynaptic CB1Rs and NMDARs set the temporal window for tLTD induction.

eCBs also have a critical role in establishing STDP in cartwheel interneurons of the dorsal cochlear nucleus (Tzounopoulous et al. 2007). These cells follow an "antiHebbian" rule, whereby a presynaptic input that reliably induces spike firing induces LTD, rather than the expected LTP. The timing requirements for this LTD induction are extremely precise: an interval of only 5 ms between a presynaptic and

a postsynaptic spike is required. The CB1R antagonist, AM251, prevents LTD induction and unmasks a conventional Hebbian form of LTP in the interneurons. Without the LTP process, LTD continues to be induced by EPSP-spike intervals of 20 ms. This suggests that the very narrow window for eCB-LTD depends on factors intrinsic to the LTD induction process, as well as on the increasing influence of an opposing LTP process. Predominance of either LTD or LTP is a function of afferent stimulation frequency, with lower frequencies favoring eCB-mediated LTD. The same afferent fibers making contacts onto fusiform cells in the nucleus are not subject to STDP LTD, apparently because CB1Rs are present in much lower density and in a different morphological arrangement at those sites. Evidently, mutual interactions between pre- and postsynaptic elements must occur during development in order to establish correct wiring of the eCB system.

Although eCBs regulate STDP, a puzzling and unresolved issue is how the actions of the eCBs are so tightly constrained in the temporal domain. The eCB system, while comparable in its speed of action to other GPCR-dependent signal systems, includes several steps, each of which is slower than the temporal requirements of STDP. It will be important to discover how this relatively slow system serves the much faster timing requirements of STDP.

8.3.6 eCBs and Seizures

Although the cerebellum does not undergo the abnormal hyperexcitability that characterizes epilepsy, the hippocampus is seizure-prone. In many epilepsy models, regulation of inhibition plays a key role in seizure initiation and propagation. Because they can inhibit GABA release, it might seem that cannabinoids would foster hyperexcitability, but generally this does this not happen. One reason is that not all interneurons express CB1Rs (Freund et al. 2003). CB1R-negative interneurons, a majority in most brain regions, continue to provide synaptic inhibition and thereby help prevent development of runaway excitability. Another major factor is that CB1Rs on excitatory nerve terminals suppress excitability by decreasing glutamate release (Marsicano et al. 2003).

Febrile seizures are fairly common in young children. Experimentally-induced febrile seizures persistently enhance DSI recorded in CA1 pyramidal cells (Chen et al. 2003), and seizures increase the tonic, i.e., unstimulated, activation of CB1Rs. Yet, the increase in eCB-mediated responses in post-seizure tissue is not attributable to increased eCB mobilization. Instead, febrile seizures up-regulate CB1Rs, as assessed by Western blots and a greater sensitivity to WIN55212-2. The number of CB1R-expressing nerve terminals does not increase, implying that CB1R density per terminal does. Tetanic stimulation mimicking seizure level activity in normal slices also up-regulates CB1Rs, via activation of AMPA/kainate receptors and mGluRs (Chen et al. 2007). The long-term increase in CB1R is prevented if a CB1R antagonist is present during the tetanus, implying that CB1Rs participate in their own up-regulation. The results have complex therapeutic implications: activation

of CB1Rs, though usually anticonvulsant, could up-regulate CB1Rs on GABAergic terminals and have a pro-convulsant action in the long term because of eCB-silencing of the inhibitory synapses. Conversely, antagonism of CB1R during a seizure might cause a transient increase in excitability at that time, but prevent the long-term up regulation of CB1R, and thus be beneficial.

Under seizure conditions, vast numbers of principal neurons undergo strong stimulation and are at risk of excitotoxic damage in neocortex and hippocampus. The damage caused by kainic acid-induced seizures is intensified in CB1R^{-/-} mice, implying that the eCB system is normally neuroprotective (Marsicano et al. 2003). eCBs that are profusely released during seizure activity have ready access to all CB1Rs. Do CB1Rs on GABAergic or on glutamatergic terminals mediate the neuroprotection? Studies on mutant mice with targeted deletions of CB1R on either GABAergic or glutamatergic neurons reveal that CB1Rs on glutamatergic neurons are fully responsible for eCB-mediated neuroprotection (Monory et al. 2006). Spread of excitotoxic damage is as extensive if the CB1R deletion is confined to the glutamatergic cells as it is in the global CB1R^{-/-} animals. Selective deletion of CB1R from the GABAergic cells does not alter neuronal damage. Restriction of CB1R deletion to hippocampal dentate gyrus by injecting CRE-expressing virus into this region in CB1R-floxed mice leads to the same conclusion (Monory et al. 2005). Evidently CB1R activation on glutamatergic terminals limits further release of glutamate and, thereby, limits the extent of cell loss.

Status epilepticus (SE) is the extreme form of epileptiform hyperexcitability. Whereas normal seizures last from seconds to minutes, SE is a state of seizure activity that can last for \geq 30 min, a major medical emergency that can lead to death. In a low-Mg²⁺ seizure model in hippocampal culture, CB1R receptor antagonists cause the development of continuous epileptiform activity that resembles SE (Deshpande et al. 2007a). The SE-like activity can be overcome by high concentrations of CB1R agonists. Control neurons treated with CB1R receptor antagonists do not undergo SE or hyperexcitability. Moreover, application of CB1R agonists can stop experimental SE in the same tissue culture model (Deshpande et al. 2007b). These findings suggest that endogenous eCBs can modulate seizure frequency and duration, and prevent the development of SE-like activity in epileptic neurons.

8.4 Development and eCBs

Does the eCB system remain the same across the developmental spectrum? The reduction in parallel and climbing fiber synaptic transmission caused by Purkinje cell activation is evidently exclusively mediated by an eCB (Kreitzer and Regehr 2001a). Yet, initial reports suggested that the primary retrograde messenger at the cerebellar Purkinje cell-parallel fiber synapses is glutamate, released from the Purkinje cell dendrites (Levenes et al. 2001). The discrepancy could reflect a developmental shift: in young animals the retrograde EPSC suppression could be entirely mediated by eCBs, but in older animals a mix of CB1R and mGluR could

mediate retrograde signaling (Crepel 2007). There does not appear to be comparable information on a similar shift in the regulation of cerebellar GABAergic synapses, or on the regulation of synapses in other brain regions.

8.5 Synergy with Nitric Oxide System

Nitric oxide (NO) is a gaseous molecule produced by the Ca²⁺-dependent activation of nitric oxide synthase (NOS). In hippocampus and cerebellum the possibility of synergistic interactions between eCB- and NO-mediated signaling exists, although the particulars differ. LTD of excitatory synapses in the cerebellar cortex is a postsynaptic phenomenon, mediated by down-regulation of AMPA receptors at the parallel fiber-Purkinje cell synapse. NO appears to be a key component of the LTD mechanism (e.g., Lev-Ram et al. 1997). Presynaptic CB1Rs on the excitatory synapses suppress glutamate release when activated by eCBs from the Purkinje cells. eCBs mediate LTD induction at parallel fiber synapses, but this is prevented by the NOS inhibitor, L-NAME (Safo and Regehr 2005), suggesting that NO is downstream of CB1R activation in cerebellum.

In hippocampus NO has been put forward as a parallel retrograde signaling messenger between the CA1 pyramidal cells and interneurons (Makara et al. 2007). NO produced in the pyramidal cells reportedly inhibits GABA release during mAChR activation. Neuronal nNOS is found in pyramidal cells at sites opposite to GABAergic synapses (Szabadits et al. 2007). The molecular receptor for NO, nitric oxide (soluble) guanylate cylase (NOsGC), is localized to nNOS-expressing GABAergic nerve terminals. Moreover, the al isoform of NOsGC is found exclusively in interneurons. Inhibition of either NO or eCB signaling almost entirely abolishes DSI (Makara et al. 2007). nNOS inhibitors, or scavenging NO with chelators such as CPTIO, significantly reduce DSI recorded in the presence of mAChR agonists. Although the data are intriguing, questions remain. A close association of α1β1 subunits of NOsGC with interneuron terminals is not obviously consistent with the eCB mechanism. Whereas most CCK- and PV-positive interneurons are NOsGC α 1 positive, the CB1R receptor is uniquely localized on CCK interneurons, and specifically excludes the PV cells. NO has not been shown to affect the PV cells, hence its presence there is enigmatic.

Both NO and eCBs could affect DSI by acting in parallel or in series. They could target the same cells and their effects would summate. Alternatively, NO and eCBs might interact non-linearly; one could be upstream of the other, and their pathways could merge. In CA1, the latter situation appears to hold: blocking NOS, for example, almost entirely abolishes DSI (Makara et al. 2007). Yet, DSI is absent in CB1R^{-/-} mice (Varma et al. 2001; Wilson et al 2001), implying that CB1R is the final common pathway for DSI, and that the NO and eCB pathways merge. But NO is not required for CB1R activation and has not been reported to stimulate eCB mobilization. Finally, in the absence of mAChR activation, DSI is not affected by the NO pathway (Makara et al. 2007). Apparently, mAChRs bring about a switch

from a DSI mechanism that is NO-independent and CB1R-dependent to one in which NO and eCBs act interdependently. Despite complexities, the prospect of NO-CB1R interactions is interesting and will stimulate further investigation.

8.6 Conclusions

Inhibition shapes and regulates neuronal activity, and plasticity of inhibitory synapses is, therefore, an issue of broad significance. eCBs are important intercellular signaling molecules that operate differently in different brain areas. There is diffuse but extensive expression of CB1Rs on excitatory terminals in hippocampus, however, the major physiological targets of eCBs in hippocampus are the inhibitory interneurons that express the highest densities of CB1R. In hippocampus CB1Rs on excitatory terminals serve mainly as a fail-safe backup system, suppressing hyperexcitability during abnormal activity that liberates large quantities of glutamate. In cerebellum and other regions, physiologically released eCBs powerfully regulate both excitatory and inhibitory systems. mGluR-dependent eCB mobilization can induce LTD at many synapses. Short and long-term forms of eCB-dependent synaptic plasticity are ubiquitous. The existence of eCB systems throughout the brain indicates that there is much to be learned about how eCBs regulate inhibitory synaptic plasticity.

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Chapter 9 Interneuron Pathophysiologies: Paths to Neurodevelopmental Disorders

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9.1 Introduction

The mature mammalian cerebral cortex is characterized by its organization in to discrete areas that sub-serve higher sensory, motor and cognitive functions. Although pyramidal neurons provide the principal information outflow from a given cortical region, GABAergic interneurons have an essential role in providing inhibitory tone to local circuits, thereby regulating the firing rates and coordinating the final output of multiple pyramidal cells. More specifically, interneurons are able to modulate sensory gating and enhance discriminative information processing, for example, fine tuning sensory maps (Calford 2002; Hensch and Stryker 2004; Kaur et al. 2004) and optimizing executive functioning such as working memory (Rao et al. 2000; Constantinidis et al. 2002). As detailed in previous chapters, sub-classes of cortical interneurons have been identified based on electrophysiological, morphological and biochemical properties. While the relative ratio of excitatory to inhibitory neurons is constant across species and across most areas of the mature neocortex, the laminar distribution and relative number of different sub-populations of interneurons vary across discrete architectonic areas (Hendry et al. 1987; Hogan et al. 1992; Szabat et al. 1992; Alcántara and Ferrer 1994; DeFelipe et al. 1999; Gao et al. 1999, 2000; Hof et al. 1999; Cruikshank et al. 2001; Elston and Gonzalez-Albo 2003). This variation is thought to reflect regional differences in

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the organization and the function of local circuits. In addition, species differences in regional cytoarchitecture offer support for the specialization of function of local circuitry. Although developmental differences may exist between primate and nonprimate species (Letinic et al. 2002), large numbers of interneurons relocate from their origin in the ganglionic eminence of the ventral telencephalon to their appropriate location in dorsal cerebral cortex (de Carlos et al. 1996; Anderson et al. 1997; Tamamaki et al. 1997; Lavdas et al. 1999; Letinic et al. 2002). Once in their final position in the cortex, interneurons undergo a prolonged maturation process that

lasts long into the postnatal period and includes the neurochemical differentiation of these cells and the formation of GABAergic synapses (Blue and Parnavelas 1983; Miller 1986; Alcantara et al. 1993; Alcántara et al. 1993; Huang et al. 1999). This experience-dependent maturation process is critical for generating the appropriate GABAergic modulation of pyramidal cell function required for sensory processing, learning and memory, emotion regulation and cognitive function.

Given the importance of GABAergic circuitry for cortical function, one would predict that disruptions in the generation, migration and differentiation of cortical interneurons could have a profound effect on the level of excitability and the quality of information processing within the cortex, leading to significant functional deficits. Such deficits are the hallmark of many brain-based disorders. While some of these deficits are easy to discern, for example an increased incidence of spontaneous seizures potentially leading to epilepsy, other deficits associated with altered interneuron functioning may at first glance be less obvious. For example, there is evidence that abnormalities in cortical interneurons disrupt the organization of the minicolumn, the basic modular unit of physiological processing in the cortex (Mountcastle 1997), thus altering the quality of information integration that occurs. Reduced quality in turn affects multi-modal processing within the cortex that is critical for adaptive responses to unexpected stimuli and optimal functional performance, including motor output, attention, and emotional regulation. Although in this chapter we focus mainly on cortical interneurons, it should be noted that sub-cortical inhibitory circuits also are likely to be affected following alterations in interneuron development, given that GABAergic neurons generated in the ganglionic eminence populate structures throughout the forebrain. For example, sleep disturbances occur in many neurodevelopmental disorders (Malow 2004), and the circuits involved in sleep regulation may be disrupted leading to disturbances in the pattern of the sleep/wake cycle; pharmacological studies indicate that appropriate levels of inhibition are critical to the functioning of these circuits, as they can be modulated by GABA_A receptor signaling [for review, see (Mohler 2007)].

Observations in mutant mice, which provide an opportunity to manipulate genetically specific histogenic events more readily than can be achieved through pharmacological treatments, have validated many of the predictions regarding interneuron pathophysiology and disrupted function. For example, mice lacking the DlxI gene exhibit a reduction in the calretinin and somatostatin sub-populations of cortical and hippocampal interneurons by 1 month of age. This loss of interneurons is associated with reliable induction of seizures by mild stressors from the second

post-natal month (Cobos et al. 2005). In *uPAR* null mice, a selective loss of parvalbuminexpressing interneurons from more anterior regions of the cortex and the somatostatin sub-population of interneurons from the hippocampus leads to increased sensitivity to convulsants, heightened anxiety, and a disruption of social interactions (Powell et al. 2003; Eagleson et al. 2005; Levitt 2005). In both examples, gene loss results in fewer cortical interneurons, which is then associated with heightened seizure susceptibility and alterations in emotional regulation.

9.2 Brain-Based Disorders

Based on the predictions outlined above, the clinical profiles of many brain-based developmental disorders, which often include deficits in information processing, increased incidence of spontaneous seizures and perturbations in the sleep-wake cycle, have led to the hypothesis that abnormal functioning of GABAergic interneurons is a key component in the underlying pathophysiology (Levitt et al. 2004; Lewis et al. 2005). However, direct evidence for interneuron dysfunction for most of these disorders is limited, and principally comes from postmortem studies demonstrating alterations in specific components of the GABAergic system or from the behavior-modulating effects of drugs that target the GABAergic system. It should be noted that the recent advances in imaging technology have begun to provide additional support for atypical interneuron functioning. In this section, we discuss the evidence that currently exists to support a role of interneuron pathology in three relatively common disorders, epilepsy, schizophrenia and autism spectrum disorder, as well as in some rarer syndromes and in generalized intellectual disability (Table 9.1).

9.2.1 Epilepsy

Epilepsy is one of the most common neurological disorders, affecting just under 1% of the population. Given that epilepsy reflects an upset in the balance between excitation and inhibition, it is not surprising that dysfunction of GABAergic interneurons has been strongly implicated in epilepsy. Many of the drugs currently used to treat epilepsy, including the benzodiazepines, target GABA_A receptors, while antagonists of the GABA_A receptor induce seizures. As noted above, mouse lines in which there is a disruption in interneuron development often display an increased susceptibility to seizures. Consistent with this, reductions in the number of interneurons and the expression of GABA_A receptor sub-units are reliable findings in tissue resections from patients with epilepsy [for example, (de Lanerolle et al. 1989; DeFelipe 1999; Loup et al. 2006)]. As discussed in more detail in the

Table 9.1 Direction	ct and indirect evidence for GABAergic int	erneuron dysfunction in select r	neurodevelopmental disorders	
Disorder	Clinical profile (indirect)	Neuropathology (direct)	Pharmacology (direct)	Molecular (indirect)
Schizophrenia	Cognitive deficits including impaired working memory and executive function	Decreased GAD67 and parvalbumin mRNA expression; reduction in chandelier cartridges	Alteration in GABA _A receptor sub-unit expression; increase in GABA _A binding activity; reduced GABA transporter expression	ЧN
Autism Spectrum Disorder	Atypical sensory processing: increased incidence of seizures and sleep disorders; cognitive deficits involving specific modalities	Disruption in minicolumn organization; decreased expression of GAD65 and GAD67	Reduction in GABA _A receptor binding; reduced expression of the $\beta3$ sub-unit of GABA recentor	Genetic association with allelic variants of genes in the $GABA_{\Lambda}$ receptor cluster $(\alpha 5, \beta 3, \gamma 3)$ and with <i>MET</i>
Epilepsy	Seizures	Decrease in interneurons	Decreased expression of GABA _A receptor sub- units; GABA _A receptor sub- agonists relieve seizures	NP
Tuberous Sclerosis	>80% have epilepsy; deficits in executive function and attention; increased incidence of ASD and sleep disorders	Cortical tubers; alterations in interneuron markers	Alteration in GABA _A receptor sub-unit expression within dysplastic cortex	dN
Fragile X	Hyper-responsiveness to sensory stimuli; increased incidence of seizures, ASD and sleep disorders	NP	NP	NP
Prader-Willi	Increased incidence of seizures and ASD; high co-morbidity with sleep disorders and compulsions	NP	Decreased GABA _A receptor binding; topiramate modulates compulsive behaviors	Deletion or hypomorphic expression of $GABA_A$ receptor cluster ($\alpha 5$, $\beta 3$, $\gamma 3$)

Angleman	Abnormal EEG with >80% overt seizures; increased incidence of ASD and clean disorders	NP	Reduced expression of the β3 sub-unit of GABA _A recentor	Deletion or hypomorphic expression of GABA _A
Rett	Gross cognitive impairment; increased incidence of seizures and ASD	NP	Reduced expression of the β3 sub-unit of GABA _A receptor	DLX5 genes
Intellectual disability – ARX	Increased incidence of seizures	NP	NP	Loss-of-function or hypomorphic ARX gene
Intellectual disability – SSADH	Increased incidence of seizures	Increase in GABA and GHB levels	NP	NP

NP not published

following sections there is an increased incidence of epilepsy in many brain-based disorders, supporting a role for interneuron dysfunction as a common mechanism across many disorders.

9.2.2 Schizophrenia

Schizophrenia affects approximately 1% of the population, with males and females affected equally. Among the clinical features of this disorder are positive symptoms (including hallucinations and delusions), negative symptoms (including flat affect and anhedonia), and cognitive deficits (for example, poor executive function, including impaired attention and working memory). Marked functional impairment resulting from these symptoms typically presents for the first time during the late adolescent/ early adult period, which corresponds to the final stages of experience-dependent synapse pruning and a slowing of myelination of frontal and temporal fiber pathways.

A role for GABAergic neurons in the pathophysiology of schizophrenia was hypothesized over 30 years ago (Roberts 1972). Thus, multiple postmortem studies focused on this system, providing clear evidence for dysfunction within the GABAergic system in this disorder. In particular, the known deficits in working memory of patients with schizophrenia suggested that the prefrontal cortex would be an appropriate region on which to focus. As a consequence, a number of studies have now reported alterations in markers of GABAergic function in the prefrontal cortex of people with schizophrenia (Lewis and Hashimoto 2007), including decreases in GAD67 and parvalbumin mRNA expression (Akbarian et al. 1995; Volk et al. 2000; Reynolds and Beasley 2001; Hashimoto et al. 2003, 2008), increases and decreases in the expression of specific sub-units of the GABA, receptor (Huntsman et al. 1998; Ohnuma et al. 1999; Volk et al. 2002; Hashimoto et al. 2008), an increase in GABA, receptor binding activity (Benes et al. 1996b; Dean et al. 1999), a reduction in the expression of the GABA transporter (Ohnuma et al. 1999; Volk et al. 2001) and a reduction in the number of arrays of axon terminals of chandelier neurons (chandelier cartridges) (Woo et al. 1998; Pierri et al. 1999). In addition to alterations in several direct markers of GABA signaling, the levels of the TrkB receptor and its ligand, BDNF, which play a critical role in the differentiation of cortical interneurons (Marty et al. 1997; Rutherford et al. 1997), are reduced at both the protein and transcript level in the brains of patients with schizophrenia (Hashimoto et al. 2005). It should be noted that there is similar though less extensive evidence for GABAergic dysfunction in other brain regions in patients with this disorder, including the hippocampus (Benes et al. 1996a), temporal cortex (Reynolds et al. 2002; Deng and Huang 2006) and cerebellum (Fatemi et al. 2005). Taken together, these pathophysiological findings indicate that specific sub-populations of cortical interneurons are affected preferentially in schizophrenia, perhaps most interestingly, the chandelier cells that project to the axon initial segment of pyramidal cells. The chandelier interneurons are positioned to exert a powerful influence on the excitatory output of long projection neurons.

9.2.3 Autism Spectrum Disorder

Autism spectrum disorder (ASD) is characterized by deficits in social interactions, impairments in verbal and non-verbal communication, and restricted, repetitive, stereotyped patterns of behavior. Atypical sensory processing, including deficits in all five senses as well as vestibular and proprioceptive inputs, also has been reported in ASD, and some authors suggest that this should be considered a core feature of the disorder (Tecchio et al. 2003; Kern et al. 2006, 2007; Baker et al. 2008; Tomchek and Dunn 2007; Tommerdahl et al. 2007). In addition, there are several co-morbid conditions associated with sub-groups of individuals diagnosed with ASD, including an increased incidence of seizures (Tuchman and Rapin 2002; Canitano 2007), intellectual disability, and sleep disorders (Malow 2004). The most recent estimate of the prevalence of this disorder indicated that it could be as high as 1 in 155 children affected (CDC 2007), with the risk three to four times higher in males than in females.

Many aspects of the clinical profile of ASD indicate that atypical interneuron functioning may be involved (Hussman 2001; Dhossche et al. 2002; Rubenstein and Merzenich 2003; Levitt et al. 2004). In addition, Minshew and colleagues have proposed an alternative model based on the disordered complex information processing as a key component of ASD (Minshew et al. 2002; Williams et al. 2006). Both the interneuron hypothesis and the Minshew model are consistent with the reports of disruption in minicolumn organization in the cortex of patients with ASD, particularly as this anatomical feature is thought to be modulated by interneuron function (Casanova et al. 2002a, b; Buxhoeveden et al. 2006). Human genetic and postmortem findings show an association of ASD with the gene encoding the MET tyrosine kinase receptor (Campbell et al. 2006, 2007), which is implicated in interneuron development by studies in the mouse (Powell et al. 2001, 2003; Eagleson et al. 2005). Moreover, allelic variants in the gene encoding the β 3 sub-unit of the GABA receptor have been associated with ASD (McCauley et al. 2004). Additional studies implicate genetic variants and chromosomal inversions in genes encoding other GABA, receptor sub-units (Ma et al. 2005; Ashley-Koch et al. 2006; Vincent et al. 2006). Unlike schizophrenia and epilepsy, however, there is little direct neuroanatomical evidence for an involvement of cortical interneurons in the pathology of ASD and, thus far, the sample sizes in many postmortem studies are small and the studies generally have not been replicated. Nonetheless, some intriguing observations have been reported. Within the hippocampus, there is a specific reduction in GABA, receptors in ASD, with serotonergic, cholinergic and glutamatergic receptors largely intact (Blatt et al. 2001), although it should be noted that a more recent study demonstrated alterations in discrete sub-units of the cholinergic receptor in the cerebral cortex and cerebellum (Martin-Ruiz et al. 2004). A reduction in the levels of both GAD65 and GAD67 has been reported in the parietal cortex and cerebellum in ASD (Fatemi et al. 2002), as well as reduced frontal cortex expression of the β 3 sub-unit of the GABA, receptor (Samaco et al. 2005). Finally, reduced levels of GABA in peripheral platelets (Rolf et al. 1993) as well as elevated GABA levels in plasma (Dhossche et al. 2002), have been reported; however, the functional significance of these findings with respect to the brain is unclear. Interestingly, several neurode-velopmental disorders are associated with an increased incidence of ASD [for review, (Zafeiriou et al. 2007)], including tuberous sclerosis, Fragile X syndrome, Rett syndrome, and both Angelman and Prader–Willi syndromes. Disruptions in GABAergic interneurons have also been implicated in these syndromes (see below for details), suggesting potential overlapping pathophysiologies across multiple brain-based disorders of developmental etiology.

9.2.4 Other Developmental Disorders

9.2.4.1 Tuberous Sclerosis

Tuberous sclerosis (TSC) is a rare genetic disorder, occurring in approximately 1:10,000 people with males and females affected equally, that causes benign tumors in the brain, as well as in other organs. This disorder results from mutations in one of two tumor suppressor genes, *TSC1* or *TSC2* (ECTS 1993; van Slegtenhorst et al. 1997). Epilepsy occurs in approximately 80% of patients with TSC (Joinson et al. 2003) and often presents within the first year of life, with other behavioral and cognitive deficits becoming apparent with development. These include attentional and executive memory deficits, as well as depression, anxiety, aggression, and disturbances in sleep patterns (de Vries et al. 2005). Approximately 50% of individuals with TSC have an intellectual disability (IQ < 70) (Joinson et al. 2003) and the prevalence of ASD is higher than in the typical population, although estimates vary widely from around 16% to over 65%, depending on the study (Smalley 1998; Wong 2006).

Almost all aspects of the clinical profile of TSC are indicative of a disturbance in GABAergic functioning. One of the characteristics of TSC pathology is the presence of tubers within the cerebral cortex [for review (Curatolo et al. 2002)]. These structures, in which lamination is disorganized and many cells have abnormal morphologies, are the sites of seizure initiation. As many patients with TSC are refractory to pharmacological therapy, surgical resection of cortical tubers may be required to enable seizure control (Shields 2004), providing a source of tissue for analysis. Thus far, however, only two published studies, both involving small sample sizes, have focused directly on the GABAergic system in TSC, and alterations in the expression of interneuron markers and GABA_A receptor sub-units were noted within the dysplastic cortex (White et al. 2001; Valencia et al. 2006).

9.2.4.2 Fragile X

Fragile X is the most common single-gene inherited form of intellectual disability and is estimated to occur in approximately 1:4,000 births, with about 1.5 times as

many males affected. The syndrome arises due to disruption of the Fragile X mental retardation (FMR1) gene, located on the X chromosome, by a trinucleotide repeat expansion (Verkerk et al. 1991), which results in the absence of the FMR protein. Individuals with this syndrome display mild to severe cognitive impairment and typically demonstrate a neurobehavioral profile that includes hyper-responsiveness to sensory stimuli, hyperactivity and impulsivity. In addition, over 20% of people with Fragile X have overt seizures (Musumeci et al. 1999) and, as with many neurodevelopmental disorders, disruptions in sleep patterns are common. Finally, about 25% of those with Fragile X display traits that are also used to diagnose ASD (Bailey et al. 1998).

Among the clinical features associated with this disorder, hyper-responsiveness to sensory stimuli together with the increased incidence of seizures and ASD are particularly suggestive of an interneuron dysfunction. Thus far, however, components of the GABAergic system have not been examined in human postmortem material. It is interesting to note, however, that in species as diverse as mouse and fly, mutations in *FMR1* lead to a reduction in the expression of various GABA_A receptor sub-units (D'Hulst et al. 2006; Gantois et al. 2006). Given the highly conserved nature of FMR1 protein structure and function, these data suggest a potential evolutionarily-conserved relationship between a functional FMR1 protein and GABAergic function. Similarly, electrophysiological studies in the subiculum of *FMR1* knockout mice suggest that there is a disruption of GABA_A receptor-mediated function in this structure (D'Antuono et al. 2003).

9.2.4.3 15q11–q13 and Gene Regulatory Disorders: Prader–Willi, Angelman, and Rett Syndromes

Prader–Willi syndrome (PWS) and Angelman syndrome (AS) result from abnormalities, including deletions, associated with the chromosomal region 15q11-q13 that lead to disruption in the expression of genes from this region. The overall prevalence of each syndrome is approximately 1:12,000-1:15,000, with males and females affected equally. The genetic distinction between the two disorders is that PWS involves gene abnormalities on the paternally donated chromosome whereas gene abnormalities on the maternally inherited chromosome gives rise to AS. There is now evidence that deficiency of the maternally inherited E6-AP ubitquitin protein ligase (UBE3A) gene is both necessary and sufficient to cause AS (Kishino et al. 1997; Matsuura et al. 1997). Also of note with respect to interneuron dysfunction, there is a cluster of three GABA_A receptor sub-units ($\alpha 5$, $\beta 3$ and $\gamma 3$) in this chromosomal region that are deleted or hypomorphic in most people with PWS or AS (Saitoh et al. 1994). Although the same chromosomal region is affected, PWS and AS display distinct, yet partially overlapping, clinical profiles, highlighting the role of maternal imprinting on phenotypic outcome. PWS is characterized by intellectual disability, infantile hypotonia and poor suck reflex, and delayed sexual development, with high co-morbidity for depression, obsessions and compulsions, self-injurious behavior (usually in the form of self-inflicted skin picking) and sleep disorders (Holm et al. 1993). Perhaps the most striking feature of PWS is an intense preoccupation with food, following an early failure-to-thrive period, manifesting as incessant food-seeking and a lack of satiation that often results in obesity. In contrast, AS is characterized by hyperactivity, stereotypies and sleep disorders, as well as severe intellectual disability and the absence of speech, although receptive language and non-verbal communication can be relatively preserved. Distinctive EEG abnormalities are seen in over 90% of patients with AS, with more than 80% exhibiting overt seizures (Valente et al. 2006; Pelc et al. 2008).

Rett Syndrome (RTT) is a rare (1:15,000) X-linked dominant disorder expressed almost exclusively in females. In most individuals, RTT results from mutations in the gene encoding methyl-CpG-binding protein 2 (MeCP2), which serves as a transcriptional repressor of imprinted regions of Chromosome 15 and select target genes throughout the genome (Amir et al. 1999; Caballero and Hendrich 2005; Horike et al. 2005; Samaco et al. 2005). Among others, MeCP2 regulates expression of *UBE3A*, which is the gene disrupted in AS, *DLX5*, which has been implicated in GABAergic differentiation, and *bdnf*, whose gene product regulates interneuron and synaptic development. Children with RTT regress after achieving typical motor and speech milestones between 6 and 18 months. By 4–7 years of age, gross motor and cognitive impairments, loss of speech, and reduced growth trajectories of body and brain are evident. Like AS and PWS, a large proportion of children with RTT exhibits seizures and co-occurring ASD. The overlap in clinical symptoms between the three disorders, in particular between AS and RTT, suggests that there may be some shared pathophysiology.

In particular, the extremely high co-morbidity of seizures with RTT and AS is indicative of altered interneuron function. Indeed, specific hypotheses have been proposed regarding GABAergic dysfunction, including altered cortical inhibitory circuits, in the underlying pathophysiology of AS (Dan and Boyd 2003). Consistent with this, there is reduced expression of the β 3 sub-unit of the GABA, receptor in frontal cortex of subjects with AS and RTT (Samaco et al. 2005). The GABAergic system also has been implicated in PWS, although direct evidence is limited and includes studies with a small sample size. Moreover, although many of the clinical features of PWS suggest a primary hypothalamic dysfunction, regions of the frontal and temporal cortices are also involved in the emotional responses to satiety and hunger (Tataranni et al. 1999). A recent study using positron emission tomography (PET) demonstrated a reduction in the binding of [11C]flumazenil in frontal and temporal cortical regions in PWS patients, reflecting an alteration in the sub-unit composition or number of GABA, receptors (Lucignani et al. 2004). It has been reported that topiramate, a drug that influences GABAergic signaling, is able to modulate the stereotypic and compulsive behaviors observed in PWS (Shapira et al. 2002; Smathers et al. 2003). Finally, there is an increase in the levels of GABA found in the plasma of patients with both PWS and AS (Ebert et al. 1997), although, as for ASD, the functional relevance to the brain remains unclear.

9.2.4.4 Intellectual Disability

Intellectual disability (ID), currently defined as an IQ below 70, impairment in adaptive functioning, and an age of onset prior to 18 years, can result from a variety of genetic and environmental insults, although the cause is never identified in approximately half of this population. It is estimated that between 1 and 3% of the population has an ID, including those that are co-morbid for the disorders outlined earlier. The prevalence of epilepsy in the population of people with ID is significantly increased above the general population, although estimates of overall prevalence vary depending on the study (Goulden et al. 1991; Bowley and Kerr 2000; Morgan et al. 2003). The specific form of epilepsy, as well as the frequency of seizures, varies across the population and likely reflects the underlying etiology of the intellectual impairment (Beavis et al. 2007). The increased incidence of epilepsy in ID indicates that interneuron dysfunction may be a common pathophysiology contributing to ID, regardless of the specific cause. Here, we highlight two known etiologies of ID that are related directly to interneuron function. The first involves the Aristaless-related homeobox gene (Arx), which is involved in GABAergic neuron development in species as diverse as worm and mouse (Kitamura et al. 2002; Melkman and Sengupta 2005). For example, in the mouse, this gene is important in the migration of cortical interneurons to the cerebral cortex (Kitamura et al. 2002). In humans, mutations in ARX, which can lead to a loss-of-function or a hypomorphic state of expression, have a variety of clinical manifestations, including ID and epilepsy [reviewed in (Sherr 2003)]. The second involves disorders of GABA metabolism, the most common of which is a succinic semialdehyde dehydrogenase (SSADH) deficiency that affects GABA degradation in the central nervous system. This is a very rare disorder that results in an increase in GABA and gamma-hydroxybutyric acid (GHB) levels in the brain. About half the people with this disorder display mild to moderate intellectual disability, particularly involving language deficits, in addition to seizures, motor delay and hallucinations (Pearl et al. 2003). The increase in brain GABA content in this rare disorder is in contrast with the other disorders outlined earlier that show decreases in GABA function and signaling. While the mechanism is not specifically known, this example serves to underscore the importance of homeostatic balance in maintaining functional integrity of the two major neurotransmitters in the brain, namely GABA and glutamate.

9.3 Conclusions

Disorders of neurodevelopmental etiology are diverse in their onset and manifestation, yet they appear to have in common fundamental disturbances in cortical GABAergic function. GABA neurotransmission is essential for the experience-dependent maturation of sensory representations in the brain, and in the processing of complex information through the role of interneurons as coincidence detectors and regulators

of output synchrony. Genetic disruptions of fundamental developmental events that regulate interneuron development are, therefore, likely to establish vulnerabilities that are further exacerbated by atypical experience-dependent maturation. It should be emphasized that although the onset of many of these neurodevelopmental disorders is defined clinically as the first manifestation of a disruption in discrete behavioral and/or cognitive abilities, with many disorders being diagnosed within the first 2–3 years of life, suggestions of atypical developmental emergence of the specific behaviors/abilities, as well as the maturational state of the underlying circuitry. This occurs even for disorders with a later onset, such as schizophrenia, where the first overt signs of the disorder correlate with the final stages of maturation of prefrontal cortical circuitry. Future emphasis on understanding gene-environment relationships in mediating interneuron development will better inform disease etiologies.

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